

# LEGISLATIVE ACTION

Senate House

Senator Soto moved the following:

## Senate Amendment (with title amendment)

Delete lines 1114 - 1150 and insert:

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Section 24. Section 400.0060, Florida Statutes, is amended to read:

400.0060 Definitions.-When used in this part, unless the context clearly dictates otherwise, the term:

(1) "Administrative assessment" means a review of conditions in a long-term care facility which impact the rights, health, safety, and welfare of residents with the purpose of noting needed improvement and making recommendations to enhance the quality of life for residents.

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- (2) "Agency" means the Agency for Health Care Administration.
  - (3) "Department" means the Department of Elderly Affairs.
- (4) "District" means a geographical area designated by the state ombudsman in which individuals certified as ombudsmen carry out the duties of the state ombudsman program "Local council" means a local long-term care ombudsman council designated by the ombudsman pursuant to s. 400.0069. Local councils are also known as district long-term care ombudsman councils or district councils.
- (5) "Long-term care facility" means a nursing home facility, assisted living facility, adult family-care home, board and care facility, facility where continuing long-term care is provided, or any other similar residential adult care facility.
- (6) "Office" means the Office of State Long-Term Care Ombudsman created by s. 400.0063.
- (7) "Ombudsman" means an individual who has been certified by the state ombudsman as meeting the requirements of ss. 400.0069, 400.0070, and 400.0091 the individual appointed by the Secretary of Elderly Affairs to head the Office of State Long-Term Care Ombudsman.
- (8) "Representative of the office" means the state ombudsman, an employee of the office, or an individual certified as an ombudsman.
- (9) <del>(8)</del> "Resident" means an individual 18 <del>60</del> years of age or older who resides in a long-term care facility.
  - (10) (9) "Secretary" means the Secretary of Elderly Affairs. (11) (10) "State council" means the State Long-Term Care

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Ombudsman Council created by s. 400.0067.

- (12) "State ombudsman" means the individual appointed by the Secretary of Elderly Affairs to head the Office of State Long-Term Care Ombudsman.
- (13) "State ombudsman program" means the program operating under the direction of the office.

Section 25. Section 400.0061, Florida Statutes, is amended to read:

400.0061 Legislative findings and intent; long-term care facilities.-

(1) The Legislature finds that conditions in long-term care facilities in this state are such that the rights, health, safety, and welfare of residents are not fully ensured by rules of the Department of Elderly Affairs or the Agency for Health Care Administration or by the good faith of owners or operators of long-term care facilities. Furthermore, there is a need for a formal mechanism whereby a long-term care facility resident, a representative of a long-term care facility resident, or any other concerned citizen may make a complaint against the facility or its employees, or against other persons who are in a position to restrict, interfere with, or threaten the rights, health, safety, or welfare of a long-term care facility resident. The Legislature finds that concerned citizens are often more effective advocates for the rights of others than governmental agencies. The Legislature further finds that in order to be eligible to receive an allotment of funds authorized and appropriated under the federal Older Americans Act, the state must establish and operate an Office of State Long-Term Care Ombudsman, to be headed by the state Long-Term Care

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ombudsman, and carry out a state <del>long-term care</del> ombudsman program.

(2) It is the intent of the Legislature, therefore, to utilize voluntary citizen ombudsmen ombudsman councils under the leadership of the state ombudsman $_{T}$  and, through them, to operate a state an ombudsman program, which shall, without interference by any executive agency, undertake to discover, investigate, and determine the presence of conditions or individuals who which constitute a threat to the rights, health, safety, or welfare of the residents of long-term care facilities. To ensure that the effectiveness and efficiency of such investigations are not impeded by advance notice or delay, the Legislature intends that representatives of the office ombudsman and ombudsman councils and their designated representatives not be required to obtain warrants in order to enter into or conduct investigations or onsite administrative assessments of long-term care facilities. It is the further intent of the Legislature that the environment in long-term care facilities be conducive to the dignity and independence of residents and that investigations by representatives of the office ombudsman councils shall further the enforcement of laws, rules, and regulations that safeguard the health, safety, and welfare of residents.

Section 26. Section 400.0063, Florida Statutes, is amended to read:

- 400.0063 Establishment of Office of State Long-Term Care Ombudsman; designation of ombudsman and legal advocate. -
- (1) There is created an Office of State Long-Term Care Ombudsman in the Department of Elderly Affairs.
  - (2)(a) The Office of State Long-Term Care Ombudsman shall

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be headed by the state <del>Long-Term Care</del> ombudsman, who shall serve on a full-time basis and shall personally, or through representatives of the office, carry out the purposes and functions of the state ombudsman program office in accordance with state and federal law.

- (b) The state ombudsman shall be appointed by and shall serve at the pleasure of the Secretary of Elderly Affairs. The secretary shall appoint a person who has expertise and experience in the fields of long-term care and advocacy to serve as state ombudsman.
- (3)(a) There is created in the office the position of legal advocate, who shall be selected by and serve at the pleasure of the state ombudsman and shall be a member in good standing of The Florida Bar.
- (b) The duties of the legal advocate shall include, but not be limited to:
- 1. Assisting the state ombudsman in carrying out the duties of the office with respect to the abuse, neglect, exploitation, or violation of rights of residents of long-term care facilities.
- 2. Assisting the state council and representatives of the office <del>local councils</del> in carrying out their responsibilities under this part.
- 3. Pursuing administrative, legal, and other appropriate remedies on behalf of residents.
- 4. Serving as legal counsel to the state council and representatives of the office <del>local councils, or individual</del> members thereof, against whom any suit or other legal action is initiated in connection with the performance of the official

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duties of the state ombudsman program councils or an individual member.

Section 27. Section 400.0065, Florida Statutes, is amended to read:

400.0065 Office of State Long-Term Care Ombudsman; duties and responsibilities.-

- (1) The purpose of the Office of State Long-Term Care Ombudsman is shall be to:
- (a) Identify, investigate, and resolve complaints made by or on behalf of residents of long-term care facilities relating to actions or omissions by providers or representatives of providers of long-term care services, other public or private agencies, guardians, or representative payees that may adversely affect the health, safety, welfare, or rights of the residents.
- (b) Provide services that assist in protecting the health, safety, welfare, and rights of residents.
- (c) Inform residents, their representatives, and other citizens about obtaining the services of the state Long-Term Care ombudsman program and its representatives.
- (d) Ensure that residents have regular and timely access to the services provided through the office and that residents and complainants receive timely responses from representatives of the office to their complaints.
- (e) Represent the interests of residents before governmental agencies and seek administrative, legal, and other remedies to protect the health, safety, welfare, and rights of the residents.
  - (f) Administer the state council and local councils.
  - (g) Analyze, comment on, and monitor the development and

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implementation of federal, state, and local laws, rules, and regulations, and other governmental policies and actions, that pertain to the health, safety, welfare, and rights of the residents, with respect to the adequacy of long-term care facilities and services in the state, and recommend any changes in such laws, rules, regulations, policies, and actions as the office determines to be appropriate and necessary.

- (h) Provide technical support for the development of resident and family councils to protect the well-being and rights of residents.
- (2) The state Long-Term Care ombudsman has shall have the duty and authority to:
- (a) Establish and coordinate districts local councils throughout the state.
- (b) Perform the duties specified in state and federal law, rules, and regulations.
- (c) Within the limits of appropriated federal and state funding, employ such personnel as are necessary to perform adequately the functions of the office and provide or contract for legal services to assist the state council and representatives of the office <del>local councils</del> in the performance of their duties. Staff positions established for the purpose of coordinating the activities of each local council and assisting its members may be filled by the ombudsman after approval by the secretary. Notwithstanding any other provision of this part, upon certification by the ombudsman that the staff member hired to fill any such position has completed the initial training required under s. 400.0091, such person shall be considered a representative of the State Long-Term Care Ombudsman Program for



purposes of this part.

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- (d) Contract for services necessary to carry out the activities of the office.
- (e) Apply for, receive, and accept grants, gifts, or other payments, including, but not limited to, real property, personal property, and services from a governmental entity or other public or private entity or person, and make arrangements for the use of such grants, gifts, or payments.
- (f) Coordinate, to the greatest extent possible, state and local ombudsman services with the protection and advocacy systems for individuals with developmental disabilities and mental illnesses and with legal assistance programs for the poor through adoption of memoranda of understanding and other means.
- (g) Enter into a cooperative agreement with the Statewide Advocacy Council for the purpose of coordinating and avoiding duplication of advocacy services provided to residents.
- (q) (h) Enter into a cooperative agreement with the Medicaid Fraud Division as prescribed under s. 731(e)(2)(B) of the Older Americans Act.
- (h) (i) Prepare an annual report describing the activities carried out by the office, the state council, and the districts local councils in the year for which the report is prepared. The state ombudsman shall submit the report to the secretary, the United States Assistant Secretary for Aging, the Governor, the President of the Senate, the Speaker of the House of Representatives, the Secretary of Children and Families, and the Secretary of Health Care Administration at least 30 days before the convening of the regular session of the Legislature. The secretary shall in turn submit the report to the United States

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Assistant Secretary for Aging, the Governor, the President of the Senate, the Speaker of the House of Representatives, the Secretary of Children and Family Services, and the Secretary of Health Care Administration. The report shall, at a minimum:

- 1. Contain and analyze data collected concerning complaints about and conditions in long-term care facilities and the disposition of such complaints.
  - 2. Evaluate the problems experienced by residents.
- 3. Analyze the successes of the state ombudsman program during the preceding year, including an assessment of how successfully the office program has carried out its responsibilities under the Older Americans Act.
- 4. Provide recommendations for policy, regulatory, and statutory changes designed to solve identified problems; resolve residents' complaints; improve residents' lives and quality of care; protect residents' rights, health, safety, and welfare; and remove any barriers to the optimal operation of the state Long-Term Care ombudsman program.
- 5. Contain recommendations from the state <del>Long Term Care</del> Ombudsman council regarding program functions and activities and recommendations for policy, regulatory, and statutory changes designed to protect residents' rights, health, safety, and welfare.
- 6. Contain any relevant recommendations from representatives of the office <del>local councils</del> regarding program functions and activities.

Section 28. Section 400.0067, Florida Statutes, is amended to read:

400.0067 State Long-Term Care Ombudsman Council; duties;



membership.-

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- (1) There is created, within the Office of State Long-Term Care Ombudsman, the State Long-Term Care Ombudsman Council.
  - (2) The state Long-Term Care Ombudsman council shall:
- (a) Serve as an advisory body to assist the state ombudsman in reaching a consensus among districts <del>local councils</del> on issues affecting residents and impacting the optimal operation of the program.
- (b) Serve as an appellate body in receiving from the districts <del>local councils</del> complaints not resolved at the district <del>local</del> level. Any individual member or members of the state council may enter any long-term care facility involved in an appeal, pursuant to the conditions specified in s. 400.0074(2).
- (c) Assist the state ombudsman to discover, investigate, and determine the existence of abuse or neglect in any long-term care facility, and work with the adult protective services program as required in ss. 415.101-415.113.
- (d) Assist the state ombudsman in eliciting, receiving, responding to, and resolving complaints made by or on behalf of residents.
- (e) Elicit and coordinate state, district <del>local</del>, and voluntary organizational assistance for the purpose of improving the care received by residents.
- (f) Assist the state ombudsman in preparing the annual report described in s. 400.0065.
- (3) The state Long-Term Care Ombudsman council shall be composed of one active certified ombudsman from each district local council member elected by each local council plus three at-large members appointed by the secretary Governor.

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- (a) Each district manager, in consultation with the district ombudsmen, shall select a district ombudsman <del>local</del> council shall elect by majority vote a representative from among the council members to represent the interests of the district local council on the state council. A local council chair may not serve as the representative of the local council on the state council.
- (b) 1. The state ombudsman secretary, after consulting with the ombudsman, shall submit to the secretary Governor a list of individuals persons recommended for appointment to the at-large positions on the state council. The list may shall not include the name of any individual person who is currently serving in a district on a local council.
- 2. The secretary Governor shall appoint three at-large members chosen from the list.
- 3. If the secretary Governor does not appoint an at-large member to fill a vacant position within 60 days after the list is submitted, the state secretary, after consulting with the ombudsman $_{\tau}$  shall appoint an at-large member to fill that vacant position.
- (4)(a)<del>(c)1.</del> All State council members shall serve 3-year terms.
- 2. A member of the state council may not serve more than two consecutive terms.
- (b) $\frac{3}{3}$ . A district manager, in consultation with the district ombudsmen, <del>local council</del> may recommend replacement <del>removal</del> of its selected ombudsman on <del>elected representative from</del> the state council by a majority vote. If the district manager, in consultation with the district ombudsmen, selects a replacement

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ombudsman, council votes to remove its representative, the local council chair shall immediately notify the state ombudsman must be notified. The secretary shall advise the Governor of the local council's vote upon receiving notice from the ombudsman.

- (c) 4. The position of any member missing three state council meetings within a 1-year period without cause may be declared vacant by the state ombudsman. The findings of the state ombudsman regarding cause shall be final and binding.
- (d) 5. Any vacancy on the state council shall be filled in the same manner as the original appointment.
- (e) (d) 1. The state council shall elect a chair to serve for a term of 1 year. A chair may not serve more than two consecutive terms.
- 2. The chair shall select a vice chair from among the members. The vice chair shall preside over the state council in the absence of the chair.
- 3. The chair may create additional executive positions as necessary to carry out the duties of the state council. Any person appointed to an executive position shall serve at the pleasure of the chair, and his or her term shall expire on the same day as the term of the chair.
- 4. A chair may be immediately removed from office before prior to the expiration of his or her term by a vote of twothirds of all state council members present at any meeting at which a quorum is present. If a chair is removed from office before prior to the expiration of his or her term, a replacement chair shall be chosen during the same meeting in the same manner as described in this paragraph, and the term of the replacement chair shall begin immediately. The replacement chair shall serve

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for the remainder of the term and is eligible to serve two subsequent consecutive terms.

- (f) (e) 1. The state council shall meet upon the call of the chair or upon the call of the state ombudsman. The state council shall meet at least quarterly but may meet more frequently as needed.
- 2. A quorum shall be considered present if more than 50 percent of all active state council members are in attendance at the same meeting.
- 3. The state council may not vote on or otherwise make any decisions resulting in a recommendation that will directly impact the state council or any district local council, outside of a publicly noticed meeting at which a quorum is present.
- (g) (f) Members shall receive no compensation but shall, with approval from the state ombudsman, be reimbursed for per diem and travel expenses as provided in s. 112.061.
- Section 29. Section 400.0069, Florida Statutes, is amended to read:
- 400.0069 Local Long-term care ombudsman districts councils; duties; appointment membership.-
- (1) (a) The state ombudsman shall designate districts <del>local</del> long-term care ombudsman councils to carry out the duties of the state Long-Term Care ombudsman program within local communities. Each district <del>local council</del> shall function under the direction of the state ombudsman.
- (b) The state ombudsman shall ensure that there are representatives of the office is at least one local council operating in each district of the department's planning and service areas. The ombudsman may create additional local

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councils as necessary to ensure that residents throughout the state have adequate access to state <del>Long-Term Care</del> ombudsman program services. The ombudsman, after approval from the secretary, shall designate the jurisdictional boundaries of each local council.

- (2) The duties of the representatives of the office in the districts <del>local councils</del> are to:
- (a) Provide services to assist in Serve as a third-party mechanism for protecting the health, safety, welfare, and civil and human rights of residents.
- (b) Discover, investigate, and determine the existence of abuse, or neglect, or exploitation using in any long-term care facility and to use the procedures provided for in ss. 415.101-415.113 when applicable.
- (c) Identify Elicit, receive, investigate, respond to, and resolve complaints made by or on behalf of residents relating to actions or omissions by providers or representatives of providers of long-term care services, other public or private agencies, guardians, or representative payees that may adversely affect the health, safety, welfare, or rights of residents.
- (d) Review and, if necessary, comment on all existing or proposed rules, regulations, and other governmental policies and actions relating to long-term care facilities that may potentially have an effect on the rights, health, safety, and welfare of residents.
- (e) Review personal property and money accounts of residents who are receiving assistance under the Medicaid program pursuant to an investigation to obtain information regarding a specific complaint or problem.

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- (f) Recommend that the state ombudsman and the legal advocate seek administrative, legal, and other remedies to protect the health, safety, welfare, and rights of the residents.
- (g) Provide technical assistance for the development of resident and family councils within long-term care facilities.
- (h) <del>(g)</del> Carry out other activities that the state ombudsman determines to be appropriate.
- (3) In order to carry out the duties specified in subsection (2), a representative of the office may member of a local council is authorized to enter any long-term care facility without notice or without first obtaining a warrant; however, subject to the provisions of s. 400.0074(2) may apply regarding notice of a followup administrative assessment.
- (4) Each district <del>local council</del> shall be composed of ombudsmen members whose primary residences are residence is located within the boundaries of the district  $\frac{local\ council's}{local\ council's}$ <del>jurisdiction</del>.
- (a) Upon good cause shown, the state ombudsman, in his or her sole discretion, may appoint an ombudsman to another district The ombudsman shall strive to ensure that each local council include the following persons as members:
- 1. At least one medical or osteopathic physician whose practice includes or has included a substantial number of geriatric patients and who may practice in a long-term care facility;
- 2. At least one registered nurse who has geriatric experience;
  - 3. At least one licensed pharmacist;



420	4. At least one registered dietitian;
421	5. At least six nursing home residents or representative
422	consumer advocates for nursing home residents;
423	6. At least three residents of assisted living facilities
424	or adult family-care homes or three representative consumer
425	advocates for alternative long-term care facility residents;
426	7. At least one attorney; and
427	8. At least one professional social worker.
428	(b) The following individuals may not be appointed as
429	ombudsmen:
430	1. The owner or representative of a long-term care
431	facility.
432	2. A provider or representative of a provider of long-term
433	care services.
434	3. An employee of the agency.
435	4. An employee of the department, except for a
436	representative of the office.
437	5. An employee of the Department of Children and Families.
438	6. An employee of the Agency for Persons with Disabilities
439	In no case shall the medical director of a long-term care
440	facility or an employee of the agency, the department, the
441	Department of Children and Family Services, or the Agency for
442	Persons with Disabilities serve as a member or as an ex officio
443	member of a council.
444	(5)(a) To be appointed as an ombudsman, an individual must:
445	1. Individuals wishing to join a local council shall Submit
446	an application to the $\underline{\text{state}}$ ombudsman $\underline{\text{or his or her designee}}$ .
447	2. Successfully complete level 2 background screening

pursuant to s. 430.0402 and chapter 435 The ombudsman shall

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review the individual's application and advise the secretary of his or her recommendation for approval or disapproval of the candidate's membership on the local council. If the secretary approves of the individual's membership, the individual shall be appointed as a member of the local council.

- (b) The state ombudsman shall approve or deny the appointment of the individual as an ombudsman The secretary may rescind the ombudsman's approval of a member on a local council at any time. If the secretary rescinds the approval of a member on a local council, the ombudsman shall ensure that the individual is immediately removed from the local council on which he or she serves and the individual may no longer represent the State Long-Term Care Ombudsman Program until the secretary provides his or her approval.
- (c) Upon appointment as an ombudsman, the individual may participate in district activities but may not represent the office or conduct any authorized program duties until the individual has completed the initial training specified in s. 400.0091(1) and has been certified by the state ombudsman.
- (d) The state ombudsman, for good cause shown, such as development of a conflict of interest, failure to adhere to the policies and procedures established by the office, or demonstrated inability to carry out the responsibilities of the office, may rescind the appointment of an individual as an ombudsman. After the appointment is rescinded, the individual may not conduct any duties as an ombudsman and may not represent the office or the state ombudsman program A local council may recommend the removal of one or more of its members by submitting to the ombudsman a resolution adopted by a two-thirds

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vote of the members of the council stating the name of the member or members recommended for removal and the reasons for the recommendation. If such a recommendation is adopted by a local council, the local council chair or district coordinator shall immediately report the council's recommendation to the ombudsman. The ombudsman shall review the recommendation of the local council and advise the secretary of his or her recommendation regarding removal of the council member or members.

- (6) (a) Each local council shall elect a chair for a term of 1 year. There shall be no limitation on the number of terms that an approved member of a local council may serve as chair.
- (b) The chair shall select a vice chair from among the members of the council. The vice chair shall preside over the council in the absence of the chair.
- (c) The chair may create additional executive positions as necessary to carry out the duties of the local council. Any person appointed to an executive position shall serve at the pleasure of the chair, and his or her term shall expire on the same day as the term of the chair.
- (d) A chair may be immediately removed from office prior to the expiration of his or her term by a vote of two-thirds of the members of the local council. If any chair is removed from office prior to the expiration of his or her term, a replacement chair shall be elected during the same meeting, and the term of the replacement chair shall begin immediately. The replacement chair shall serve for the remainder of the term of the person he or she replaced.
  - (7) Each local council shall meet upon the call of its

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chair or upon the call of the ombudsman. Each local council shall meet at least once a month but may meet more frequently if necessary.

- (6) (8) An ombudsman may not A member of a local council shall receive no compensation but shall, with approval from the state ombudsman, be reimbursed for travel expenses both within and outside the jurisdiction of the local council in accordance with the provisions of s. 112.061.
- (7) The representatives of the office <del>local councils</del> are authorized to call upon appropriate state agencies of state government for such professional assistance as may be needed in the discharge of their duties, and such. All state agencies shall cooperate with the local councils in providing requested information and agency representation at council meetings.

Section 30. Section 400.0070, Florida Statutes, is amended to read:

400.0070 Conflicts of interest.-

- (1) A representative of the office The ombudsman shall not:
- (a) Have a direct involvement in the licensing or certification of, or an ownership or investment interest in, a long-term care facility or a provider of a long-term care service.
- (b) Be employed by, or participate in the management of, a long-term care facility.
- (c) Receive, or have a right to receive, directly or indirectly, remuneration, in cash or in kind, under a compensation agreement with the owner or operator of a long-term care facility.
  - (2) Each representative employee of the office, each state

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council member, and each local council member shall certify that he or she has no conflict of interest.

- (3) The department, in consultation with the state ombudsman, shall define by rule:
- (a) Situations that constitute an individual's a person having a conflict of interest that could materially affect the objectivity or capacity of the individual a person to serve as a representative on an ombudsman council, or as an employee of the office, while carrying out the purposes of the State Long-Term Care Ombudsman Program as specified in this part.
- (b) The procedure by which an individual a person listed in subsection (2) shall certify that he or she has no conflict of interest.

Section 31. Section 400.0071, Florida Statutes, is amended to read:

- 400.0071 State <del>Long-Term Care</del> ombudsman program complaint procedures.—The department, in consultation with the state ombudsman, shall adopt rules implementing state and local complaint procedures. The rules must include procedures for receiving, investigating, identifying, and resolving complaints concerning the health, safety, welfare, and rights of residents:
- (1) Receiving complaints against a long-term care facility or an employee of a long-term care facility.
- (2) Conducting investigations of a long-term care facility or an employee of a long-term care facility subsequent to receiving a complaint.
- (3) Conducting onsite administrative assessments of longterm care facilities.
  - Section 32. Section 400.0073, Florida Statutes, is amended



to read:

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400.0073 Complaint State and local ombudsman council investigations.-

- (1) A representative of the office <del>local council</del> shall identify and investigate, within a reasonable time after a complaint is made, any complaint made by or on behalf of a resident that, a representative of a resident, or any other credible source based on an action or omission by an administrator, an employee, or a representative of a long-term care facility which might be:
  - (a) Contrary to law;
- (b) Unreasonable, unfair, oppressive, or unnecessarily discriminatory, even though in accordance with law;
  - (c) Based on a mistake of fact;
  - (d) Based on improper or irrelevant grounds;
  - (e) Unaccompanied by an adequate statement of reasons;
  - (f) Performed in an inefficient manner; or
- (g) Otherwise adversely affecting the health, safety, welfare, or rights of a resident.
- (2) In an investigation, both the state and local councils have the authority to hold public hearings.
- (3) Subsequent to an appeal from a local council, the state council may investigate any complaint received by the local council involving a long-term care facility or a resident.
- (2) (4) If a representative of the office the ombudsman or any state or local council member is not allowed to enter a long-term care facility, the administrator of the facility shall be considered to have interfered with a representative of the office, the state council, or the local council in the

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performance of official duties as described in s. 400.0083(1) and to have committed a violation of this part. The representative of the office ombudsman shall report a facility's refusal to allow entry to the facility to the state ombudsman or his or her designee, who shall then report the incident to the agency, and the agency shall record the report and take it into consideration when determining actions allowable under s. 400.102, s. 400.121, s. 429.14, s. 429.19, s. 429.69, or s. 429.71.

Section 33. Section 400.0074, Florida Statutes, is amended to read:

400.0074 Local ombudsman council Onsite administrative assessments.-

- (1) A representative of the office must In addition to any specific investigation conducted pursuant to a complaint, the local council shall conduct, at least annually, an onsite administrative assessment of each nursing home, assisted living facility, and adult family-care home within its jurisdiction. This administrative assessment must be resident-centered and shall focus on factors affecting the rights, health, safety, and welfare of the residents. Each local council is encouraged to conduct a similar onsite administrative assessment of each additional long-term care facility within its jurisdiction.
- (2) An onsite administrative assessment is conducted by a local council shall be subject to the following conditions:
- (a) To the extent possible and reasonable, the administrative assessment assessments shall not duplicate the efforts of the agency surveys and inspections conducted by state agencies of long-term care facilities under part II of this

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chapter and parts I and II of chapter 429.

- (b) An administrative assessment shall be conducted at a time and for a duration necessary to produce the information required to complete the assessment carry out the duties of the local council.
- (c) Advance notice of an administrative assessment may not be provided to a long-term care facility, except that notice of followup assessments on specific problems may be provided.
- (d) A representative of the office <del>local council member</del> physically present for the administrative assessment must shall identify himself or herself to the administrator and cite the specific statutory authority for his or her assessment of the facility or his or her designee.
- (e) An administrative assessment may not unreasonably interfere with the programs and activities of residents.
- (f) A representative of the office <del>local council member</del> may not enter a single-family residential unit within a long-term care facility during an administrative assessment without the permission of the resident or the representative of the resident.
- (q) An administrative assessment must be conducted in a manner that will impose no unreasonable burden on a long-term care facility.
- (3) Regardless of jurisdiction, the ombudsman may authorize a state or local council member to assist another local council to perform the administrative assessments described in this section.
- (3) (4) An onsite administrative assessment may not be accomplished by forcible entry. However, if a representative of

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the office ombudsman or a state or local council member is not allowed to enter a long-term care facility, the administrator of the facility shall be considered to have interfered with a representative of the office, the state council, or the local council in the performance of official duties as described in s. 400.0083(1) and to have committed a violation of this part. The representative of the office ombudsman shall report the refusal by a facility to allow entry to the state ombudsman or his or her designee, who shall then report the incident to the agency, and the agency shall record the report and take it into consideration when determining actions allowable under s. 400.102, s. 400.121, s. 429.14, s. 429.19, s. 429.69, or s. 429.71.

(4) The department, in consultation with the state ombudsman, may adopt rules implementing procedures for conducting onsite administrative assessments of long-term care facilities.

Section 34. Section 400.0075, Florida Statutes, is amended to read:

400.0075 Complaint notification and resolution procedures.-

(1) (a) Any complaint or problem verified by a representative of the office an ombudsman council as a result of an investigation may or onsite administrative assessment, which complaint or problem is determined to require remedial action by the local council, shall be identified and brought to the attention of the long-term care facility administrator subject to the confidentiality provisions of s. 400.0077 in writing. Upon receipt of the information such document, the administrator, with the concurrence of the representative of the

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office <del>local council chair</del>, shall establish target dates for taking appropriate remedial action. If, by the target date, the remedial action is not completed or forthcoming, the representative may extend the target date if there is reason to believe such action would facilitate the resolution of the complaint, or the representative may refer the complaint to the district manager <del>local council chair may, after obtaining</del> approval from the ombudsman and a majority of the members of the <del>local council:</del>

- 1. Extend the target date if the chair has reason to believe such action would facilitate the resolution of the complaint.
- 2. In accordance with s. 400.0077, publicize the complaint, the recommendations of the council, and the response of the long-term care facility.
  - 3. Refer the complaint to the state council.
- (b) If an ombudsman determines the local council chair believes that the health, safety, welfare, or rights of a the resident are in imminent danger, the ombudsman must immediately notify the district manager. The district manager chair shall notify the ombudsman or legal advocate, who, after verifying that such imminent danger exists, must notify the appropriate state agencies, including law enforcement, the state ombudsman, and the legal advocate to ensure the protection of shall seek immediate legal or administrative remedies to protect the resident.
- (c) If the state ombudsman or legal advocate has reason to believe that the long-term care facility or an employee of the facility has committed a criminal act, the state ombudsman or

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legal advocate shall provide the local law enforcement agency with the relevant information to initiate an investigation of the case.

- (2) (a) Upon referral from a district <del>local council</del>, the state ombudsman or his or her designee council shall assume the responsibility for the disposition of the complaint. If a longterm care facility fails to take action to resolve or remedy the on a complaint by the state council, the state ombudsman council may, after obtaining approval from the ombudsman and a majority of the state council members:
- (a)  $\frac{1}{1}$ . In accordance with s. 400.0077, publicize the complaint, the recommendations of the representatives of the office local or state council, and the response of the long-term care facility.
- (b) 2. Recommend to the department and the agency a series of facility reviews pursuant to s. 400.19, s. 429.34, or s. 429.67 to ensure correction and nonrecurrence of the conditions that gave give rise to the complaint complaints against the a long-term care facility.
- (c)3. Recommend to the department and the agency that the long-term care facility no longer receive payments under any state assistance program, including Medicaid.
- (d) 4. Recommend to the department and the agency that procedures be initiated for action against revocation of the long-term care facility's license in accordance with chapter 120.
- (b) If the state council chair believes that the health, safety, welfare, or rights of the resident are in imminent danger, the chair shall notify the ombudsman or legal advocate,

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who, after verifying that such imminent danger exists, shall seek immediate legal or administrative remedies to protect the resident.

(3) (c) If the state ombudsman, after consultation with the legal advocate, has reason to believe that the long-term care facility or an employee of the facility has committed a criminal act, the office ombudsman shall provide local law enforcement with the relevant information to initiate an investigation of the case.

Section 35. Section 400.0078, Florida Statutes, is amended to read:

400.0078 Citizen access to state <del>Long-Term Care</del> ombudsman program services.-

- (1) The office shall establish a statewide toll-free telephone number and e-mail address for receiving complaints concerning matters adversely affecting the health, safety, welfare, or rights of residents.
- (2) Every resident or representative of a resident shall receive, Upon admission to a long-term care facility, each resident or representative of a resident must receive information regarding:
- (a) The purpose of the state <del>Long-Term Care</del> ombudsman program. -
- (b) The statewide toll-free telephone number and e-mail address for receiving complaints., and
- (c) Information that retaliatory action cannot be taken against a resident for presenting grievances or for exercising any other resident rights.
  - (d) Other relevant information regarding how to contact



representatives of the office program.

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Residents or their representatives must be furnished additional copies of this information upon request.

Section 36. Section 400.0079, Florida Statutes, is amended to read:

400.0079 Immunity.-

- (1) Any person making a complaint pursuant to this part who does so in good faith shall be immune from any liability, civil or criminal, that otherwise might be incurred or imposed as a direct or indirect result of making the complaint.
- (2) Representatives of the office and The ombudsman or any person authorized by the ombudsman to act on behalf of the office, as well as all members of the state council and local councils, shall be immune from any liability, civil or criminal, that otherwise might be incurred or imposed during the good faith performance of official duties.

Section 37. Section 400.0081, Florida Statutes, is amended to read:

400.0081 Access to facilities, residents, and records.-

- (1) A long-term care facility shall provide representatives of the office with, the state council and its members, and the local councils and their members access to:
- (a) Access to Any portion of the long-term care facility and residents any resident as necessary to investigate or resolve a complaint.
- (b) Appropriate access to medical and social records of a resident for review as necessary to investigate or resolve a complaint, if:

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- 1. The representative of the office has the permission of the resident or the legal representative of the resident; or
- 2. The resident is unable to consent to the review and has no legal representative.
- (c) Access to medical and social records of a the resident as necessary to investigate or resolve a complaint, if:
- 1. A legal representative or guardian of the resident refuses to give permission;
- 2. A representative of the office has reasonable cause to believe that the legal representative or guardian is not acting in the best interests of the resident; and
- 3. The representative of the office state or local council member obtains the approval of the state ombudsman.
- (d) Access to the administrative records, policies, and documents to which residents or the general public have access.
- (e) Upon request, copies of all licensing and certification records maintained by the state with respect to a long-term care facility.
- (2) The department, in consultation with the state ombudsman and the state council, may adopt rules to establish procedures to ensure access to facilities, residents, and records as described in this section.

Section 38. Section 400.0083, Florida Statutes, is amended to read:

400.0083 Interference; retaliation; penalties.-

(1) It shall be unlawful for any person, long-term care facility, or other entity to willfully interfere with a representative of the office or, the state council, or a local council in the performance of official duties.

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- (2) It shall be unlawful for any person, long-term care facility, or other entity to knowingly or willfully take action or retaliate against any resident, employee, or other person for filing a complaint with, providing information to, or otherwise cooperating with any representative of the office or  $\tau$  the state council, or a local council.
- (3) Any person, long-term care facility, or other entity that violates this section:
- (a) Shall be liable for damages and equitable relief as determined by law.
- (b) Commits a misdemeanor of the second degree, punishable as provided in s. 775.083.

Section 39. Section 400.0087, Florida Statutes, is amended to read:

400.0087 Department oversight; funding.-

- (1) The department shall meet the costs associated with the state Long-Term Care ombudsman program from funds appropriated to it.
- (a) The department shall include the costs associated with support of the state Long-Term Care ombudsman program when developing its budget requests for consideration by the Governor and submittal to the Legislature.
- (b) The department may divert from the federal ombudsman appropriation an amount equal to the department's administrative cost ratio to cover the costs associated with administering the state ombudsman program. The remaining allotment from the Older Americans Act program shall be expended on direct ombudsman activities.
  - (2) The department shall monitor the office and  $\tau$  the state

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council, and the local councils to ensure that each is carrying out the duties delegated to it by state and federal law.

- (3) The department is responsible for ensuring that the office:
- (a) Has the objectivity and independence required to qualify it for funding under the federal Older Americans Act.
- (b) Provides information to public and private agencies, legislators, and others.
- (c) Provides appropriate training to representatives of the office or of the state or local councils.
- (d) Coordinates ombudsman services with <u>Disability Rights</u> Florida the Advocacy Center for Persons with Disabilities and with providers of legal services to residents of long-term care facilities in compliance with state and federal laws.
  - (4) The department shall also:
- (a) Receive and disburse state and federal funds for purposes that the state ombudsman has formulated in accordance with the Older Americans Act.
- (b) Whenever necessary, act as liaison between agencies and branches of the federal and state governments and the office State Long-Term Care Ombudsman Program.

Section 40. Section 400.0089, Florida Statutes, is amended to read:

400.0089 Complaint data reports.—The office shall maintain a statewide uniform reporting system to collect and analyze data relating to complaints and conditions in long-term care facilities and to residents for the purpose of identifying and resolving significant complaints problems. The office shall publish quarterly and make readily available information

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pertaining to the number and types of complaints received by the state Long-Term Care ombudsman program and shall include such information in the annual report required under s. 400.0065.

Section 41. Section 400.0091, Florida Statutes, is amended to read:

400.0091 Training.—The state ombudsman shall ensure that appropriate training is provided to all representatives employees of the office and to the members of the state and local councils.

- (1) All representatives state and local council members and employees of the office shall be given a minimum of 20 hours of training upon employment with the office or appointment as an ombudsman. Ten approval as a state or local council member and 10 hours of continuing education are required annually thereafter.
- (2) The state ombudsman shall approve the curriculum for the initial and continuing education training, which must, at a minimum, address:
  - (a) Resident confidentiality.
  - (b) Guardianships and powers of attorney.
  - (c) Medication administration.
- (d) Care and medication of residents with dementia and Alzheimer's disease.
  - (e) Accounting for residents' funds.
  - (f) Discharge rights and responsibilities.
  - (g) Cultural sensitivity.
- (h) Any other topic related to residency within a long-term care facility recommended by the secretary.
  - (3) An individual No employee, officer, or representative



of the office or of the state or local councils, other than the state ombudsman, may not hold himself or herself out as a representative of the office State Long-Term Care Ombudsman Program or conduct any authorized program duty described in this part unless the individual person has received the training required by this section and has been certified by the state ombudsman as qualified to carry out ombudsman activities on behalf of the office or the state or local councils.

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======= T I T L E A M E N D M E N T ========== And the title is amended as follows:

Delete lines 90 - 106

925 and insert:

> amending s. 397.403, F.S.; revising references to certain accrediting agencies to changes made by the act; amending s. 400.0060, F.S.; revising and providing definitions; amending s. 400.0061, F.S.; revising legislative intent with respect to citizen ombudsmen; deleting references to ombudsman councils and transferring their responsibilities to representatives of the Office of State Long-Term Care Ombudsman; amending s. 400.0063, F.S.; revising duties of the office; amending s. 400.0065, F.S.; revising the purpose of the Office of State Long-Term Care Ombudsman; establishing districts; requiring the state ombudsman to submit an annual report to the Governor, the Legislature, and specified agencies and entities; amending s. 400.0067, F.S.; revising duties and membership of the State Long-Term Care Ombudsman

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Council; amending s. 400.0069, F.S.; requiring the state ombudsman to designate and direct program districts; providing duties of representatives of the office in the districts; providing for appointment and qualifications of district ombudsmen; prohibiting certain individuals from serving as ombudsmen; providing for appointment of ombudsmen; amending s. 400.0070, F.S.; providing conditions under which a representative of the office could be found to have a conflict of interest; amending s. 400.0071, F.S.; requiring the Department of Elderly Affairs to consult with the state ombudsman before adopting rules pertaining to complaint resolution; amending s. 400.0073, F.S.; providing procedures for investigation of complaints; amending s. 400.0074, F.S.; revising procedures for conducting onsite administrative assessments; authorizing the department to adopt rules; amending s. 400.0075, F.S.; revising complaint notification and resolution procedures; amending s. 400.0078, F.S.; providing for a resident or representative of a resident to receive additional information regarding resident rights; amending s. 400.0079, F.S.; providing immunity from liability for a representative of the office under certain circumstances; amending s. 400.0081, F.S.; requiring long-term care facilities to provide representatives of the office with access to facilities, residents, and records for certain purposes; amending s. 400.0083, F.S.; conforming provisions to changes made



by the act; amending s. 400.0087, F.S.; providing for	
the office to coordinate ombudsman services with	
Disability Rights Florida; amending s. 400.0089, F.S.;	
conforming provisions to changes made by the act;	
amending s. 400.0091, F.S.; revising training	
requirements for representatives of the office and	
ombudsmen; amending s. 400.462, F.S.; defining	