By Senator Gutman

34-1072-98

A bill to be entitled 1 2 An act relating to the rulemaking authority of the Department of Management Services and other 3 4 agencies; creating s. 110.1085, F.S.; requiring 5 the department to adopt rules to implement a 6 review and performance planning system to 7 assess employee performance; amending s. 110.1095, F.S.; directing the department to 8 9 adopt rules for training programs; amending s. 110.201, F.S.; authorizing agencies to 10 prescribe penalties for misconduct; amending s. 11 12 110.207, F.S.; prohibiting positions from being filled before they have been classified; 13 amending s. 110.227, F.S.; directing the 14 department to adopt a grievance procedure for 15 career service employees; amending s. 216.262, 16 17 F.S.; providing rulemaking authority; amending s. 272.18, F.S.; providing rulemaking 18 19 authority; providing an effective date. 20 21 Be It Enacted by the Legislature of the State of Florida: 22 23 Section 1. Section 110.1085, Florida Statutes, is created to read: 24 25 110.1085 Review and performance planning. -- The 26 department shall establish a review and performance planning system to assess employee performance. The department shall 27 28 adopt rules to implement the review and performance planning 29 system. 30 Section 2. Subsection (3) is added to section 110.1095, Florida Statutes, to read:

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CODING: Words stricken are deletions; words underlined are additions.

110.1095 Supervisory and management training and continuing education for executive branch agencies.—The department shall represent the public interest in the improvement of administration by providing training programs for all executive branch agencies, except the State University System, aimed at improving managerial, administrative, and technical skills.

(3) The department shall adopt rules for the administration of training programs for employees in the career service, senior management service, and selected exempt service.

Section 3. Present subsections (3) and (4) of section 110.201, Florida Statutes, are redesignated as subsections (4) and (5), respectively, and new subsection (3) is added to that section to read:

110.201 Personnel rules, records, and reports.--

(3) Notwithstanding subsections (1) and (2) or other provisions of law, each agency may prescribe penalties or ranges of penalties for various types of misconduct without adopting them as rules. Copies of the prescribed penalties must be given to the agency's career service employees.

Section 4. Subsection (1) of section 110.207, Florida Statutes, is amended to read:

110.207 Classification plan.--

(1) The department shall establish and maintain a uniform classification plan applicable to all positions in the career service and shall be responsible for the overall coordination, review, and maintenance of the plan. No action may be taken to fill any position until it has been classified in accordance with the classification plan.

- (a) The department shall develop class specifications necessary for the establishment of new classes or for the revision of existing classes and shall adopt the appropriate class title and class code for each class. Such class specifications, titles, and codes shall not constitute rules within the meaning of s. 120.52.
- (b) The department shall be responsible for conducting periodic studies and surveys to assure that the classification plan is maintained on a current basis.
- (c) The department may review in a postaudit capacity the action taken by an employing agency in classifying or reclassifying a position.
- (d) The department shall effect a classification change on any classification or reclassification action taken by an employing agency if the action taken by the agency was not based on the duties and responsibilities officially assigned the position as they relate to the concepts and allocation factors contained in the official class specifications adopted by the department.
- (e) Any action taken by the department in regard to the classification or reclassification of a position which affects a department headed by a Cabinet officer or by the Governor and Cabinet may be reviewed by the Administration Commission, and the decision of the department may be changed by a majority vote of the Administration Commission.
- (f) In cooperation and consultation with the employing agencies, the department shall adopt rules necessary to govern the administration of the classification plan. Such rules shall be approved by the Administration Commission prior to their adoption by the department.

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- (g) In consultation with the Executive Office of the Governor, the department shall facilitate the statewide planning of the career service broadbanding compensation and classification system.
- Upon approval by the Executive Office of the Governor, the department shall begin developing the broadbanding system to ensure agency flexibility and accountability on a statewide basis, and shall have the authority to determine system modifications necessary to permit use by all agencies. The proposed structure shall address all issues raised in the January 1997 report on the "Review of the Department of Transportation Model Classification Plan" submitted to the Governor, the President of the Senate, and the Speaker of the House of Representatives. The Department of Management Services shall provide alternatives for a rate funding formula that will not exceed the statewide cost under the current formula and will ensure that agencies are able to effectively recruit and retain employees. The Department of Transportation shall continue to use the model system it developed under the provision of s. 334.0445 until July 1, 1999.
- 2. Once the Executive Office of the Governor has approved a statewide broadbanding compensation and classification system, state agencies will come under the uniform personnel system based on an implementation schedule developed by the Department of Management Services and approved by the Executive Office of the Governor.

Section 5. Section 110.227, Florida Statutes, is amended to read:

110.227 Suspensions, dismissals, reductions in pay, demotions, layoffs, and transfers, and grievances.--

- (1) Any employee who has permanent status in the career service may only be suspended or dismissed for cause. Cause shall include, but not be limited to, negligence, inefficiency or inability to perform assigned duties, insubordination, willful violation of the provisions of law or agency rules, conduct unbecoming a public employee, misconduct, habitual drug abuse, or conviction of any crime involving moral turpitude. Each agency head shall ensure that all employees of the agency are completely familiar with the agency's established procedures on disciplinary actions and grievances.
- (2) The department shall establish rules and procedures for the suspension, reduction in pay, transfer, layoff, demotion, and dismissal of employees in the career service. Such rules shall be approved by the Administration Commission prior to their adoption by the department.
- (3)(a) When a layoff becomes necessary, such layoff shall be conducted within the competitive area identified by the agency head and approved by the Department of Management Services. Such competitive area shall be established taking into consideration the similarity of work; the organizational unit, which may be by agency, department, division, bureau, or other organizational unit; and the commuting area for the work affected.
- (b) Layoff procedures shall be developed to establish the relative merit and fitness of employees and shall include a formula for uniform application among all employees in the competitive area, taking into consideration the type of appointment, the length of service, and the evaluations of the employee's performance within the last 5 years of employment.

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- (4) Any permanent career service employee subject to reduction in pay, transfer, layoff, or demotion from a class in which he or she has permanent status in the Career Service System shall be notified in writing by the agency prior to its taking such action. The notice may be delivered to the employee personally or may be sent by certified mail with return receipt requested. Such actions shall be appealable to the Public Employees Relations Commission, pursuant to s. 447.208 and rules adopted by the commission.
- (5)(a) Any permanent career service employee who is subject to suspension or dismissal shall receive written notice of such action at least 10 days prior to the date such action is to be taken. Subsequent to such notice, and prior to the date the action is to be taken, the affected employee shall be given an opportunity to appear before the agency or official taking the action to answer orally and in writing the charges against him or her. The notice to the employee required by this paragraph may be delivered to the employee personally or may be sent by certified mail with return receipt requested. An employee who is suspended or dismissed shall be entitled to a hearing before the Public Employees Relations Commission or its designated agent pursuant to s. 447.208 and rules adopted by the commission.
- (b) In extraordinary situations such as when the retention of a permanent career service employee would result in damage to state property, would be detrimental to the best interest of the state, or would result in injury to the employee, a fellow employee, or some other person, such employee may be suspended or dismissed without 10 days' prior notice, provided that written or oral notice of such action, evidence of the reasons therefor, and an opportunity to rebut

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the charges are furnished to the employee prior to such dismissal or suspension. Such notice may be delivered to the employee personally or may be sent by certified mail with return receipt requested. Agency compliance with the foregoing procedure requiring notice, evidence, and an opportunity for rebuttal must be substantiated. Any employee who is suspended or dismissed pursuant to the provisions of this paragraph shall be entitled to a hearing before the Public Employees Relations Commission or its designated agent pursuant to s. 447.208, except that such hearing shall be held no more than 20 days after the filing of the notice of appeal by the employee.

(6) The department shall adopt rules establishing a grievance procedure for career service employees. The following matters are not subject to the grievance procedures adopted under this section: claims for discrimination and sexual harassment, suspensions, reductions in pay, transfers, lay-offs, or demotions.

Section 6. Subsection (4) is added to section 216.262, Florida Statutes, to read:

216.262 Authorized positions.--

(4) The Department of Management Services may adopt other rules to administer this section.

Section 7. Paragraph (g) of subsection (3) of section 272.18, Florida Statutes, is amended to read:

272.18 Governor's Mansion Commission.--

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(g) The commission also shall adopt rules governing the use of the state rooms of the Governor's Mansion, the selection and acquisition of furnishings and decorations for these rooms, and the acceptance of gifts, contributions,

bequests, or loans of property. The commission may adopt other rules to administer this section. Section 8. This act shall take effect upon becoming a law. ********** SENATE SUMMARY Authorizes the Department of Management Services to adopt rules in various areas of delegated responsibility.