Bill No. CS for SB 2076

Amendment No. ____

	CHAMBER ACTION
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11	Senator Forman moved the following amendment:
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13	Senate Amendment (with title amendment)
14	On page 15, between lines 16 and 17,
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16	insert:
17	Section 11. Effective October 1, 1998, part XV of
18	chapter 468, Florida Statutes, consisting of sections 468.820,
19	468.821, 468.822, 468.823, 468.824, 468.825, 468.8245,
20	468.826, and 468.827, Florida Statutes, is created to read:
21	468.820 Short titleThis part may be cited as the
22	"Certified Nursing Assistant Act."
23	468.821 DefinitionsAs used in this part, the term:
24	(1) "Approved training program" means a course of
25	training conducted by a public or private educational center
26	licensed by the Department of Education to implement the basic
27	curriculum for certified nursing assistants which is approved
28	by the Department of Education.
29	(2) "Certified nursing assistant" means a person who
30	meets the qualifications specified in this part and who is
31	certified by the department as a certified nursing assistant.
•	2:20 PM 04/29/98 1 s2076c1c-32c6e

"Department" means the Department of Health. 1 (4) "Registry" means the listing of certified nursing 2 3 assistants maintained by the department. 4 468.822 Duties and powers of the department.--The 5 department shall regulate the practice of certified nursing 6 assistants in this state. The department shall maintain, or 7 contract with or approve another entity to maintain, a state registry of certified nursing assistants. The registry must 8 consist of a database including the name of each certified 9 10 nursing assistant in this state, other identifying information, certification status, the effective date of 11 12 certification and any other information required by state or federal law, information regarding any abuse, neglect, or 13 exploitation as provided under chapter 435, and an indication 14 15 whether the certified nursing assistant was reimbursed for the cost of training and testing. The registry shall be accessible 16 17 to individuals, employers, and other state agencies. The department shall establish testing procedures for use in 18 19 certifying nursing assistants and shall adopt rules regulating 20 the practice of certified nursing assistants to enforce this 21 part. The department may contract with or approve another entity or organization to provide the examination services 22 required under 468.823, including development and 23 24 administration of examinations. The provider shall pay all reasonable costs and expenses incurred by the department in 25 26 evaluating the provider's application and the provider's performance during the delivery of services, including 27 28 examination services and procedures for maintaining the certified nursing assistant registry. 29 30 468.823 Certified nursing assistants; certification requirement. --

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- (1) Except as provided in subsection (2), a person may not practice as a certified nursing assistant unless the person is certified by the department under this part. A person who is certified under this part may use the title "Certified Nursing Assistant" and the abbreviation "C.N.A."
- (2) A registered nurse or a practical nurse licensed under chapter 464, or an applicant for licensure as a registered nurse or a practical nurse who is permitted to practice nursing in accordance with rules adopted by the Board of Nursing, may practice as a certified nursing assistant and use the title "Certified Nursing Assistant" and the abbreviation "C.N.A."
- (3) The department shall issue a certificate to practice as a certified nursing assistant to any person who demonstrates the ability to read and write and meets the requirements of level 1 screening as provided in s. 435.03, including a search for any report of abuse, neglect, or exploitation of an adult. A person who has not maintained continuous residency within the state for the 5 years immediately preceding the date of application must comply with the requirements for level 2 screening as provided in s. 435.04 and may be granted provisional certification for up to 180 days pending the receipt of written findings evidencing completion of level 2 screening. Level 2 screening is not required if an applicant attests in writing, under penalty of perjury, that he or she meets the residency requirement. In order to complete the requirements for level 2 screening, if applicable, the applicant must furnish to the department a full set of fingerprints to enable a criminal background investigation to be conducted. The department shall submit the completed fingerprint card to the Florida Department of Law

Enforcement, which may submit the fingerprints to the Federal Bureau of Investigation for a national criminal history records check. The results of the criminal history records check shall be returned to the department as a part of level 2 screening pursuant to s. 435.04. An applicant must supply any missing criminal history information or other necessary information to the department within 30 days after the department requests the information or be subject to automatic disqualification of eligibility for certification. Evidence of compliance with the requirements of chapter 435, F.S., within the last 2 years, may satisfy the background screening requirements of this subsection if there has not been a break in employment for a period greater than 180 days since the completion of the screening. In addition, an applicant must meet one of the following requirements:

- (a) Has successfully completed an approved training program and achieved a minimum score, established by rule of the department, on the Florida Nursing Assistant Competency Evaluation, which consists of a written portion and skills demonstration portion approved by the department and administered at a site and by personnel approved by the department.
- (b) Has achieved a minimum score, established by rule of the department, on the Florida Nursing Assistant Competency Evaluation, which consists of a written portion and skills demonstration portion, approved by the department and administered at a site and by personnel approved by the department and:
 - 1. Has a high school diploma, or its equivalent; or
- 2. Is at least 16 years of age.
 - (c) Is currently certified in another state; is listed

on that state's certified nursing assistant registry; has not been found to have committed abuse, neglect, or exploitation in that state; and has successfully completed a national nursing assistant evaluation in order to receive certification in that state.

- (4) If an applicant fails to pass the Florida Nursing
 Assistant Competency Evaluation in three attempts, the
 applicant is not eligible for reexamination unless the
 applicant completes an approved training program.
- (5) An oral examination shall be administered as a substitute for the written portion of the examination upon request.

468.824 Denial, suspension, or revocation of certification; certified nursing assistant registry.--

- (1) The department may deny, suspend, or revoke the certification of any certified nursing assistant, based upon written notification from a court of competent jurisdiction, law enforcement agency, or administrative agency of any finding of guilt of, regardless of adjudication, or a plea of nolo contendere or guilty to, any offense set forth in the level 1 or level 2, if appropriate screening standards of chapter 435 or any confirmed report of abuse, neglect, or exploitation. However, the department may, upon the request of an applicant or a certificateholder, exempt the applicant or certificateholder from disqualification of certification under this subsection and issue a letter of exemption.
- (2) The following acts constitute grounds for which the department may impose disciplinary sanctions as specified in subsection (3):
- (a) Making misleading, deceptive, or fraudulent
 representations on an application for certification.

1	(b) Obtaining or renewing, or attempting to obtain or
2	renew, a certificate by bribery, by fraudulent
3	misrepresentation, or through an error of the department.
4	(c) Intentionally violating any rule of the
5	department.
6	(d) Failing to report to the department any person
7	whom the certificateholder knows to be in violation of this
8	part or failing to report a violation involving abuse to the
9	Department of Children and Family Services.
10	(e) Making or filing a report that the
11	certificateholder knows to be false.
12	(f) Exercising influence on the patient or client in
13	such a manner as to exploit the patient or client for the
14	financial gain of the certificateholder or a third party.
15	(g) Improperly interfering with an investigation or
16	inspection authorized by law or with any disciplinary
17	proceeding.
18	(3) When the department finds any person guilty of any
19	of the grounds set forth in subsection (2), it may enter an
20	order imposing one or more of the following penalties:
21	(a) Denial, suspension, or revocation of
22	certification.
23	(b) Imposition of an administrative fine not to exceed
24	\$150 for each count or separate offense.
25	(4) The department shall, by rule, designate approved
26	treatment programs for impaired practitioners as provided in
27	<u>s. 455.707(1).</u>
28	(5) A certified nursing assistant shall notify the
29	department in writing of any change in name or address within
30	30 days after the change.
31	468 8245 Availability of disciplinary records and

proceedings.--Notwithstanding s. 455.621, any complaint or record maintained by the Department of Health pursuant to the discipline of a certified nursing assistant and any proceeding held by the department to discipline a certified nursing assistant shall remain open and available to the public.

468.825 Renewal of certification.--

- (1) A certified nursing assistant is eligible for renewal of certification if the applicant has:
- (a) Worked a minimum of 40 hours for monetary compensation as a certified nursing assistant in a nursing-related occupation during the 24 months immediately preceding application for recertification, as provided in an attestation submitted by the applicant; or
- (b) Successfully completed the written portion and skills demonstration portion of the Florida Nursing Assistant Competency Evaluation.
- (2) Based upon written notification of a court of competent jurisdiction, law enforcement agency, or administrative agency, any person who has been found guilty of, regardless of adjudication, or pled nolo contendere or guilty to, any offense set forth in the level 1, or level 2, if appropriate screening standards of chapter 435, or who is a confirmed perpetrator of any incident of abuse, neglect, or exploitation, may not be recertified under this section unless the person receives an exemption from the department under s. 468.824(1).
- (3) The department may charge a fee determined by rule, but which may not exceed \$35, for issuing a duplicate certificate at the request of a certified nursing assistant.
- 468.826 Exemption from liability.--If an employer terminates a certified nursing assistant whose name appears on

 the central abuse registry and tracking system of the

Department of Children and Family Services or on a criminal
screening report of the Department of Law Enforcement, the
employer is not civilly liable for such termination and a
cause of action may not be brought against the employer for
damages, regardless of whether the employee has filed for an
exemption from the department under s. 468.824(1). There may
not be any monetary liability on the part of, and a cause of
action for damages may not arise against, any licensed
facility, its governing board or members thereof, medical
staff, disciplinary board, agents, investigators, witnesses,
employees, or any other person for any action taken in good
faith without intentional fraud in carrying out this section.

468.827 Penalties; rulemaking authority.--

- (1) It is a misdemeanor of the first degree, punishable as provided under s. 775.082 or s. 775.083, for any person, knowingly or intentionally, to fail to disclose, by false statement, misrepresentation, impersonation, or other fraudulent means, in any application for voluntary or paid employment or licensure regulated under this part, a material fact used in making a determination as to such person's qualifications to be an employee or licensee.
- (2) It is a felony of the third degree, punishable as provided under s. 775.082, s. 775.083, or s. 775.084, for a person to falsely make, alter, forge, or counterfeit a certified nursing assistant certificate or letter of exemption authorized under s. 468.824(1). The department may deny, suspend, or revoke the certification of any nursing assistant found to possess a false, altered, forged, or counterfeit certificate or who attempts to use such a certificate in an attempt to gain employment.

1	(3) The department shall adopt rules to provide for
2	the initial certification and biennial renewal of
3	certification of certified nursing assistants. An application
4	for certification or renewal must be accompanied by a fee set
5	by the department, which may not exceed \$35 plus the cost of
6	applicable background screening. The department may
7	periodically audit the records of a certified nursing
8	assistant in accordance with department rules.
9	Section 12. Effective October 1, 1998, paragraph (g)
10	of subsection (3) of section 20.43, Florida Statutes, is
11	amended to read:
12	20.43 Department of HealthThere is created a
13	Department of Health.
14	(3) The following divisions of the Department of
15	Health are established:
16	(g) Division of Medical Quality Assurance, which is
17	responsible for the following boards and professions
18	established within the division:
19	1. Nursing assistants, as provided under part XV of
20	<u>chapter 468</u> s. 400.211.
21	2. Health care services pools, as provided under s.
22	402.48.
23	3. The Board of Acupuncture, created under chapter
24	457.
25	4. The Board of Medicine, created under chapter 458.
26	5. The Board of Osteopathic Medicine, created under
27	chapter 459.
28	6. The Board of Chiropractic, created under chapter
29	460.
30	7. The Board of Podiatric Medicine, created under

31 chapter 461.

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1	8. Naturopathy, as provided under chapter 462.
2	9. The Board of Optometry, created under chapter 463.
3	10. The Board of Nursing, created under chapter 464.
4	11. The Board of Pharmacy, created under chapter 465.
5	12. The Board of Dentistry, created under chapter 466
6	13. Midwifery, as provided under chapter 467.
7	14. The Board of Speech-Language Pathology and
8	Audiology, created under part I of chapter 468.
9	15. The Board of Nursing Home Administrators, created
10	under part II of chapter 468.
11	16. Occupational therapy, as provided under part III
12	of chapter 468.
13	17. Respiratory therapy, as provided under part V of
14	chapter 468.
15	18. Dietetics and nutrition practice, as provided
16	under part X of chapter 468.
17	19. Athletic trainers, as provided under part XIV of
18	chapter 468.
19	20. Electrolysis, as provided under chapter 478.
20	21. The Board of Massage Therapy, created under
21	chapter 480.
22	22. The Board of Clinical Laboratory Personnel,
23	created under part III of chapter 483.
24	23. Medical physicists, as provided under part IV of
25	chapter 483.
26	24. The Board of Opticianry, created under part I of

25. The Board of Hearing Aid Specialists, created

26. The Board of Physical Therapy Practice, created

31 under chapter 486.

under part II of chapter 484.

chapter 484.

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1	27. The Board of Psychology, created under chapter
2	490.
3	28. The Board of Clinical Social Work, Marriage and
4	Family Therapy, and Mental Health Counseling, created under
5	chapter 491.
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7	The department may contract with the Agency for Health Care
8	Administration who shall provide consumer complaint,
9	investigative, and prosecutorial services required by the
10	Division of Medical Quality Assurance, councils, or boards, as
11	appropriate.
12	Section 13. Effective October 1, 1998, section
13	400.211, Florida Statutes, is amended to read:
14	400.211 Persons employed as nursing assistants in a
15	nursing home facility; certification requirement
16	(1) A person must be certified pursuant to this
17	section, except a registered nurse or practical nurse licensed
18	in accordance with the provisions of chapter 464 or an
19	applicant for such licensure who is permitted to practice
20	nursing in accordance with rules promulgated by the Board of
21	Nursing pursuant to chapter 464, to serve as a nursing
22	assistant in any nursing home. The Department of Business and
23	Professional Regulation shall issue a certificate to any
24	person who:
25	(a) Has successfully completed a nursing assistant
26	program in a state-approved school and has achieved a minimum
27	score of 75 percent on the written portion of the Florida
28	Nursing Assistant Certification Test approved by the
29	Department of Business and Professional Regulation and

(b) Has achieved a minimum score of 75 percent on the

30 administered by state-approved test site personnel;

Assistant Certification Test approved by the Department of Business and Professional Regulation and administered by state-approved test site personnel; or

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written and performance portions of the Florida Nursing

(c) Is currently certified in another state, is on that state's registry, has no findings of abuse, and has achieved a minimum score of 75 percent on the written portion of the Florida Nursing Assistant Certification Test approved by the Department of Business and Professional Regulation and administered by state-approved test site personnel.

An oral examination shall be administered upon request.

- (2) The agency may deny, suspend, or revoke the certification of any person to serve as a nursing assistant, based upon written notification from a court of competent jurisdiction, law enforcement agency, or administrative agency of any finding of guilt of, regardless of adjudication, or a plea of nolo contendere or guilty to, any offense set forth in the level 1 screening standards of chapter 435 or any confirmed report of abuse of a vulnerable adult.
- (1) The following categories of persons who are not certified as nursing assistants under part XV of chapter 468 this part may be employed by a nursing facility for a period of 4 months:
- (a) Persons who are enrolled in a state-approved nursing assistant program; or
- (b) Persons who have been positively verified by a department state-approved test site as certified and on the registry in another state with no findings of abuse, but who have not completed the written examination required under s. 31 468.823 this section.

The certification requirement must be met within 4 months <u>after</u> of initial employment as a nursing assistant in a licensed nursing facility.

(4) A person certified under this section on or after September 30, 1990, who has not worked for pay as a nursing assistant in a nursing-related occupation for a period of time during a consecutive 24-month period must be recertified under this section to be eligible to work in a nursing facility.

nursing home facility on or after October 1, 1993, must, within 5 working days after starting employment at a nursing home facility, submit to the facility a complete set of information necessary to conduct a records check through the central abuse registry under chapter 415 and a statewide criminal records correspondence check through the Department of Law Enforcement. The facility shall submit the information provided by the employee, within 48 hours, to the Department of Health and Rehabilitative Services' central abuse registry and to the Department of Law Enforcement, which shall conduct a screening according to the provisions of s. 400.497(2).

(2)(6) Nursing homes shall require persons seeking employment as a certified nursing assistant to submit an employment history to the facility. The facility shall verify the employment history unless, through diligent efforts, such verification is not possible. There shall be no monetary liability on the part of, and no cause of action for damages shall arise against, a former employer who reasonably and in good faith communicates his or her honest opinion about a former employee's job performance.

(3) (3) (7) If the requirements pursuant to the Omnibus

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29 30 Budget Reconciliation Act of 1987, as amended, for the certification of nursing assistants are in conflict with <u>part XV of chapter 468</u> this section, the federal requirements shall prevail for those <u>nursing home</u> facilities certified to provide care under Title XVIII (Medicare) or Title XIX (Medicaid) of the Social Security Act.

(8) The Department of Business and Professional
Regulation may adopt such rules as are necessary to carry out
this section.

Section 14. Effective October 1, 1998, paragraph (a) of subsection (1) and subsection (2) of section 400.4255, Florida Statutes, are amended to read:

400.4255 Use of licensed personnel.--

- (1)(a) Persons under contract to the facility, facility staff, or volunteers, who are licensed according to chapter 464, or those persons exempt under s. 464.022(1), and others as defined by rule, may administer medications to residents, take residents' vital signs, manage individual weekly pill organizers for residents who self-administer medication, give prepackaged enemas ordered by a physician, observe residents, document observations on the appropriate resident's record, report observations to the resident's physician, and contract or allow residents or a resident's representative, designee, surrogate, guardian, or attorney in fact to contract with a third party, provided residents meet the criteria for appropriate placement as defined in s. 400.426. Nursing assistants certified pursuant to part XV of chapter 468 s. 400.211 may take residents' vital signs as directed by a licensed nurse or physician.
- (2) In facilities licensed to provide extendedcongregate care, persons under contract to the facility,

facility staff, or volunteers, who are licensed according to 2 chapter 464, or those persons exempt under s. 464.022(1), or 3 those persons certified as nursing assistants pursuant to part XV of chapter 468 s. 400.211, may also perform all duties 5 within the scope of their license or certification, as approved by the facility administrator and pursuant to this 6 7 part. Section 15. Effective October 1, 1998, subsection (1) 8 9 of section 400.462, Florida Statutes, is amended to read: 10 400.462 Definitions.--As used in this part, the term: 11 (1) "Certified nursing assistant" means any person who 12 has been issued a certificate after fulfilling the 13 requirements of part XV of chapter 468 s. 400.211. Section 16. Effective October 1, 1998, paragraph (a) 14 15 of subsection (9) of section 400.506, Florida Statutes, is 16 amended to read: 17 400.506 Licensure of nurse registries; requirements; 18 penalties.--19 (9)(a) A nurse registry may refer for contract in 20 private residences registered nurses and licensed practical 21 nurses registered and licensed under chapter 464, certified nursing assistants certified under part XV of chapter 468 s. 22 400.211, and sitters, companions, or homemakers for the 23 24 purposes of providing those services authorized under s. 400.509(1). 25 26 Section 17. Effective October 1, 1998, paragraph (a) 27 of subsection (2) of section 455.667, Florida Statutes, is 28 amended to read: 29 455.667 Ownership and control of patient records;

report or copies of records to be furnished. --

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owner, " "health care practitioner, " and "health care practitioner's employer" do not include any of the following persons or entities; furthermore, the following persons or entities are not authorized to acquire or own medical records, but are authorized to maintain those documents required by the part or chapter under which they are licensed or regulated: (a) Certified nursing assistants regulated under part XV of chapter 468 s. 400.211. Section 18. A certified nursing assistant who holds a valid certification issued under section 400.211, Florida Statutes, before October 1, 1998, is in compliance with this act and is not subject to renewal of such certification until October 1, 2000. This section takes effect October 1, 1998. Section 19. Pursuant to section 216.262, Florida Statutes, the Florida Department of Law Enforcement is granted authority to establish positions in excess of the total authorized positions upon submission of a proper request to the Administration Commission. These positions shall be established with funding from the department's Law Enforcement Operating Trust Fund and shall be used to process the increased workload of conducting the criminal history records checks authorized under this section. These positions will be earmarked by the department, and, at such time as they are no longer needed, may be placed in a reserve status for future use. This section takes effect October 1, 1998. Section 20. Effective October 1, 1998, paragraph (t) is added to subsection (1) of section 455.624, Florida Statutes, to read: 455.624 Grounds for discipline; penalties;

(1) The following acts shall constitute grounds for

enforcement. --

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which the disciplinary actions specified in subsection (2) may
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   be taken:
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          (t) Failing to wear identification, which shall be
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    conspicuously displayed and legible, indicating the
   practitioner's name and professional title authorized pursuant
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    to part XV, chapter 468, regulating certified nursing
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    assistants, while practicing as an employee of a hospital,
    clinic, nursing home, or group practice, or at a commercial
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    establishment, offering health care services to the public.
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   Disciplinary actions for violation of this paragraph shall be
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    restricted to a notice of noncompliance pursuant to subsection
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   (3).
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           Section 21.
                        The Department of Health is authorized to
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   have access to the background screening registry for nursing
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   home employees maintained by the Agency for Health Care
    Administration, if created by SB 208, 1998 Regular Session, or
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    similar legislation. This section takes effect October 1,
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    1998.
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    ======== T I T L E A M E N D M E N T ==========
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    And the title is amended as follows:
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           On page 1, line 25, after the semicolon
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    insert:
26
           creating part XV of chapter 468, F.S.;
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          providing a short title; providing definitions;
          providing duties and powers of the Department
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           of Health in regulating the practice of
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           certified nursing assistants; providing
           requirements for a state registry of certified
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1 nursing assistants; providing requirements for 2 obtaining certification as a certified nursing 3 assistant; authorizing the department to deny, 4 suspend, or revoke a person's certification 5 upon certain findings or reports of abuse, neglect, or exploitation; authorizing the 6 7 department to exempt an applicant or certificateholder from disqualification of 8 9 certification; specifying certain acts that 10 constitute grounds for disciplinary sanctions; providing penalties; requiring that the 11 12 department maintain a registry of certified nursing assistants; providing requirements for 13 records and meetings held for disciplinary 14 15 actions; providing for renewal of 16 certification; exempting an employer from 17 liability for terminating a certified nursing assistant under certain circumstances; 18 authorizing the department to contract for 19 20 examination services; providing penalties; 21 providing rulemaking authority; amending s. 400.211, F.S.; deleting obsolete provisions 22 with respect to the regulation of certified 23 24 nursing assistants by the Department of Business and Professional Regulation; providing 25 26 for certain federal requirements to apply to 27 specified nursing home facilities under certain 28 circumstances; amending ss. 20.43, 400.4255, 400.462, 400.506, 455.667, F.S., relating to 29 30 the Department of Health, the use of licensed personnel in assisted living facilities, the 31

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30 31 regulation of home health agencies, nurse registries, and the ownership and control of patient records; conforming cross-references to changes made by the act; providing for the continued validity of certifications issued before the effective date of the act; authorizing certain positions for the Florida Department of Law Enforcement in excess of those otherwise authorized; providing funding; amending s. 455.624, F.S.; specifying health care professionals for whom failure to wear certain identification while offering services to the public is grounds for disciplinary action; authorizing the Department of Health to have access to a background screening registry for nursing home employees;