1 A bill to be entitled 2 An act relating to the Commission on Ethics; amending 3 ss. 55.10 and 55.202, F.S.; authorizing the commission 4 to acquire liens on real and personal property for 5 certain fines imposed by final order of the 6 commission; amending s. 55.209, F.S.; conforming a 7 cross-reference; amending s. 112.3143, F.S.; providing 8 a definition; providing circumstances under which a 9 state public officer who holds an elective office must 10 disclose certain interests when voting on a matter; 11 prohibiting a state public officer who holds an 12 appointive position from voting upon certain matters; 13 requiring disclosure of certain interests of a state 14 public officer holding an appointive position and a 15 county, municipal, or other local public officer; 16 prohibiting a state public officer holding an 17 appointive position and a county, municipal, or other local public officer from participating in certain 18 19 matters that would inure to his or her gain or that of 20 others; providing exceptions; amending s. 112.324, 21 F.S.; expanding the authority of the commission to 22 initiate an investigation of an alleged violation or 23 breach of the public trust upon the receipt of a written referral from certain individuals and which 24 seven members of the commission deem sufficient; 25 26 requiring the transmission of a referral to an alleged 27 violator under certain circumstances; amending s.

Page 1 of 20

28 411.01, F.S.; conforming a cross-reference; providing an effective date. 29 30 31 Be It Enacted by the Legislature of the State of Florida: 32 33 Section 1. Subsection (8) is added to section 55.10, 34 Florida Statutes, to read: 35 55.10 Judgments, orders, and decrees; lien of all, 36 generally; extension of liens; transfer of liens to other security.-37 38 (8) For purposes of this section and s. 55.202, a final order issued by the Commission on Ethics for any fine 39 40 automatically imposed pursuant to s. 112.3144(5)(e) or s. 41 112.3145(6)(f) shall be treated in the same manner as a 42 judgment, order, or decree issued by a court. 43 Section 2. Section 55.202, Florida Statutes, is amended to 44 read: 45 55.202 Judgments, orders, and decrees; lien on personal property.-46 47 A judgment lien securing the unpaid amount of any 48 money judgment may be acquired by the holder of a judgment that 49 is: Enforceable in this state under its laws or the laws 50 51 of the United States; Entered by an issuing tribunal with respect to a 52 53 support order being enforced in this state pursuant to chapter 54 88; or

Page 2 of 20

Enforceable by operation of law pursuant to s.

55

56 61.14(6).

- (2) A judgment lien securing the unpaid amount of any fine described in s. 55.10(8) which is due to the Commission on Ethics may be acquired by the commission.
- (3)(2) A judgment lien may be acquired on a judgment debtor's interest in all personal property in this state subject to execution under s. 56.061, other than fixtures, money, negotiable instruments, and mortgages.
- (a) A judgment lien is acquired by filing a judgment lien certificate in accordance with s. 55.203 with the Department of State after the judgment has become final and if the time to move for rehearing has lapsed, no motion for rehearing is pending, and no stay of the judgment or its enforcement is then in effect. A court may authorize, for cause shown, the filing of a judgment lien certificate before a judgment has become final when the court has authorized the issuance of a writ of execution in the same matter. A judgment lien certificate not filed in compliance with this subsection is permanently void and of no effect.
- (b) For any lien, warrant, assessment, or judgment collected by the Department of Revenue, a judgment lien may be acquired by filing the judgment lien certificate information or warrant with the Department of State in accordance with subsection (6) (5).
- (c) For the unpaid amount of any fine described in s.

  55.10(8) which is due to the Commission on Ethics, a judgment
  lien may be acquired by filing a copy of the commission's final
  order with the Department of State.

Page 3 of 20

(d) (e) Except as provided in s. 55.208, the effective date of a judgment lien is the date, including the time of day, of filing the judgment lien certificate or copy of the final order of the Commission on Ethics. Although no lien attaches to property, and a creditor does not become a lien creditor as to liens under chapter 679, until the debtor acquires an interest in the property, priority among competing judgment liens is determined in order of filing date and time.

- $\underline{\text{(e)}}$  Except as provided in s. 55.204(3), a judgment creditor may file only one effective judgment lien certificate based upon a particular judgment.
- (4) (3) Except as otherwise provided in s. 55.208, the priority of a judgment lien acquired in accordance with this section or s. 55.204(3) is established at the date and time that the judgment lien certificate or final order of the Commission on Ethics is filed.
- (5)(4) As used in ss. 55.201-55.209, the terms "holder of a judgment" and "judgment creditor" include the Department of Revenue with respect to a judgment being enforced by the Department of Revenue as the state IV-D agency.
- (6) Liens, assessments, warrants, or judgments filed pursuant to paragraph (3) (b) (2) (b) may be filed directly into the central database by the Department of Revenue, or its designee as determined by its executive director, through electronic or information data exchange programs approved by the Department of State. Such filings must contain the information set forth in s. 55.203(1).

Section 3. Subsection (1) of section 55.209, Florida
112 Statutes, is amended to read:

55.209 Department of State; processing fees, responsibilities.—

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

133

134

135

136

137

138

- (1) Except for liens, assessments, warrants, or judgments filed electronically as provided in s. 55.202(3)(b) 55.202(2)(b), the Department of State shall collect the following nonrefundable processing fees for all documents filed in accordance with ss. 55.201-55.209:
- (a) For any judgment lien certificate or other documents permitted to be filed, \$20.
  - (b) For the certification of any filed document, \$10.
- (c) For copies of judgment lien documents which are produced by the Department of State, \$1 per page or part thereof. However, no charge may be collected for copies provided in an online electronic format via the Internet.
- (d) For indexing a judgment lien by multiple judgment debtor names, \$5 per additional name.
- (e) For each additional facing page attached to a judgment lien certificate or document permitted to be filed, \$5.
- 131 Section 4. Section 112.3143, Florida Statutes, is amended to read:
  - 112.3143 Voting conflicts.—
  - (1) As used in this section, the term:
  - (a) "Principal by whom retained" means an individual or entity, other than an agency as defined in s. 112.312(2), that for compensation, salary, pay, consideration, or similar thing of value, has permitted or directed another to act for the

Page 5 of 20

individual or entity. The term includes, but is not limited to, one's client, employer, or master, or the parent, subsidiary, or sibling organization of one's client, employer, or master.

(b) (a) "Public officer" includes any person elected or appointed to hold office in any agency, including any person serving on an advisory body.

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

- (c) (b) "Relative" means any father, mother, son, daughter, husband, wife, brother, sister, father-in-law, mother-in-law, son-in-law, or daughter-in-law.
- A No state public officer holding an elective office is not prohibited from voting in that an official capacity on any matter. However, when any state public officer voting in an official capacity upon any measure that which would inure to the officer's special private gain or loss; that which he or she knows would inure to the special private gain or loss of any principal by whom the officer is retained or to the parent organization or subsidiary of a corporate principal by which the officer is retained; or that which the officer knows would inure to the special private gain or loss of a relative or business associate of the public officer, the officer shall, within 15 days after the vote occurs, disclose the nature of all of his or her interests in the matter, and disclose the nature of all of the interests of his or her principals, relatives, or business associates which are known to him or her, his or her interest as a public record in a memorandum filed with the person responsible for recording the minutes of the meeting, who shall incorporate the memorandum in the minutes.
  - (3) (a) A state public officer holding an appointive

Page 6 of 20

167

168

169

170

171

172

173

174

175

176

177178

179

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

position, and a No county, municipal, or other local public officer may not shall vote in an official capacity upon any measure that which would inure to his or her special private gain or loss; that which he or she knows would inure to the special private gain or loss of any principal by whom he or she is retained or to the parent organization or subsidiary of a corporate principal by which he or she is retained, other than an agency as defined in s. 112.312(2); or that which he or she knows would inure to the special private gain or loss of a relative or business associate of the public officer. Such public officer shall, before prior to the vote is being taken, publicly state to the assembly the nature of all of the officer's interests, and all of the interests of his or her principals, relatives, or business associates which are known to him or her, interest in the matter from which he or she is abstaining from voting and, within 15 days after the vote occurs, disclose the nature of all of his or her interests in the matter, and disclose the nature of all of the interests of his or her principals, relatives, or business associates which are known to him or her, his or her interest as a public record in a memorandum filed with the person responsible for recording the minutes of the meeting, who shall incorporate the memorandum in the minutes. (b) However, a commissioner of a community redevelopment agency created or designated pursuant to s. 163.356 or s. 163.357, or an officer of an independent special tax district

Page 7 of 20

elected on a one-acre, one-vote basis, is not prohibited from

voting, when voting in said capacity.

(4) A state public officer holding an appointive position, and a county, municipal, or other local public officer may not

No appointed public officer shall participate in any matter that which would inure to the officer's special private gain or loss; that which the officer knows would inure to the special private gain or loss of any principal by whom he or she is retained or to the parent organization or subsidiary of a corporate principal by which he or she is retained; or that which he or she knows would inure to the special private gain or loss of a relative or business associate of the public officer, without first disclosing the nature of his or her interest in the matter.

- (5) However, a commissioner of a community redevelopment agency created or designated pursuant to s. 163.356 or s.

  163.357, or an officer of an independent special tax district elected on a one-acre, one-vote basis, is not prohibited from voting in that capacity, but must make the disclosures provided for in section (3). In addition, the officer may not participate in such a measure without first disclosing the nature of his or her interests and the interests of his or her principal, relative, or business associate in the matter.
- (a) Such disclosure, indicating the nature of the conflict, shall be made in a written memorandum filed with the person responsible for recording the minutes of the meeting, prior to the meeting in which consideration of the matter will take place, and shall be incorporated into the minutes. Any such memorandum shall become a public record upon filing, shall immediately be provided to the other members of the agency, and

shall be read publicly at the next meeting held subsequent to the filing of this written memorandum.

- (b) In the event that disclosure has not been made prior to the meeting or that any conflict is unknown prior to the meeting, the disclosure shall be made orally at the meeting when it becomes known that a conflict exists. A written memorandum disclosing the nature of the conflict shall then be filed within 15 days after the oral disclosure with the person responsible for recording the minutes of the meeting and shall be incorporated into the minutes of the meeting at which the oral disclosure was made. Any such memorandum shall become a public record upon filing, shall immediately be provided to the other members of the agency, and shall be read publicly at the next meeting held subsequent to the filing of this written memorandum.
- (6)(c) For purposes of this <u>section</u> subsection, the term "participate" means any attempt to influence the decision by oral or written communication to any officer, employee, or member of the agency, whether made by the officer or at the officer's direction.
- (7)(5) Whenever a public officer or former public officer is being considered for appointment or reappointment to public office, the appointing body shall consider the number and nature of the memoranda of conflict previously filed under this section by the said officer.
- Section 5. Subsections (1), (3), (4), (5), (8), and (11) of section 112.324, Florida Statutes, are amended to read:
  - 112.324 Procedures on complaints or referrals of

Page 9 of 20

violations; public records and meeting exemptions.-

- (1) Upon a written complaint executed on a form prescribed by the commission and signed under oath or affirmation by any person, The commission shall investigate any alleged violation of this part or any other alleged breach of the public trust within the jurisdiction of the commission as provided in s. 8(f), Art. II of the State Constitution, in accordance with procedures set forth herein:
- (a) Upon receipt of a written complaint executed on a form prescribed by the commission and signed under oath or affirmation by any person; or
- (b) Upon receipt of a written referral of a possible violation of this part or other possible breach of the public trust from the Governor, the Chief Financial Officer, a state attorney, or the executive director of the Department of Law Enforcement, which seven members of the commission deem sufficient to indicate a breach of the public trust.

Within 5 days after receipt of a complaint by the commission or within 5 days after determination by the commission that a referral received is deemed sufficient, a copy shall be transmitted to the alleged violator.

(3) A preliminary investigation shall be undertaken by the commission of each legally sufficient complaint or referral over which the commission has jurisdiction to determine whether there is probable cause to believe that a violation has occurred. If, upon completion of the preliminary investigation, the commission finds no probable cause to believe that this part has been

279

280

281

282

283

284

285

286

287

288

289290

291

292

293

294

295

296

297

298

299

300

301

302

303

304

305

306

violated or that any other breach of the public trust has been committed, the commission shall dismiss the complaint or proceeding with the issuance of a public report to the complainant and the alleged violator, stating with particularity its reasons for dismissal of the complaint. At that time, the complaint, the proceeding, and all materials relating to the complaint and proceeding shall become a matter of public record. If the commission finds from the preliminary investigation probable cause to believe that this part has been violated or that any other breach of the public trust has been committed, it shall so notify the complainant and the alleged violator in writing. Such notification and all documents made or received in the disposition of the complaint or proceeding shall then become public records. Upon request submitted to the commission in writing, any person who the commission finds probable cause to believe has violated any provision of this part or has committed any other breach of the public trust shall be entitled to a public hearing. Such person shall be deemed to have waived the right to a public hearing if the request is not received within 14 days after following the mailing of the probable cause notification required by this subsection. However, the commission may on its own motion, require a public hearing, may conduct such further investigation as it deems necessary, and may enter into such stipulations and settlements as it finds to be just and in the best interest of the State. The commission is without jurisdiction to, and no respondent may voluntarily or involuntarily, enter into a stipulation or settlement which imposes any penalty, including, but not limited to, a sanction

Page 11 of 20

or admonition or any other penalty contained in s. 112.317. Penalties shall be imposed only by the appropriate disciplinary authority as designated in this section.

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

- If, in cases pertaining to members of the Legislature, upon completion of a full and final investigation by the commission, the commission finds that there has been a violation of this part or of any provision of s. 8, Art. II of the State Constitution, the commission shall forward a copy of the complaint or referral and its findings by certified mail to the President of the Senate or the Speaker of the House of Representatives, whichever is applicable, who shall refer the matter complaint to the appropriate committee for investigation and action which shall be governed by the rules of its respective house. It shall be the duty of the committee to report its final action upon the matter complaint to the commission within 90 days after of the date of transmittal to the respective house. Upon request of the committee, the commission shall submit a recommendation as to what penalty, if any, should be imposed. In the case of a member of the Legislature, the house in which the member serves shall have the power to invoke the penalty provisions of this part.
- (5) If, in cases pertaining to complaints against impeachable officers, upon completion of a full and final investigation by the commission, the commission finds that there has been a violation of this part or of any provision of s. 8, Art. II of the State Constitution, and the commission finds that the violation may constitute grounds for impeachment, the commission shall forward a copy of the complaint or referral and

Page 12 of 20

its findings by certified mail to the Speaker of the House of Representatives, who shall refer the <u>matter</u> <u>complaint</u> to the appropriate committee for investigation and action which shall be governed by the rules of the House of Representatives. It shall be the duty of the committee to report its final action upon the <u>matter</u> <u>complaint</u> to the commission within 90 days <u>after</u> of the date of transmittal.

- (8) If, in cases pertaining to complaints other than complaints against impeachable officers or members of the Legislature, upon completion of a full and final investigation by the commission, the commission finds that there has been a violation of this part or of s. 8, Art. II of the State Constitution, it shall be the duty of the commission to report its findings and recommend appropriate action to the proper disciplinary official or body as follows, and such official or body shall have the power to invoke the penalty provisions of this part, including the power to order the appropriate elections official to remove a candidate from the ballot for a violation of s. 112.3145 or s. 8(a) and (i), Art. II of the State Constitution:
- (a) The President of the Senate and the Speaker of the House of Representatives, jointly, in any case concerning the Public Counsel, members of the Public Service Commission, members of the Public Service Commission Nominating Council, the Auditor General, or the director of the Office of Program Policy Analysis and Government Accountability.
- (b) The Supreme Court, in any case concerning an employee of the judicial branch.

employee of the Senate; the Speaker of the House of
Representatives, in any case concerning an employee of the House
of Representatives; or the President and the Speaker, jointly,
in any case concerning an employee of a committee of the
Legislature whose members are appointed solely by the President
and the Speaker or in any case concerning an employee of the
Public Counsel, Public Service Commission, Auditor General, or
Office of Program Policy Analysis and Government Accountability.

- (d) Except as otherwise provided by this part, the Governor, in the case of any other public officer, public employee, former public officer or public employee, candidate or former candidate, or person who is not a public officer or employee, other than lobbyists and lobbying firms under s. 112.3215 for violations of s. 112.3215.
- (e) The President of the Senate or the Speaker of the House of Representatives, whichever is applicable, in any case concerning a former member of the Legislature who has violated a provision applicable to former members or whose violation occurred while a member of the Legislature.
- (11) Notwithstanding the provisions of subsections (1)—
  (8), the commission may, at its discretion, dismiss any complaint or referral at any stage of disposition should it determine that the public interest would not be served by proceeding further, in which case the commission shall issue a public report stating with particularity its reasons for the dismissal.
  - Section 6. Paragraph (a) of subsection (5) of section

Page 14 of 20

391 411.01, Florida Statutes, is amended to read:

- 411.01 School readiness programs; early learning coalitions.—
  - (5) CREATION OF EARLY LEARNING COALITIONS.-
  - (a) Early learning coalitions.-
- 1. Each early learning coalition shall maintain direct enhancement services at the local level and ensure access to such services in all 67 counties.
- 2. The Office of Early Learning shall establish the minimum number of children to be served by each early learning coalition through the coalition's school readiness program. The office of Early Learning may only approve school readiness plans in accordance with this minimum number. The minimum number must be uniform for every early learning coalition and must:
  - a. Permit 31 or fewer coalitions to be established; and
- b. Require each coalition to serve at least 2,000 children based upon the average number of all children served per month through the coalition's school readiness program during the previous 12 months.
- 3. If an early learning coalition would serve fewer children than the minimum number established under subparagraph 2., the coalition must merge with another county to form a multicounty coalition. The office of Early Learning shall adopt procedures for merging early learning coalitions, including procedures for the consolidation of merging coalitions, and for the early termination of the terms of coalition members which are necessary to accomplish the mergers. However, the office of Early Learning shall grant a waiver to an early learning

coalition to serve fewer children than the minimum number established under subparagraph 2., if:

- a. The office of Early Learning has determined during the most recent review of the coalition's school readiness plan, or through monitoring and performance evaluations conducted under paragraph (4)(1), that the coalition has substantially implemented its plan;
- b. The coalition demonstrates to the office of Early

  Learning the coalition's ability to effectively and efficiently implement the Voluntary Prekindergarten Education Program; and
- c. The coalition demonstrates to the office  $\frac{\text{of Early}}{\text{Earning}}$  that the coalition can perform its duties in accordance with law.

If an early learning coalition fails or refuses to merge as required by this subparagraph, the office of Early Learning may dissolve the coalition and temporarily contract with a qualified entity to continue school readiness and prekindergarten services in the coalition's county or multicounty region until the office reestablishes the coalition and a new school readiness plan is approved by the office.

4. Each early learning coalition shall be composed of at least 15 members but not more than 30 members. The office of Early Learning shall adopt standards establishing within this range the minimum and maximum number of members that may be appointed to an early learning coalition and procedures for identifying which members have voting privileges under subparagraph 6. These standards must include variations for a

Page 16 of 20

coalition serving a multicounty region. Each early learning coalition must comply with these standards.

447

448

449

450

451

452

453

454

455

456

457

458

459

460

461

462

463

464

465

466

467

468

469

470471

472

473

474

- 5. The Governor shall appoint the chair and two other members of each early learning coalition, who must each meet the same qualifications as private sector business members appointed by the coalition under subparagraph 7.
- 6. Each early learning coalition must include the following member positions; however, in a multicounty coalition, each ex officio member position may be filled by multiple nonvoting members but no more than one voting member shall be seated per member position. If an early learning coalition has more than one member representing the same entity, only one of such members may serve as a voting member:
- a. A Department of Children and Family Services circuit administrator or his or her designee who is authorized to make decisions on behalf of the department.
- b. A district superintendent of schools or his or her designee who is authorized to make decisions on behalf of the district.
- c. A regional workforce board executive director or his or her designee.
- d. A county health department director or his or her designee.
- e. A children's services council or juvenile welfare board chair or executive director, if applicable.
- f. An agency head of a local licensing agency as defined in s. 402.302, where applicable.
  - g. A president of a community college or his or her

Page 17 of 20

475 designee.

476

477478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494

495

496

497

498

499

500

501

502

- h. One member appointed by a board of county commissioners or the governing board of a municipality.
  - i. A central agency administrator, where applicable.
  - j. A Head Start director.
- k. A representative of private for-profit child care providers, including private for-profit family day care homes.
  - 1. A representative of faith-based child care providers.
- m. A representative of programs for children with disabilities under the federal Individuals with Disabilities Education Act.
- 7. Including the members appointed by the Governor under subparagraph 5., more than one-third of the members of each early learning coalition must be private sector business members who do not have, and none of whose relatives as defined in s. 112.3143 has, a substantial financial interest in the design or delivery of the Voluntary Prekindergarten Education Program created under part V of chapter 1002 or the coalition's school readiness program. To meet this requirement an early learning coalition must appoint additional members. The office of Early Learning shall establish criteria for appointing private sector business members. These criteria must include standards for determining whether a member or relative has a substantial financial interest in the design or delivery of the Voluntary Prekindergarten Education Program or the coalition's school readiness program.
- 8. A majority of the voting membership of an early learning coalition constitutes a quorum required to conduct the

Page 18 of 20

business of the coalition. An early learning coalition board may use any method of telecommunications to conduct meetings, including establishing a quorum through telecommunications if, provided that the public is given proper notice of a telecommunications meeting and reasonable access to observe and, when appropriate, participate.

- 9. A voting member of an early learning coalition may not appoint a designee to act in his or her place, except as otherwise provided in this paragraph. A voting member may send a representative to coalition meetings, but that representative does not have voting privileges. If When a district administrator for the Department of Children and Family Services appoints a designee to an early learning coalition, the designee is the voting member of the coalition, and any individual attending in the designee's place, including the district administrator, does not have voting privileges.
- 10. Each member of an early learning coalition is subject to ss. 112.313, 112.3135, and 112.3143. For purposes of  $\underline{s}$ .  $\underline{112.3143(3)}$   $\underline{s}$ .  $\underline{112.3143(3)}$  (a), each voting member is a local public officer who must abstain from voting when a voting conflict exists.
- 11. For purposes of tort liability, each member or employee of an early learning coalition is shall be governed by s. 768.28.
- 12. An early learning coalition serving a multicounty region must include representation from each county.
- 13. Each early learning coalition shall establish terms for all appointed members of the coalition. The terms must be

Page 19 of 20

531

532

533

534

535

536

537

staggered and must be a uniform length that does not exceed 4 years per term. Coalition chairs shall be appointed for 4 years in conjunction with their membership on the Early Learning Advisory Council under s. 20.052. Appointed members may serve a maximum of two consecutive terms. If When a vacancy occurs in an appointed position, the coalition must advertise the vacancy. Section 7. This act shall take effect July 1, 2013.