COMMITTEE/SUBCOMMITTEE ACTION ADOPTED ____ (Y/N) ADOPTED AS AMENDED ____ (Y/N) ADOPTED W/O OBJECTION ____ (Y/N) FAILED TO ADOPT ____ (Y/N) WITHDRAWN ____ (Y/N) OTHER

Committee/Subcommittee hearing bill: Criminal Justice

Subcommittee

Representative Holder offered the following:

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Amendment (with title amendment)

Remove lines 440-490 and insert:

Section 9. Paragraph (i) of subsection (4) of section 409.221, Florida Statutes, is amended to read:

409.221 Consumer-directed care program.-

- (4) CONSUMER-DIRECTED CARE.-
- (i) Background screening requirements.—All persons who render care under this section must undergo level 2 background screening pursuant to chapter 435 and s. 408.809. The agency shall, as allowable, reimburse consumer-employed caregivers for the cost of conducting background screening as required by this section. For purposes of this section, a person who has undergone screening, who is qualified for employment under this section and applicable rule, and who has not been unemployed for more than 90 days following such screening is not required to be

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rescreened. Such person must attest under penalty of perjury to not having been convicted of a disqualifying offense since completing such screening.

Section 10. Section 435.02, Florida Statutes, is amended to read:

435.02 Definitions.—For the purposes of this chapter, the term:

- (1) "Agency" means any state, county, or municipal agency that grants licenses or registration permitting the operation of an employer or is itself an employer or that otherwise facilitates the screening of employees pursuant to this chapter. If there is no state agency or the municipal or county agency chooses not to conduct employment screening, "agency" means the Department of Children and Family Services.
- (2) "Employee" means any person required by law to be screened pursuant to this chapter, including, but not limited to, persons who are contractors, licensees, or volunteers.
- (3) "Employer" means any person or entity required by law to conduct screening of employees pursuant to this chapter.
- (4) "Employment" means any activity or service sought to be performed by an employee which requires the employee to be screened pursuant to this chapter.
- (5) "Specified agency" means the Department of Health, the Department of Children and Families, the Agency for Health Care Administration, the Department of Elder Affairs, the Department of Juvenile Justice, and the Agency for Persons with Disabilities, when these agencies are conducting state and

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national criminal history background screening on persons who work with children, elderly or disabled persons.

(6) "Vulnerable person" means a minor as defined in s. 1.01 or a vulnerable adult as defined in s. 415.102.

Section 11. Section 435.12, Florida Statutes, is created to read:

435.12 Care Provider Background Screening Clearinghouse. --

- (1) The Agency for Health Care Administration in consultation with the Department of Law Enforcement shall create a secure internet web-based system, which shall be known as the "Clearinghouse," and shall be implemented to the full extent practicable no later than September 30, 2013, subject to the specified agencies being funded and equipped to participate in such program. The Clearinghouse will allow for the results of criminal history checks provided to the specified agencies for screening of persons qualified as care providers under s.943.0542 to be shared among the specified agencies when a person has applied for employment, volunteering, licensing or contracting that requires a state and national fingerprint-based criminal history check. The Agency for Health Care Administration and the Department of Law Enforcement may adopt rules under ss. 120.536(1) and 120.54 to implement any forms or procedures needed to carry out this section.
- (2) (a) To ensure currency of information in the

 Clearinghouse, fingerprints of employees required to be screened

 by a specified agency and included in the Clearinghouse must be:
- 1. Retained by the Department of Law Enforcement pursuant to s. 943.05(2)(g) and (h), and subsection (3), and the

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- Department of Law Enforcement must report the results of searching those fingerprints against incoming Florida arrests to the Agency for Health Care Administration for inclusion in the Clearinghouse.
- 2. Resubmitted for a Federal Bureau of Investigation (FBI) national criminal history check every five years until such time as the fingerprints are retained at the FBI.
- 3. Subject to retention on a five year renewal basis with fees collected at the time of initial or resubmission of fingerprints.
- (b) Until such time as the fingerprints are retained at the FBI, employees with a break in service for more than 90 days from a position that requires screening by a specified agency must submit to a national screening if returning to such a position.
- (c) Employers of persons subject to screening by a specified agency must register with the Clearinghouse and maintain employment status of all employees within the Clearinghouse. Initial employment status and any changes in status must be reported within 10 business days.
- (3) Employees who have undergone fingerprint-based criminal history checks by a specified agency prior to the Clearinghouse becoming operational are not required to be checked again solely for the purpose of entry in the Clearinghouse. All employees who are or will become subject to fingerprint-based criminal history checks to be licensed, or have their license renewed, or to meet screening or rescreening requirements, by a specified agency once the specified agency

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participates in the Clearinghouse shall be subject to the requirements of this section with respect to entry of records in the Clearinghouse and retention of fingerprints for reporting the results of searching against incoming Florida arrests.

Section 12. Section 456.0135, Florida Statutes, is created to read:

456.0135 General Background Screening Provisions. --

- (1) An application for initial licensure or renewal received on or after January 1, 2013, under chapters 458, 459, 460, 461, 464, or s. 465.022, must include fingerprints under procedures specified by the department through a vendor approved by the Department of Law Enforcement, and fees for initial screening and retention of fingerprints. Fingerprints must be submitted electronically to the Department of Law Enforcement for state processing, and the Department of Law Enforcement must forward the fingerprints to the Federal Bureau of Investigation for national processing. Each board, or the department if there is no board, shall screen the results to determine if an applicant meets licensure requirements. For any subsequent renewal of the applicant's license, the department shall request the Department of Law Enforcement to forward the retained fingerprints of the applicant to the Federal Bureau of Investigation for a national criminal history check.
- (2) All fingerprints submitted to the Department of Law Enforcement as required by subsection (1), shall be retained by the Department of Law Enforcement as provided at s.

 943.051(2)(g) and (h), and (3). The department shall notify the

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- Department of Law Enforcement of any person whose fingerprints
 have been retained that no longer is licensed.
 - (3) The costs of fingerprint processing, including the cost for retaining fingerprints, shall be borne by the applicant subject to the background screening.
 - Section 13. Paragraph (h) of subsection (2) of section 943.05, Florida Statutes, is amended to read:
 - 943.05 Criminal Justice Information Program; duties; crime reports.—
 - (2) The program shall:
 - (h) For each agency or qualified entity that officially requests retention of fingerprints or for which retention is otherwise required by law, search all arrest fingerprint submissions received under s. 943.051 against the fingerprints retained in the statewide automated fingerprint identification system under paragraph (g).
 - 1. Any arrest record that is identified with the retained fingerprints of a person subject to background screening as provided in paragraph (g) shall be reported to the appropriate agency or qualified entity.
 - 2. To participate in this search process, agencies or qualified entities must notify each person fingerprinted that his or her fingerprints will be retained, pay an annual fee to the department, and inform the department of any change in the affiliation, employment, or contractual status of each person whose fingerprints are retained under paragraph (g) if such change removes or eliminates the agency or qualified entity's basis or need for receiving reports of any arrest of that

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person, so that the agency or qualified entity is not obligated to pay the upcoming annual fee for the retention and searching of that person's fingerprints to the department. The department shall adopt a rule setting the amount of the annual fee to be imposed upon each participating agency or qualified entity for performing these searches and establishing the procedures for the retention of fingerprints and the dissemination of search results. The fee may be borne by the agency, qualified entity, or person subject to fingerprint retention or as otherwise provided by law. Consistent with the recognition of criminal justice agencies expressed in s. 943.053(3), these services shall be provided to criminal justice agencies for criminal justice purposes free of charge. Qualified entities that elect to participate in the fingerprint retention and search process are required to timely remit the fee to the department by a payment mechanism approved by the department. If requested by the qualified entity, and with the approval of the department, such fees may be timely remitted to the department by a qualified entity upon receipt of an invoice for such fees from the department. Failure of a qualified entity to pay the amount due on a timely basis or as invoiced by the department, may result in the refusal by the department to permit the qualified entity to continue to participate in the fingerprint retention and search process until all fees due and owing are paid.

3. Agencies that participate in the fingerprint retention and search process may adopt rules pursuant to ss. 120.536(1) and 120.54 to require employers to keep the agency informed of any change in the affiliation, employment, or contractual status 471799 - h943-line440.docx

(2012)

Amendment No. 1

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of each person whose fingerprints are retained under paragraph (g) if such change removes or eliminates the agency's basis or need for receiving reports of any arrest of that person, so that the agency is not obligated to pay the upcoming annual fee for the retention and searching of that person's fingerprints to the department.

Section 14. Subsection (12) of section 943.053, Florida Statutes, is amended, and subsection (13) is added to said section, to read:

943.053 Dissemination of criminal justice information; fees.-

Notwithstanding any other provision of law, when a criminal history check or a duty to disclose the absence of a criminal history check is mandated by state law, or when a privilege or benefit is conferred by state law in return for exercising an option of conducting a criminal history check, the referenced criminal history check, whether it is an initial or renewal check, shall include a Florida criminal history provided by the department as set forth in this section. Such Florida criminal history information may be provided by a private vendor only if that information is directly obtained from the department for each request. When a national criminal history check is required or authorized by state law, the national criminal history check shall be submitted by and through the department in the manner established by the department for such checks, unless otherwise required by federal law. The fee for criminal history information as established by state law or, in the case of national checks, by the Federal Government, shall be 471799 - h943-line440.docx

borne by the person or entity submitting the request, or as
provided by law. Criminal history information provided by any
other governmental entity of this state or any private entity
shall not be substituted for criminal history information
provided by the department when the criminal history check or a
duty to disclose the absence of a criminal history check is
required by statute or is made a condition of a privilege or
benefit by law. Whenever fingerprints are required or permitted
to be used as a basis for identification in conducting such a
criminal history check, such fingerprints must be taken by a law
enforcement agency employee, a government agency employee, a
qualified electronic fingerprint service provider or a private
employer. Fingerprints taken by the subject of the criminal
history check may not be accepted or used for the purpose of
identification in conducting such a criminal history check.

- (13) (a) For the department to accept an electronic fingerprint submission from:
- 1. A private vendor engaged in the business of providing electronic fingerprint submission; or
- 2. A private entity or public agency that submits the fingerprints of its own employees, volunteers, contractors, associates, or applicants for the purpose of conducting a required or permitted criminal history background check,

the vendor, entity, or agency submitting the fingerprints must enter into an agreement with the department that at a minimum obligates the vendor, entity, or agency to comply with certain specified standards to ensure that all persons having direct or

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indirect responsibility for taking, identifying, and
electronically submitting fingerprints are qualified to do so
and will ensure the integrity and security of all personal
information gathered from the persons whose fingerprints are
submitted.

- (b) Such standards shall include, but need not be limited to, requiring:
- 1. All persons responsible for taking fingerprints and collecting personal identifying information from the persons fingerprinted to meet current written state and federal guidelines for identity verification and for recording legible fingerprints;
- 2. The department and the Federal Bureau of Investigation's technical standards for the electronic submission of fingerprints are satisfied;
- 3. The fingerprint images electronically submitted satisfy the department and the Federal Bureau of Investigation's quality standards; and
- 4. That no person be allowed to take his or her own fingerprints for submission to the department.
- (c) The requirement for entering into an agreement with the department for this purpose does not apply to criminal justice agencies as defined at s. 943.045(10).
- (d) The agreement with the department must require the vendor, entity, or agency to collect from the person or entity on whose behalf the fingerprints are submitted the fees prescribed by state and federal law for processing the fingerprints for a criminal history background check. The

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agreement must provide that such fees be timely remitted to the department by a payment mechanism approved by the department.

If requested by the vendor, entity, or agency, and with the approval of the department, such fees may be timely remitted to the department by a vendor, entity, or agency upon receipt of an invoice for such fees from the department. Failure of a vendor, entity, or agency to pay the amount due on a timely basis or as invoiced by the department may result in the refusal by the department to accept future fingerprint submissions until all fees due and owing are paid.

Section 15. Paragraph (a) of subsection (4) of section 943.0585, Florida Statutes, is amended to read:

943.0585 Court-ordered expunction of criminal history records.-The courts of this state have jurisdiction over their own procedures, including the maintenance, expunction, and correction of judicial records containing criminal history information to the extent such procedures are not inconsistent with the conditions, responsibilities, and duties established by this section. Any court of competent jurisdiction may order a criminal justice agency to expunge the criminal history record of a minor or an adult who complies with the requirements of this section. The court shall not order a criminal justice agency to expunge a criminal history record until the person seeking to expunge a criminal history record has applied for and received a certificate of eligibility for expunction pursuant to subsection (2). A criminal history record that relates to a violation of s. 393.135, s. 394.4593, s. 787.025, chapter 794, s. 796.03, s. 800.04, s. 810.14, s. 817.034, s. 825.1025, s.

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Bill No. CS/HB 943 (2012)

Amendment No. 1 827.071, chapter 839, s. 847.0133, s. 847.0135, s. 847.0145, s. 893.135, s. 916.1075, a violation enumerated in s. 907.041, or any violation specified as a predicate offense for registration as a sexual predator pursuant to s. 775.21, without regard to whether that offense alone is sufficient to require such registration, or for registration as a sexual offender pursuant to s. 943.0435, may not be expunded, without regard to whether adjudication was withheld, if the defendant was found quilty of or pled guilty or nolo contendere to the offense, or if the defendant, as a minor, was found to have committed, or pled guilty or nolo contendere to committing, the offense as a delinquent act. The court may only order expunction of a criminal history record pertaining to one arrest or one incident of alleged criminal activity, except as provided in this section. The court may, at its sole discretion, order the expunction of a criminal history record pertaining to more than one arrest if the additional arrests directly relate to the original arrest. If the court intends to order the expunction of records pertaining to such additional arrests, such intent must be specified in the order. A criminal justice agency may not expunge any record pertaining to such additional arrests if the order to expunge does not articulate the intention of the court to expunge a record pertaining to more than one arrest. This section does not prevent the court from ordering the expunction of only a portion of a criminal history record pertaining to one arrest or one incident of alleged criminal activity. Notwithstanding any law to the contrary, a criminal justice agency may comply with laws, court orders, and official requests 471799 - h943-line440.docx Published On: 1/24/2012 7:54:11 PM

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of other jurisdictions relating to expunction, correction, or confidential handling of criminal history records or information derived therefrom. This section does not confer any right to the expunction of any criminal history record, and any request for expunction of a criminal history record may be denied at the sole discretion of the court.

- (4) EFFECT OF CRIMINAL HISTORY RECORD EXPUNCTION.—Any criminal history record of a minor or an adult which is ordered expunged by a court of competent jurisdiction pursuant to this section must be physically destroyed or obliterated by any criminal justice agency having custody of such record; except that any criminal history record in the custody of the department must be retained in all cases. A criminal history record ordered expunged that is retained by the department is confidential and exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution and not available to any person or entity except upon order of a court of competent jurisdiction. A criminal justice agency may retain a notation indicating compliance with an order to expunge.
- (a) The person who is the subject of a criminal history record that is expunged under this section or under other provisions of law, including former s. 893.14, former s. 901.33, and former s. 943.058, may lawfully deny or fail to acknowledge the arrests covered by the expunged record, except when the subject of the record:
- 1. Is a candidate for employment with a criminal justice agency;
- 2. Is a defendant in a criminal prosecution;
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- 3. Concurrently or subsequently petitions for relief under this section or s. 943.059;
 - 4. Is a candidate for admission to The Florida Bar;
 - 5. Is seeking to be employed or licensed by or to contract with the Department of Children and Family Services, the Agency for Health Care Administration, the Agency for Persons with Disabilities, the Department of Health, the Department of Elderly Affairs, or the Department of Juvenile Justice or to be employed or used by such contractor or licensee in a sensitive position having direct contact with children, the developmentally disabled, the aged, or the elderly as provided in s. 110.1127(3), s. 393.063, s. 394.4572(1), s. 397.451, s. 402.302(3), s. 402.313(3), s. 409.175(2)(i), s. 415.102(5), chapter 916, s. 985.644, chapter 400, or chapter 429;
 - 6. Is seeking to be employed or licensed by the Department of Education, any district school board, any university laboratory school, any charter school, any private or parochial school, or any local governmental entity that licenses child care facilities; or
 - 7. Is seeking authorization from a seaport listed in s. 311.09 for employment within or access to one or more of such seaports pursuant to s. 311.12.
 - Section 16. Paragraph (a) of subsection (4) of section 943.059, Florida Statutes, is amended to read:
 - 943.059 Court-ordered sealing of criminal history records.—The courts of this state shall continue to have jurisdiction over their own procedures, including the maintenance, sealing, and correction of judicial records 471799 h943-line440.docx

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Bill No. CS/HB 943 (2012)

Amendment No. 1 containing criminal history information to the extent such procedures are not inconsistent with the conditions, responsibilities, and duties established by this section. Any court of competent jurisdiction may order a criminal justice agency to seal the criminal history record of a minor or an adult who complies with the requirements of this section. The court shall not order a criminal justice agency to seal a criminal history record until the person seeking to seal a criminal history record has applied for and received a certificate of eligibility for sealing pursuant to subsection (2). A criminal history record that relates to a violation of s. 393.135, s. 394.4593, s. 787.025, chapter 794, s. 796.03, s. 800.04, s. 810.14, s. 817.034, s. 825.1025, s. 827.071, chapter 839, s. 847.0133, s. 847.0135, s. 847.0145, s. 893.135, s. 916.1075, a violation enumerated in s. 907.041, or any violation specified as a predicate offense for registration as a sexual predator pursuant to s. 775.21, without regard to whether that offense alone is sufficient to require such registration, or for registration as a sexual offender pursuant to s. 943.0435, may not be sealed, without regard to whether adjudication was withheld, if the defendant was found guilty of or pled guilty or nolo contendere to the offense, or if the defendant, as a minor, was found to have committed or pled guilty or nolo contendere to committing the offense as a delinquent act. The court may only order sealing of a criminal history record pertaining to one arrest or one incident of alleged criminal activity, except as provided in this section. The court may, at its sole discretion, order the sealing of a criminal history record pertaining to 471799 - h943-line440.docx

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more than one arrest if the additional arrests directly relate to the original arrest. If the court intends to order the sealing of records pertaining to such additional arrests, such intent must be specified in the order. A criminal justice agency may not seal any record pertaining to such additional arrests if the order to seal does not articulate the intention of the court to seal records pertaining to more than one arrest. This section does not prevent the court from ordering the sealing of only a portion of a criminal history record pertaining to one arrest or one incident of alleged criminal activity. Notwithstanding any law to the contrary, a criminal justice agency may comply with laws, court orders, and official requests of other jurisdictions relating to sealing, correction, or confidential handling of criminal history records or information derived therefrom. This section does not confer any right to the sealing of any criminal history record, and any request for sealing a criminal history record may be denied at the sole discretion of the court.

(4) EFFECT OF CRIMINAL HISTORY RECORD SEALING.—A criminal history record of a minor or an adult which is ordered sealed by a court of competent jurisdiction pursuant to this section is confidential and exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution and is available only to the person who is the subject of the record, to the subject's attorney, to criminal justice agencies for their respective criminal justice purposes, which include conducting a criminal history background check for approval of firearms purchases or transfers as authorized by state or federal law, to judges in the state courts system for the purpose of assisting them in 471799 - h943-line440.docx

their case-related decisionmaking responsibilities, as set forth in s. 943.053(5), or to those entities set forth in subparagraphs (a)1., 4., 5., 6., and 8. for their respective licensing, access authorization, and employment purposes.

- (a) The subject of a criminal history record sealed under this section or under other provisions of law, including former s. 893.14, former s. 901.33, and former s. 943.058, may lawfully deny or fail to acknowledge the arrests covered by the sealed record, except when the subject of the record:
- 1. Is a candidate for employment with a criminal justice agency;
 - 2. Is a defendant in a criminal prosecution;
- 3. Concurrently or subsequently petitions for relief under this section or s. 943.0585;
 - 4. Is a candidate for admission to The Florida Bar;
- 5. Is seeking to be employed or licensed by or to contract with the Department of Children and Family Services, the Agency for Health Care Administration, the Agency for Persons with Disabilities, the Department of Health, the Department of Elderly Affairs, or the Department of Juvenile Justice or to be employed or used by such contractor or licensee in a sensitive position having direct contact with children, the developmentally disabled, the aged, or the elderly—as provided in s. 110.1127(3), s. 393.063, s. 394.4572(1), s. 397.451, s. 402.302(3), s. 402.313(3), s. 409.175(2)(i), s. 415.102(5), s. 415.103, chapter 916, s. 985.644, chapter 400, or chapter 429;
- 6. Is seeking to be employed or licensed by the Department of Education, any district school board, any university 471799 h943-line440.docx Published On: 1/24/2012 7:54:11 PM

laboratory school, any charter school, any private or parochial school, or any local governmental entity that licenses child care facilities;

- 7. Is attempting to purchase a firearm from a licensed importer, licensed manufacturer, or licensed dealer and is subject to a criminal history check under state or federal law;
- 8. Is seeking authorization from a Florida seaport identified in s. 311.09 for employment within or access to one or more of such seaports pursuant to s. 311.12.

Section 17. This act shall take effect upon becoming a law

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TITLE AMENDMENT

Remove lines 50-57 and insert: certified nursing assistants; amending s. 409.221, F.S.; revising provisions relating to background screening for persons rendering care in the consumer-directed care program; amending s. 435.02, F.S.; revising and providing definitions relating to employment screening; creating s. 435.12, F.S.; creating the Background Screening Clearinghouse; providing for the implementation and operation of the Clearinghouse; providing for the results of certain criminal history checks to be shared among specified agencies; providing for retention of fingerprints; providing for the registration of employers; creating s. 456.0135, F.S.; providing that certain fingerprints 471799 - h943-line440.docx

COMMITTEE/SUBCOMMITTEE AMENDMENT Bill No. CS/HB 943 (2012)

Amendment No. 1 submitted to the Department of Health after a certain date be submitted by an approved vendor pursuant to certain procedures; amending s. 943.05, F.S.; providing certain procedures for qualified entities participating in the Criminal Justice Information Program that elect to participate in the fingerprint retention program; amending s. 943.053, F.S.; providing procedures for the submission of fingerprints for certain criminal history checks; amending s. 943.0585, F.S.; revising provisions relating to the court-ordered expunction of criminal history records; amending s. 943.059, F.S.; provisions relating to the court-ordered sealing of criminal history records; providing an effective date.

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