A bill to be entitled 1 2 An act relating to quality improvement initiatives for 3 entities regulated by the Agency for Health Care 4 Administration; amending s. 394.4574, F.S.; providing 5 responsibilities of the Department of Children and 6 Family Services and mental health service providers 7 for mental health residents who reside in assisted 8 living facilities; directing the agency to impose 9 contract penalties on Medicaid prepaid health plans 10 under specified circumstances; directing the 11 department to impose contract penalties on mental health service providers under specified 12 circumstances; directing the department and the agency 13 14 to enter into an interagency agreement for the 15 enforcement of their respective responsibilities and 16 procedures related thereto; amending s. 395.1055, 17 F.S.; revising provisions relating to agency rules regarding standards for infection control, 18 19 housekeeping, and sanitary conditions in a hospital; requiring housekeeping and sanitation staff to employ 20 21 and document compliance with specified cleaning and 22 disinfecting procedures; authorizing imposition of 23 administrative fines for noncompliance; amending s. 24 400.0078, F.S.; requiring specified information 25 regarding the confidentiality of complaints to the 26 State Long-Term Care Ombudsman Program to be provided 27 to residents of a long-term care facility upon 28 admission to the facility; amending s. 408.05, F.S.;

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directing the agency to collect, compile, analyze, and distribute specified health care information for specified uses; providing for the agency to release data necessary for the administration of the Medicaid program to quality improvement collaboratives for specified purposes; amending s. 408.802, F.S.; providing that the provisions of part II of ch. 408, F.S., the Health Care Licensing Procedures Act, apply to assisted living facility administrators; amending s. 408.820, F.S.; exempting assisted living facility administrators from specified provisions of part II of ch. 408, F.S., the Health Care Licensing Procedures Act; creating s. 409.986, F.S.; providing definitions; directing the agency to establish and implement methodologies to adjust Medicaid rates for hospitals, nursing homes, and managed care plans; providing criteria for and limits on the amount of Medicaid payment rate adjustments; directing the agency to seek federal approval to implement a performance payment system; providing for implementation of the system in fiscal year 2015-2016; authorizing the agency to appoint a technical advisory panel; providing applicability of the performance payment system to general hospitals, skilled nursing facilities, and managed care plans and providing criteria therefor; amending s. 415.1034, F.S.; providing that specified persons who have regulatory responsibilities over or provide services to persons residing in certain

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facilities must report suspected incidents of abuse to the central abuse hotline; amending s. 429.02, F.S.; revising definitions applicable to the Assisted Living Facilities Act; amending s. 429.07, F.S.; requiring that an assisted living facility be under the management of a licensed assisted living facility administrator; amending s. 429.075, F.S.; providing additional requirements for a limited mental health license; removing specified assisted living facility requirements; authorizing a training provider to charge a fee for the training required of facility administrators and staff; revising provisions for application for a limited mental health license; creating s. 429.0751, F.S.; providing requirements for an assisted living facility that has mental health residents; requiring the assisted living facility to enter into a cooperative agreement with a mental health care service provider; providing for the development of a community living support plan; specifying who may have access to the plan; requiring documentation of mental health resident assessments; amending s. 429.178, F.S.; conforming crossreferences; amending s. 429.19, F.S.; providing fines and penalties for specified violations by an assisted living facility; creating s. 429.231, F.S.; directing the Department of Elderly Affairs to create an advisory council to review the facts and circumstances of unexpected deaths in assisted living facilities and

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of elopements that result in harm to a resident; providing duties; providing for appointment and terms of members; providing for meetings; requiring a report; providing for per diem and travel expenses; amending s. 429.34, F.S.; providing a schedule for the inspection of assisted living facilities; providing exceptions; providing for fees for additional inspections after specified violations; creating s. 429.50, F.S.; prohibiting a person from performing the duties of an assisted living facility administrator without a license; providing qualifications for licensure; providing exceptions; providing license and license renewal fees; providing grounds for revocation or denial of licensure; providing rulemaking authority; authorizing the agency to issue a temporary license to an assisted living facility administrator under certain conditions and for a specified period of time; amending s. 429.52, F.S.; providing training, competency testing, and continuing education requirements for assisted living facility administrators and license applicants; specifying entities that may provide training; providing a definition; requiring assisted living facility trainers to keep certain training records and submit those records to the agency; providing rulemaking authority; amending s. 429.54, F.S.; requiring the Agency for Health Care Administration, the Department of Elderly Affairs, the Department of Children and

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Family Services, and the Agency for Persons with Disabilities to develop or modify electronic information systems and other systems to ensure efficient communication regarding regulation of assisted living facilities, subject to the availability of funds; providing an effective date.

Be It Enacted by the Legislature of the State of Florida:

Section 1. Section 394.4574, Florida Statutes, is amended to read:

394.4574 Department responsibilities for a mental health resident who resides in an assisted living facility that holds a limited mental health license.—

- (1) The term "mental health resident," for purposes of this section, means an individual who receives social security disability income due to a mental disorder as determined by the Social Security Administration or receives supplemental security income due to a mental disorder as determined by the Social Security Administration and receives optional state supplementation.
 - (2) The department must ensure that:
- (a) A mental health resident has been assessed by a psychiatrist, clinical psychologist, clinical social worker, or psychiatric nurse, or an individual who is supervised by one of these professionals, and determined to be appropriate to reside in an assisted living facility. The documentation must be provided to the administrator of the facility within 30 days

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after the mental health resident has been admitted to the facility. An evaluation completed upon discharge from a state mental hospital meets the requirements of this subsection related to appropriateness for placement as a mental health resident if it was completed within 90 days prior to admission to the facility.

- (b) A cooperative agreement, as required in s. 429.0751
 429.075, is developed between the mental health care services provider that serves a mental health resident and the administrator of the assisted living facility with a limited mental health license in which the mental health resident is living. Any entity that provides Medicaid prepaid health plan services shall ensure the appropriate coordination of health care services with an assisted living facility in cases where a Medicaid recipient is both a member of the entity's prepaid health plan and a resident of the assisted living facility. If the entity is at risk for Medicaid targeted case management and behavioral health services, the entity shall inform the assisted living facility of the procedures to follow should an emergent condition arise.
- (c) The community living support plan, as defined in s. 429.02, has been prepared by a mental health resident and a mental health case manager of that resident in consultation with the administrator of the facility or the administrator's designee. The plan must be provided to the administrator of the assisted living facility with a limited mental health license in which the mental health resident lives. The support plan and the agreement may be in one document.

(d) The assisted living facility with a limited mental health license is provided with documentation that the individual meets the definition of a mental health resident.

- (e) The mental health services provider assigns a case manager to each mental health resident who lives in an assisted living facility with a limited mental health license. The case manager is responsible for coordinating the development of and implementation of the community living support plan defined in s. 429.02. The plan must be updated as needed, but at least annually, to ensure that the ongoing needs of the residents are addressed.
- appropriate coordination of health care services with an assisted living facility when a Medicaid recipient is both a member of the entity's prepaid health plan and a resident of the assisted living facility. If the Medicaid prepaid health plan is responsible for Medicaid-targeted case management and behavioral health services, the plan shall inform the assisted living facility of the procedures to follow when an emergent condition arises.
- (4) The department shall include in contracts with mental health service providers provisions that require the service provider to assign a case manager for a mental health resident, prepare a community living support plan, enter into a cooperative agreement with the assisted living facility, and otherwise comply with the provisions of this section. The department shall establish and impose contract penalties for mental health service providers under contract with the

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department that fail to comply with this section.

- (5) The Agency for Health Care Administration shall establish and impose contract penalties for Medicaid prepaid health plans that fail to comply with this section.
- (6) The department shall enter into an interagency agreement with the Agency for Health Care Administration that delineates their respective responsibilities and procedures for enforcing the requirements of this section with respect to assisted living facilities and mental health service providers.
- (7)(3) The Secretary of Children and Family Services, in consultation with the Agency for Health Care Administration, shall annually require each district administrator to develop, with community input, detailed plans that demonstrate how the district will ensure the provision of state-funded mental health and substance abuse treatment services to residents of assisted living facilities that hold a limited mental health license. These plans must be consistent with the substance abuse and mental health district plan developed pursuant to s. 394.75 and must address case management services; access to consumer-operated drop-in centers; access to services during evenings, weekends, and holidays; supervision of the clinical needs of the residents; and access to emergency psychiatric care.
- Section 2. Paragraph (b) of subsection (1) of section 395.1055, Florida Statutes, is amended to read:
 - 395.1055 Rules and enforcement.
- (1) The agency shall adopt rules pursuant to ss.

 120.536(1) and 120.54 to implement the provisions of this part,
 which shall include reasonable and fair minimum standards for

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ensuring that:

(b) Infection control, housekeeping, sanitary conditions, and medical record procedures that will adequately protect patient care and safety are established and implemented. These procedures shall require housekeeping and sanitation staff to wear masks and gloves when cleaning patient rooms, to disinfect environmental surfaces in patient rooms in accordance with the time instructions on the label of the disinfectant used by the hospital, and to document compliance with this paragraph. The agency may impose an administrative fine for each day that a violation of this paragraph occurs.

Section 3. Subsection (2) of section 400.0078, Florida Statutes, is amended to read:

400.0078 Citizen access to State Long-Term Care Ombudsman Program services.—

receive, Upon admission to a long-term care facility, each resident or representative of a resident must receive information regarding the purpose of the State Long-Term Care Ombudsman Program, the statewide toll-free telephone number for receiving complaints, the confidentiality of a complaint if the complaint will result in the identification of the resident about whom the complaint is made and the confidentiality of the complainant's name and identity, and other relevant information regarding how to contact the program. Residents or their representatives must be furnished additional copies of this information upon request.

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Section 4. Subsection (3) of section 408.05, Florida

Statutes, is amended to read:

408.05 Florida Center for Health Information and Policy Analysis.—

- shall collect, compile, analyze, and distribute In order to produce comparable and uniform health information and statistics. Such information shall be used for developing the development of policy recommendations, evaluating program and provider performance, and facilitating the independent and collaborative quality improvement activities of providers, payors, and others involved in the delivery of health services. The agency shall perform the following functions:
- (a) Coordinate the activities of state agencies involved in the design and implementation of the comprehensive health information system.
- (b) Undertake research, development, and evaluation respecting the comprehensive health information system.
- (c) Review the statistical activities of state agencies to ensure that they are consistent with the comprehensive health information system.
- (d) Develop written agreements with local, state, and federal agencies for the sharing of health-care-related data or using the facilities and services of such agencies. State agencies, local health councils, and other agencies under state contract shall assist the center in obtaining, compiling, and transferring health-care-related data maintained by state and local agencies. Written agreements must specify the types, methods, and periodicity of data exchanges and specify the types

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of data that will be transferred to the center.

- (e) Establish by rule the types of data collected, compiled, processed, used, or shared. Decisions regarding center data sets should be made based on consultation with the State Consumer Health Information and Policy Advisory Council and other public and private users regarding the types of data which should be collected and their uses. The center shall establish standardized means for collecting health information and statistics under laws and rules administered by the agency.
- (f) Establish minimum health-care-related data sets which are necessary on a continuing basis to fulfill the collection requirements of the center and which shall be used by state agencies in collecting and compiling health-care-related data. The agency shall periodically review ongoing health care data collections of the Department of Health and other state agencies to determine if the collections are being conducted in accordance with the established minimum sets of data.
- (g) Establish advisory standards to ensure the quality of health statistical and epidemiological data collection, processing, and analysis by local, state, and private organizations.
- (h) Prescribe standards for the publication of health-care-related data reported pursuant to this section which ensure the reporting of accurate, valid, reliable, complete, and comparable data. Such standards should include advisory warnings to users of the data regarding the status and quality of any data reported by or available from the center.
 - (i) Prescribe standards for the maintenance and

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preservation of the center's data. This should include methods for archiving data, retrieval of archived data, and data editing and verification.

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- (j) Ensure that strict quality control measures are maintained for the dissemination of data through publications, studies, or user requests.
- Develop, in conjunction with the State Consumer Health Information and Policy Advisory Council, and implement a longrange plan for making available health care quality measures and financial data that will allow consumers to compare health care services. The health care quality measures and financial data the agency must make available shall include, but is not limited to, pharmaceuticals, physicians, health care facilities, and health plans and managed care entities. The agency shall update the plan and report on the status of its implementation annually. The agency shall also make the plan and status report available to the public on its Internet website. As part of the plan, the agency shall identify the process and timeframes for implementation, any barriers to implementation, and recommendations of changes in the law that may be enacted by the Legislature to eliminate the barriers. As preliminary elements of the plan, the agency shall:
- 1. Make available patient-safety indicators, inpatient quality indicators, and performance outcome and patient charge data collected from health care facilities pursuant to s. 408.061(1)(a) and (2). The terms "patient-safety indicators" and "inpatient quality indicators" shall be as defined by the Centers for Medicare and Medicaid Services, the National Quality

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Forum, the Joint Commission on Accreditation of Healthcare Organizations, the Agency for Healthcare Research and Quality, the Centers for Disease Control and Prevention, or a similar national entity that establishes standards to measure the performance of health care providers, or by other states. The agency shall determine which conditions, procedures, health care quality measures, and patient charge data to disclose based upon input from the council. When determining which conditions and procedures are to be disclosed, the council and the agency shall consider variation in costs, variation in outcomes, and magnitude of variations and other relevant information. When determining which health care quality measures to disclose, the agency:

- a. Shall consider such factors as volume of cases; average patient charges; average length of stay; complication rates; mortality rates; and infection rates, among others, which shall be adjusted for case mix and severity, if applicable.
- b. May consider such additional measures that are adopted by the Centers for Medicare and Medicaid Studies, National Quality Forum, the Joint Commission on Accreditation of Healthcare Organizations, the Agency for Healthcare Research and Quality, Centers for Disease Control and Prevention, or a similar national entity that establishes standards to measure the performance of health care providers, or by other states.

When determining which patient charge data to disclose, the agency shall include such measures as the average of undiscounted charges on frequently performed procedures and

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preventive diagnostic procedures, the range of procedure charges from highest to lowest, average net revenue per adjusted patient day, average cost per adjusted patient day, and average cost per admission, among others.

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- Make available performance measures, benefit design, and premium cost data from health plans licensed pursuant to chapter 627 or chapter 641. The agency shall determine which health care quality measures and member and subscriber cost data to disclose, based upon input from the council. When determining which data to disclose, the agency shall consider information that may be required by either individual or group purchasers to assess the value of the product, which may include membership satisfaction, quality of care, current enrollment or membership, coverage areas, accreditation status, premium costs, plan costs, premium increases, range of benefits, copayments and deductibles, accuracy and speed of claims payment, credentials of physicians, number of providers, names of network providers, and hospitals in the network. Health plans shall make available to the agency any such data or information that is not currently reported to the agency or the office.
- 3. Determine the method and format for public disclosure of data reported pursuant to this paragraph. The agency shall make its determination based upon input from the State Consumer Health Information and Policy Advisory Council. At a minimum, the data shall be made available on the agency's Internet website in a manner that allows consumers to conduct an interactive search that allows them to view and compare the information for specific providers. The website must include

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such additional information as is determined necessary to ensure that the website enhances informed decisionmaking among consumers and health care purchasers, which shall include, at a minimum, appropriate guidance on how to use the data and an explanation of why the data may vary from provider to provider.

- 4. Publish on its website undiscounted charges for no fewer than 150 of the most commonly performed adult and pediatric procedures, including outpatient, inpatient, diagnostic, and preventative procedures.
- (1) Assist quality improvement collaboratives by releasing information to the providers, payors, or entities representing and working on behalf of providers and payors. The agency shall release such data, which is deemed necessary for the administration of the Medicaid program, to quality improvement collaboratives for evaluation of the incidence of potentially preventable events.
- Section 5. Subsection (31) is added to section 408.802, Florida Statutes, to read:
- 408.802 Applicability.—The provisions of this part apply to the provision of services that require licensure as defined in this part and to the following entities licensed, registered, or certified by the agency, as described in chapters 112, 383, 390, 394, 395, 400, 429, 440, 483, and 765:
- (31) Assisted living facility administrators, as provided under part I of chapter 429.
- Section 6. Subsection (29) is added to section 408.820,
 419 Florida Statutes, to read:
 - 408.820 Exemptions.—Except as prescribed in authorizing

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statutes, the following exemptions shall apply to specified requirements of this part:

- (29) Assisted living facility administrators, as provided under part I of chapter 429, are exempt from ss. 408.806(7), 408.810(4)-(10), and 408.811.
- Section 7. Section 409.986, Florida Statutes, is created to read:
 - 409.986 Quality adjustments to Medicaid rates.-
- (1) As used in this section, the term:

- (a) "Expected rate" means the risk-adjusted rate for each provider that accounts for the severity of illness, All Patient Refined-Diagnosis Related Groups, and the age of a patient.
- (b) "Hospital-acquired infections" means infections not present and without evidence of incubation at the time of admission to a hospital.
- (c) "Observed rate" means the actual number for each provider of potentially preventable events divided by the number of cases in which potentially preventable events may have occurred.
- (d) "Potentially preventable admission" means an admission of a person to a hospital that might have reasonably been prevented with adequate access to ambulatory care or health care coordination.
- (e) "Potentially preventable ancillary service" means a health care service provided or ordered by a physician or other health care provider to supplement or support the evaluation or treatment of a patient, including a diagnostic test, laboratory test, therapy service, or radiology service, that may not be

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reasonably necessary for the provision of quality health care or treatment.

- (f) "Potentially preventable complication" means a harmful event or negative outcome with respect to a person, including an infection or surgical complication, that:
- 1. Occurs after the person's admission to a hospital or long-term care facility; and
- 2. May have resulted from the care, lack of care, or treatment provided during the hospital or long-term care facility stay rather than from a natural progression of an underlying disease.
- (g) "Potentially preventable emergency department visit" means treatment of a person in a hospital emergency room or freestanding emergency medical care facility for a condition that does not require or should not have required emergency medical attention because the condition can or could have been treated or prevented by a physician or other health care provider in a nonemergency setting.
- (h) "Potentially preventable event" means a potentially preventable admission, a potentially preventable ancillary service, a potentially preventable complication, a potentially preventable emergency department visit, a potentially preventable readmission, or a combination of those events.
- (i) "Potentially preventable readmission" means a return hospitalization of a person within 15 days that may have resulted from deficiencies in the care or treatment provided to the person during a previous hospital stay or from deficiencies in posthospital discharge followup. The term does not include a

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hospital readmission necessitated by the occurrence of unrelated events after the discharge. The term includes the readmission of a person to a hospital for:

- 1. The same condition or procedure for which the person was previously admitted;
- 2. An infection or other complication resulting from care previously provided; or
- 3. A condition or procedure that indicates that a surgical intervention performed during a previous admission was unsuccessful in achieving the anticipated outcome.
- (j) "Quality improvement collaboration" means a structured process involving multiple providers and subject matter experts to focus on a specific aspect of quality care in order to analyze past performance and plan, implement, and evaluate specific improvement methods.
- (2) The agency shall establish and implement methodologies to adjust Medicaid payment rates for hospitals, nursing homes, and managed care plans based on evidence of improved patient outcomes. Payment adjustments shall be dependent on consideration of specific outcome measures for each provider category, documented activities by providers to improve performance, and evidence of significant improvement over time. Measurement of outcomes shall include appropriate risk adjustments, exclude cases that cannot be determined to be preventable, and waive adjustments for providers with too few cases to calculate reliable rates.
- (a) Performance-based payment adjustments may be made up to 1 percent of each qualified provider's rate for hospital

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inpatient services, hospital outpatient services, nursing home care, and the plan-specific capitation rate for prepaid health plans. Adjustments for activities to improve performance may be made up to 0.25 percent based on evidence of a provider's engagement in activities specified in this section.

- (b) Outcome measures shall be established for a base year, which may be state fiscal year 2010-2011 or a more recent 12-month period.
- (3) Methodologies established pursuant to this section shall use existing databases, including Medicaid claims, encounter data compiled pursuant to s. 409.9122(14), and hospital discharge data compiled pursuant to s. 408.061(1)(a). To the extent possible, the agency shall use methods for determining outcome measures in use by other payors.
- (4) The agency shall seek any necessary federal approval for the performance payment system and implement the system in state fiscal year 2015-2016.
- (5) The agency may appoint a technical advisory panel for each provider category in order to solicit advice and recommendations during the development and implementation of the performance payment system.
- (6) The performance payment system for hospitals shall apply to general hospitals as defined in s. 395.002. The outcome measures used to allocate positive payment adjustments shall consist of one or more potentially preventable events such as potentially preventable readmissions and potentially preventable complications.
 - (a) For each 12-month period after the base year, the

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agency shall determine the expected rate and the observed rate for specific outcome indicators for each hospital. The difference between the expected and observed rates shall be used to establish a performance rate for each hospital. Hospitals shall be ranked based on performance rates.

- (b) For at least the first three rate-setting periods after the performance payment system is implemented, a positive payment adjustment shall be made to hospitals in the top 10 percentiles, based on their performance rates, and the 10 hospitals with the best year-to-year improvement among those hospitals that did not rank in the top 10 percentiles. After the third period of performance payment, the agency may replace the criteria specified in this subsection with quantified benchmarks for determining which providers qualify for positive payment adjustments.
- (c) Quality improvement activities that may earn positive payment adjustments include:
- 1. Complying with requirements that reduce hospital-acquired infections pursuant to s. 395.1055(1)(b); or
- 2. Actively engaging in a quality improvement collaboration that focuses on reducing potentially preventable admissions, potentially preventable readmissions, or hospital-acquired infections.
- (7) The performance payment system for skilled nursing facilities shall apply to facilities licensed pursuant to part II of chapter 400 with current Medicaid provider service agreements. The outcome measures used to allocate positive payment adjustments shall consist of one or more of the

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following rates: the percentage of residents experiencing falls with major injuries, the percentage of residents with potentially preventable hospital admissions, the percentage of residents using potentially preventable emergency department services, or the percentage of residents with pressure ulcers that are new or worsened.

- (a) For each 12-month period after the base year, the agency shall determine the expected rate and the observed rate for specific outcome indicators for each skilled nursing facility. The difference between the expected and observed rates shall be used to establish a performance rate for each skilled nursing facility. Facilities shall be ranked based on performance rates.
- (b) For at least the first three rate-setting periods after the performance payment system is implemented, a positive payment adjustment shall be made to facilities in the top three percentiles, based on their performance rates, and the 10 facilities with the best year-to-year improvement among facilities that did not rank in the top three percentiles. After the third period of performance payment, the agency may replace the criteria specified in this subsection with quantified benchmarks for determining which facilities qualify for positive payment adjustments.
- (c) Quality improvement activities that may earn positive payment adjustments include:
- 1. Actively engaging in a comprehensive fall-prevention program.
 - 2. Actively engaging in a quality improvement

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collaboration that focuses on reducing potentially preventable hospital admissions or reducing the percentage of residents with pressure ulcers that are new or worsened.

- (8) A performance payment system shall apply to all managed care plans. The outcome measures used to allocate positive payment adjustments shall consist of one or more potentially preventable events, such as potentially preventable initial hospital admissions, potentially preventable emergency department visits, or potentially preventable ancillary services.
- (a) For each 12-month period after the base year, the agency shall determine the expected rate and the observed rate for specific outcome indicators for each managed care plan. The difference between the expected and observed rates shall be used to establish a performance rate for each plan. Managed care plans shall be ranked based on performance rates.
- (b) For at least the first three rate-setting periods after the performance payment system is implemented, a positive payment adjustment shall be made to the top 10 managed care plans. After the third period during which the performance payment system is implemented, the agency may replace the criteria specified in this subsection with quantified benchmarks for determining which plans qualify for positive payment adjustments.
- Section 8. Paragraph (a) of subsection (1) of section 415.1034, Florida Statutes, is amended to read:
- 415.1034 Mandatory reporting of abuse, neglect, or exploitation of vulnerable adults; mandatory reports of death.—

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(1) MANDATORY REPORTING.-

- (a) Any person, including, but not limited to, any:
- 1. \underline{A} physician, osteopathic physician, medical examiner, chiropractic physician, nurse, paramedic, emergency medical technician, or hospital personnel engaged in the admission, examination, care, or treatment of vulnerable adults;
- 2. \underline{A} health professional or mental health professional other than one listed in subparagraph 1.;
- 3. \underline{A} practitioner who relies solely on spiritual means for healing;
- 4. Nursing home staff; assisted living facility staff; adult day care center staff; adult family-care home staff; social worker; or other professional adult care, residential, or institutional staff;
- 5. \underline{A} state, county, or municipal criminal justice employee or law enforcement officer;
- 6. An employee of the Department of Business and Professional Regulation conducting inspections of public lodging establishments under s. 509.032;
- 7. \underline{A} Florida advocacy council member or long-term care ombudsman council member; \underline{or}
- 8. \underline{A} bank, savings and loan, or credit union officer, trustee, or employee; or
- 9. An employee or agent of a state or local agency who has regulatory responsibilities over or who provides services to persons residing in a state-licensed assisted living facility,

who knows, or has reasonable cause to suspect, that a vulnerable

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adult has been or is being abused, neglected, or exploited <u>must</u> shall immediately report such knowledge or suspicion to the central abuse hotline.

Section 9. Subsections (7) and (8) of section 429.02, Florida Statutes, are amended to read:

429.02 Definitions.-When used in this part, the term:

- (7) "Community living support plan" means a written document prepared by a mental health resident and the resident's mental health case manager in consultation with the administrator of an assisted living facility with a limited mental health license or the administrator's designee. A copy must be provided to the administrator. The plan must include information about the supports, services, and special needs of the resident which enable the resident to live in the assisted living facility and a method by which facility staff can recognize and respond to the signs and symptoms particular to that resident which indicate the need for professional services.
- (8) "Cooperative agreement" means a written statement of understanding between a mental health care provider and the administrator of the assisted living facility with a limited mental health license in which a mental health resident is living. The agreement must specify directions for accessing emergency and after-hours care for the mental health resident. A single cooperative agreement may service all mental health residents who are clients of the same mental health care provider.
- Section 10. Subsection (1) of section 429.07, Florida Statutes, is amended to read:

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429.07 License required; fee.-

- (1) The requirements of part II of chapter 408 apply to the provision of services that require licensure pursuant to this part and part II of chapter 408 and to entities licensed by or applying for such licensure from the agency pursuant to this part. A license issued by the agency is required in order to operate an assisted living facility in this state. Effective July 1, 2013, an assisted living facility may not operate in this state unless the facility is under the management of an assisted living facility administrator licensed pursuant to s. 429.50.
- Section 11. Section 429.075, Florida Statutes, is amended to read:
- 429.075 Limited mental health license.—<u>In order to serve</u>

 three or more mental health residents, an assisted living
 facility that serves three or more mental health residents must obtain a limited mental health license.
 - (1) To obtain a limited mental health license, a facility:
- $\underline{\text{(a)}}$ Must hold a standard license as an assisted living facility; and,
- (b) Must not have been subject to administrative sanctions during the previous 2 years, or since initial licensure if the assisted living facility has been licensed for less than 2 years, for any of the following reasons:
- 1. One or more class I violations imposed by final agency action;
- 2. Three or more class II violations imposed by final agency action;

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3. Ten or more class III violations that were not corrected in accordance with s. 408.811(4);

- 4. Denial, suspension, or revocation of a license for another assisted living facility licensed under this part in which the license applicant had at least a 25-percent ownership interest; or
- 5. Imposition of a moratorium pursuant to this part or part II of chapter 408 or initiation of injunctive proceedings.

 any current uncorrected deficiencies or violations, and must ensure that,
- (2) Within 6 months after receiving a limited mental health license, the facility administrator and the staff of the facility who are in direct contact with mental health residents must complete training of no less than 6 hours related to their duties. This training shall be approved by the Department of Children and Family Services. A training provider may charge a reasonable fee for the training.
- (3) Application for a limited mental health license Such designation may be made at the time of initial licensure or relicensure or upon request in writing by a licensee under this part and part II of chapter 408. Notification of approval or denial of the license such request shall be made in accordance with this part, part II of chapter 408, and applicable rules. This training will be provided by or approved by the Department of Children and Family Services.
- (4) (2) Facilities licensed to provide services to mental health residents shall provide appropriate supervision and staffing to provide for the health, safety, and welfare of such

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729 residents.

- (3) A facility that has a limited mental health license must:
- (a) Have a copy of each mental health resident's community living support plan and the cooperative agreement with the mental health care services provider. The support plan and the agreement may be combined.
- (b) Have documentation that is provided by the Department of Children and Family Services that each mental health resident has been assessed and determined to be able to live in the community in an assisted living facility with a limited mental health license.
- (c) Make the community living support plan available for inspection by the resident, the resident's legal guardian, the resident's health care surrogate, and other individuals who have a lawful basis for reviewing this document.
- (d) Assist the mental health resident in carrying out the activities identified in the individual's community living support plan.
- (4) A facility with a limited mental health license may enter into a cooperative agreement with a private mental health provider. For purposes of the limited mental health license, the private mental health provider may act as the case manager.
- Section 12. Section 429.0751, Florida Statutes, is created to read:
- 429.0751 Mental health residents.—An assisted living facility that has one or more mental health residents must:
 - (1) Enter into a cooperative agreement with the mental

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health care service provider responsible for providing services to the mental health resident, including a mental health care service provider responsible for providing private pay services to the mental health resident, to ensure coordination of care.

- (2) Consult with the mental health case manager and the mental health resident in the development of a community living support plan and maintain a copy of each mental health resident's community living support plan.
- (3) Make the community living support plan available for inspection by the resident, the resident's legal guardian, the resident's health care surrogate, and other individuals who have a lawful basis for reviewing this document.
- (4) Assist the mental health resident in carrying out the activities identified in the individual's community living support plan.
- (5) Have documentation that is provided by the Department of Children and Family Services that each mental health resident has been assessed and determined to be able to live in the community in an assisted living facility.
- Section 13. Paragraphs (a) and (b) of subsection (2) of section 429.178, Florida Statutes, are amended to read:
- 429.178 Special care for persons with Alzheimer's disease or other related disorders.—
- (2)(a) An individual who is employed by a facility that provides special care for residents with Alzheimer's disease or other related disorders, and who has regular contact with such residents, must complete up to 4 hours of initial dementiaspecific training developed or approved by the department. The

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training shall be completed within 3 months after beginning employment and shall satisfy the core training requirements of s. 429.52(2)(d) 429.52(2)(g).

- (b) A direct caregiver who is employed by a facility that provides special care for residents with Alzheimer's disease or other related disorders, and who provides direct care to such residents, must complete the required initial training and 4 additional hours of training developed or approved by the department. The training shall be completed within 9 months after beginning employment and shall satisfy the core training requirements of s. 429.52(2)(d) $\frac{429.52(2)(g)}{(2)}$.
- Section 14. Subsection (2) of section 429.19, Florida Statutes, is amended to read:
- 429.19 Violations; imposition of administrative fines; grounds.—
- (2) Each violation of this part and adopted rules shall be classified according to the nature of the violation and the gravity of its probable effect on facility residents.
- (a) The agency shall indicate the classification on the written notice of the violation as follows:
- 1.(a) Class "I" violations are defined in s. 408.813. The agency shall issue a citation regardless of correction. The agency shall impose an administrative fine for a cited class I violation in an amount not less than \$5,000 and not exceeding \$10,000 for each violation.
- $\underline{2.}$ (b) Class "II" violations are defined in s. 408.813. $\underline{\text{The}}$ agency may issue a citation regardless of correction. The agency shall impose an administrative fine for a cited class II

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violation in an amount not less than \$1,000 and not exceeding \$5,000 for each violation.

- 3.(c) Class "III" violations are defined in s. 408.813. The agency shall impose an administrative fine for a cited class III violation in an amount not less than \$500 and not exceeding \$1,000 for each violation.
- $\frac{4.(d)}{(d)}$ Class "IV" violations are defined in s. 408.813. The agency shall impose an administrative fine for a cited class IV violation in an amount not less than \$100 and not exceeding \$200 for each violation.
- (b) In lieu of the penalties provided in paragraph (a), the agency shall impose a \$10,000 penalty for a violation that results in the death of a resident.
- (c) Notwithstanding paragraph (a), if the assisted living facility is cited for a violation in the same class as a prior violation cited within the past 24 months, the agency shall double the fine for a subsequent violation.
- Section 15. Section 429.231, Florida Statutes, is created to read:
 - 429.231 Advisory council; membership; duties.-
- (1) The department shall establish an advisory council to review the facts and circumstances of unexpected deaths in assisted living facilities and of elopements that result in harm to a resident. The purpose of this review is to:
- (a) Achieve a greater understanding of the causes and contributing factors of the unexpected deaths and elopements.
- (b) Identify any gaps, deficiencies, or problems in the delivery of services to the residents.

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(2) Based on the review, the advisory council shall make recommendations for:

- (a) Industry best practices that could be used to prevent unexpected deaths and elopements.
- (b) Training and educational requirements for employees and administrators of assisted living facilities.
- (c) Changes in the law, rules, or other policies to prevent unexpected deaths and elopements.
- statistical report on the incidence and causes of unexpected deaths in assisted living facilities and of elopements that result in harm to residents during the prior calendar year. The advisory council shall submit a copy of the report by December 31 of each year to the Governor, the President of the Senate, and the Speaker of the House of Representatives. The report may make recommendations for state action, including specific policy, procedural, regulatory, or statutory changes, and any other recommended preventive action.
- (4) The advisory council shall consist of the following
 members:
- (a) The Secretary of Elderly Affairs, or a designee, who shall be the chair.
- (b) The Secretary of Health Care Administration, or a designee.
- (c) The Secretary of Children and Family Services, or a designee.
 - (d) The State Long-Term Care Ombudsman, or a designee.
 - (e) The following members, selected by the Governor:

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1. An owner or administrator of an assisted living facility with fewer than 17 beds.

- 2. An owner or administrator of an assisted living facility with 17 or more beds.
- 3. An owner or administrator of an assisted living facility with a limited mental health license.
- 4. A representative from each of three statewide associations that represent assisted living facilities.
 - 5. A resident of an assisted living facility.
- (5) The advisory council shall meet at the call of the chair, but at least twice each calendar year. The chair may appoint ad hoc committees as necessary to carry out the duties of the council.
- (6) The members of the advisory council selected by the Governor shall be appointed to staggered terms of office which may not exceed 2 years. Members are eligible for reappointment.
- (7) Members of the advisory council shall serve without compensation, but are entitled to reimbursement for per diem and travel expenses incurred in the performance of their duties as provided in s. 112.061 and to the extent that funds are available.
- Section 16. Section 429.34, Florida Statutes, is amended to read:
 - 429.34 Right of entry and inspection.-
- (1) In addition to the requirements of s. 408.811, any duly designated officer or employee of the department, the Department of Children and Family Services, the Medicaid Fraud Control Unit of the Office of the Attorney General, the state or

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local fire marshal, or a member of the state or local long-term care ombudsman council <u>may</u> shall have the right to enter unannounced upon and into the premises of any facility licensed pursuant to this part in order to determine the state of compliance with the provisions of this part, part II of chapter 408, and applicable rules. Data collected by the state or local long-term care ombudsman councils or the state or local advocacy councils may be used by the agency in investigations involving violations of regulatory standards.

- (2) In accordance with s. 408.811, every 24 months the agency shall conduct at least one unannounced inspection to determine compliance with this part, part II of chapter 408, and applicable rules. If the assisted living facility is accredited by the Joint Commission, the Council on Accreditation, or the Commission on Accreditation of Rehabilitation Facilities, the agency may conduct inspections less frequently, but in no event less than once every 5 years.
- (a) Two additional inspections shall be conducted every 6 months for the next year if the assisted living facility has been cited for a class I violation or two or more class II violations arising from separate inspections within a 60-day period. In addition to any fines imposed on an assisted living facility under s. 429.19, the agency shall assess a fee of \$69 per bed for each of the additional two inspections, not to exceed \$12,000 per inspection.
- (b) The agency shall verify through subsequent inspections that any violation identified during an inspection is corrected. However, the agency may verify the correction of a class III or

925 class IV violation unrelated to resident rights or resident care 926 without reinspection if the facility submits adequate written 927 documentation that the violation has been corrected. 928 Section 17. Section 429.50, Florida Statutes, is created 929 to read: 930 429.50 Assisted living facility administrator; 931 qualifications; licensure; fees; continuing education.-932 (1) The requirements of part II of chapter 408 apply to 933 the provision of services that require licensure pursuant to 934 this section. Effective July 1, 2013, an assisted living 935 facility administrator must have a license issued by the agency. 936 To be eligible to be licensed as an assisted living 937 facility administrator, an applicant must: (a) Be at least 21 years old. 938 (b) 939 Complete 30 hours of core training and 10 hours of 940 supplemental training described in s. 429.52. 941 Pass the competency test described in s. 429.52 with a 942 minimum score of 80. 943 (d) Complete background screening pursuant to s. 429.174. 944 (e) Otherwise meet the requirements of this part. 945 Notwithstanding paragraphs (2) (b) and (c), the agency 946 may grant a license to an applicant who: 947 (a) Has been employed as an assisted living facility administrator for 2 of the 5 years immediately preceding July 1, 948 949 2013, is in compliance with the continuing education 950 requirements in this part, and has not been an assisted living 951 facility administrator that was cited for a class I or class II 952 violation within the previous 2 years; or

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(b) Is licensed in accordance with part II of chapter 468 and is in compliance with the continuing education requirements in part II of chapter 468.

(4) The license shall be renewed biennially.

- (5) The fees for licensure shall be \$150 for the initial licensure and \$150 for each licensure renewal.
- (6) A licensed assisted living facility administrator must complete continuing education described in s. 429.52 for a minimum of 18 hours every 2 years.
- (7) The agency shall deny or revoke the license if the applicant or licensee:
- (a) Was the assisted living facility administrator of record for or had a controlling interest in a provider licensed by the agency under this chapter, part II of chapter 408, or applicable rules, when the provider was cited for violations that resulted in denial or revocation of a license; or
- (b) Has a final agency action for unlicensed activity pursuant to this chapter, part II of chapter 408, or applicable rules.
- (8) The agency may deny or revoke the license if the applicant or licensee was the assisted living facility administrator of record for or had a controlling interest in a provider licensed by the agency under this chapter, part II of chapter 408, or applicable rules, when the provider was cited for violations within the previous 3 years that resulted in a resident's death.
- (9) The agency may adopt rules as necessary to administer this section.

Section 18. For the purpose of staggering license expiration dates, the Agency for Health Care Administration may issue a license for less than a 2-year period for assisted living facility administrator licensure as authorized in this act. The agency shall charge a prorated licensure fee for this shortened period. This section and the authority granted under this section expire December 31, 2013.

Section 19. Section 429.52, Florida Statutes, is amended to read:

- 429.52 Staff, administrator, and administrator license applicant training and educational programs; core educational requirement.—
- (1) Administrators, applicants to become administrators, and other assisted living facility staff must meet minimum training and education requirements established by the Department of Elderly Affairs by rule. This training and education is intended to assist facilities to appropriately respond to the needs of residents, to maintain resident care and facility standards, and to meet licensure requirements.
- administrators, The department shall establish a competency test and a minimum required score to indicate successful completion of the training and educational requirements. The competency test must be developed by the department in conjunction with the agency and providers. the required training and education, which may be provided as inservice training, must cover at least the following topics:
 - (a) Reporting major incidents and reporting adverse

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<u>incidents</u> State law and rules relating to assisted living facilities.

- (b) Resident rights and identifying and reporting abuse, neglect, and exploitation.
- resident elopement response policies and procedures Special needs of elderly persons, persons with mental illness, and persons with developmental disabilities and how to meet those needs.
- (d) General information on interacting with individuals with Alzheimer's disease and related disorders Nutrition and food service, including acceptable sanitation practices for preparing, storing, and serving food.
- (e) Medication management, recordkeeping, and proper techniques for assisting residents with self-administered medication.
- (f) Firesafety requirements, including fire evacuation drill procedures and other emergency procedures.
- (g) Care of persons with Alzheimer's disease and related disorders.
- (3) Effective January 1, 2004, a new facility administrator must complete the required training and education, including the competency test, within a reasonable time after being employed as an administrator, as determined by the department. Failure to do so is a violation of this part and subjects the violator to an administrative fine as prescribed in s. 429.19. Administrators licensed in accordance with part II of chapter 468 are exempt from this requirement. Other licensed

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professionals may be exempted, as determined by the department by rule.

- (4) Administrators are required to participate in continuing education for a minimum of 12 contact hours every 2 years.
- (3)(5) Staff involved with the management of medications and assisting with the self-administration of medications under s. 429.256 must complete a minimum of 4 additional hours of training provided by a registered nurse, licensed pharmacist, or department staff. The department shall establish by rule the minimum requirements of this additional training.
- (6) Other facility staff shall participate in training relevant to their job duties as specified by rule of the department.
- (4) (7) If the department or the agency determines that there are problems in a facility that could be reduced through specific staff training or education beyond that already required under this section, the department or the agency may require, and provide, or cause to be provided, the training or education of any personal care staff in the facility.
- Department of Children and Family Services, and stakeholders, shall approve a standardized core training curriculum that must be completed by an applicant for licensure as an assisted living facility administrator. The curriculum must be offered in English and Spanish and timely updated to reflect changes in the law, rules, and best practices. The required training must cover, at a minimum, the following topics:

1065	(a) State law and rules relating to assisted living
1066	facilities.
1067	(b) Residents' rights and procedures for identifying and
1068	reporting abuse, neglect, and exploitation.
1069	(c) Special needs of elderly persons, persons who have
1070	mental illnesses, and persons who have developmental
1071	disabilities and how to meet those needs.
1072	(d) Nutrition and food service, including acceptable
1073	sanitation practices for preparing, storing, and serving food.
1074	(e) Medication management, recordkeeping, and proper
1075	techniques for assisting residents who self-administer
1076	medication.
1077	(f) Firesafety requirements, including procedures for fire
1078	evacuation drills and other emergency procedures.
1079	(g) Care of persons who have Alzheimer's disease and
1080	related disorders.
1081	(h) Elopement prevention.
1082	(i) Aggression and behavior management, deescalation
1083	techniques, and proper protocols and procedures of the Baker Act
1084	as provided in part I of chapter 394.
1085	(j) Do-not-resuscitate orders.
1086	(k) Infection control.
1087	(1) Admission, continuing residency, and best practices in
1088	the assisted living industry.
1089	(m) Phases of care and interacting with residents.
1090	(6) The department, in consultation with the agency, the
1091	Department of Children and Family Services, and stakeholders,

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shall approve a supplemental training curriculum consisting of

topics related to extended congregate care, limited mental health, and business operations, including human resources, financial management, and supervision of staff, which must be completed by an applicant for licensure as an assisted living facility administrator.

- (7) The department shall approve a competency test for applicants for licensure as an assisted living facility administrator which tests the individual's comprehension of the training required in subsections (5) and (6). The competency test must be reviewed annually and timely updated to reflect changes in the law, rules, and best practices. The competency test must be offered in English and Spanish and may be made available through testing centers.
- (8) The department, in consultation with the agency and stakeholders, shall approve curricula for continuing education for administrators and staff members of an assisted living facility. Continuing education shall include topics similar to that of the core training required for staff members and applicants for licensure as assisted living facility administrators. Continuing education may be offered through online courses, and any fees associated with the online service shall be borne by the licensee or the assisted living facility. Required continuing education must, at a minimum, cover the following topics:
 - (a) Elopement prevention.
 - (b) Deescalation techniques.
- (c) Phases of care and interacting with residents.
- (9) Effective January 1, 2013, the training required by

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this section shall be conducted by:

- (a) Any Florida College System institution;
- (b) Any nonpublic postsecondary educational institution licensed or exempted from licensure pursuant to chapter 1005; or
- (c) Any statewide association that contracts with the department to provide training. The department may specify minimum trainer qualifications in the contract. For the purposes of this section, the term "statewide association" means any statewide entity which represents and provides technical assistance to assisted living facilities.
- (10) Assisted living facility trainers shall keep a record of individuals who complete training and shall submit the record to the agency within 30 days after the individual completes the course.
- (11) The department shall adopt rules as necessary to administer this section.
- (8) The department shall adopt rules related to these training requirements, the competency test, necessary procedures, and competency test fees and shall adopt or contract with another entity to develop a curriculum, which shall be used as the minimum core training requirements. The department shall consult with representatives of stakeholder associations and agencies in the development of the curriculum.
- (9) The training required by this section shall be conducted by persons registered with the department as having the requisite experience and credentials to conduct the training. A person seeking to register as a trainer must provide the department with proof of completion of the minimum core

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1149	training education requirements, successful passage of the
1150	competency test established under this section, and proof of
1151	compliance with the continuing education requirement in
1152	subsection (4).
1153	(10) A person seeking to register as a trainer must also:
1154	(a) Provide proof of completion of a 4-year degree from an
1155	accredited college or university and must have worked in a
1156	management position in an assisted living facility for 3 years
1157	after being core certified;
1158	(b) Have worked in a management position in an assisted
1159	living facility for 5 years after being core certified and have
1160	1 year of teaching experience as an educator or staff trainer
1161	for persons who work in assisted living facilities or other
1162	<pre>long-term care settings;</pre>
1163	(c) Have been previously employed as a core trainer for
1164	the department; or
1165	(d) Meet other qualification criteria as defined in rule,
1166	which the department is authorized to adopt.
1167	(11) The department shall adopt rules to establish trainer
1168	registration requirements.
1169	Section 20. Section 429.54, Florida Statutes, is amended
1170	to read:
1171	429.54 Collection of information; local subsidy:
1172	<pre>interagency communication</pre>
1173	(1) To enable the department to collect the information
1174	requested by the Legislature regarding the actual cost of
1175	providing room, board, and personal care in assisted living

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facilities, the department $\underline{\text{may}}$ is authorized to conduct field

CODING: Words stricken are deletions; words underlined are additions.

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visits and audits of facilities as may be necessary. The owners of randomly sampled facilities shall submit such reports, audits, and accountings of cost as the department may require by rule; however, provided that such reports, audits, and accountings may not be more than shall be the minimum necessary to implement the provisions of this subsection section. Any facility selected to participate in the study shall cooperate with the department by providing cost of operation information to interviewers.

- (2) Local governments or organizations may contribute to the cost of care of local facility residents by further subsidizing the rate of state-authorized payment to such facilities. Implementation of local subsidy shall require departmental approval and $\underline{\text{may}}$ shall not result in reductions in the state supplement.
- department, the Department of Children and Family Services, and the Agency for Persons with Disabilities shall develop or modify electronic systems of communication among state-supported automated systems to ensure that relevant information pertaining to the regulation of assisted living facilities and assisted living facility staff is timely and effectively communicated among agencies in order to facilitate the protection of residents.
 - Section 21. This act shall take effect July 1, 2012.