Florida Senate - 1998

By the Committee on Community Affairs and Senator Clary

	316-2042-98
1	A bill to be entitled
2	An act relating to professional regulation;
3	amending s. 455.225, F.S.; requiring the
4	Department of Business and Professional
5	Regulation to provide additional information
6	upon undertaking an investigation; amending s.
7	468.603, F.S.; providing definitions; creating
8	s. 468.604, F.S.; providing responsibilities of
9	building code administrators, plans examiners,
10	and inspectors; amending s. 468.605, F.S.;
11	providing membership of the Florida Building
12	Code Administrators and Inspectors Board;
13	amending s. 468.609, F.S.; providing standards
14	for certification as an inspector, building
15	code administrator, or plans examiner;
16	eliminating the board's authority to issue
17	temporary certificates; amending s. 468.617,
18	F.S.; providing that nothing prohibits local
19	governments from contracting with certified
20	persons to perform inspections; amending s.
21	468.627, F.S.; increasing the initial
22	examination fee; amending s. 468.631, F.S.;
23	providing for surcharge funds to be used for
24	continuing education and other training
25	programs; providing that local government
26	employees responsible for inspection and
27	enforcement of building codes do not pay for
28	such programs; creating ss. 471.045, 481.222,
29	F.S.; allowing architects and professional
30	engineers to perform the duties of building
31	code inspectors in specified circumstances;

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1 providing disciplinary guidelines; providing restrictions; amending s. 489.129, F.S.; 2 3 clarifying what constitutes a knowing violation; amending s. 489.131, F.S.; 4 5 clarifying the department's authority to б initiate disciplinary actions; providing an effective date. 7 8 9 Be It Enacted by the Legislature of the State of Florida: 10 11 Section 1. Subsection (1) of section 455.225, Florida Statutes, is amended to read: 12 455.225 Disciplinary proceedings.--Disciplinary 13 14 proceedings for each board shall be within the jurisdiction of 15 the department. (1)(a) The department, for the boards under its 16 17 jurisdiction, shall cause to be investigated any complaint 18 that is filed before it if the complaint is in writing, signed 19 by the complainant, and legally sufficient. A complaint is 20 legally sufficient if it contains ultimate facts that show 21 that a violation of this part, of any of the practice acts relating to the professions regulated by the department, or of 22 any rule adopted by the department or a regulatory board in 23 24 the department has occurred. In order to determine legal 25 sufficiency, the department may require supporting information or documentation. The department may investigate, and the 26 department or the appropriate board may take appropriate final 27 28 action on, a complaint even though the original complainant 29 withdraws it or otherwise indicates a desire not to cause the complaint to be investigated or prosecuted to completion. The 30 31 department may investigate an anonymous complaint if the 2

1 complaint is in writing and is legally sufficient, if the 2 alleged violation of law or rules is substantial, and if the 3 department has reason to believe, after preliminary inquiry, that the violations alleged in the complaint are true. The 4 5 department may investigate a complaint made by a confidential 6 informant if the complaint is legally sufficient, if the 7 alleged violation of law or rule is substantial, and if the 8 department has reason to believe, after preliminary inquiry, that the allegations of the complainant are true. The 9 10 department may initiate an investigation if it has reasonable 11 cause to believe that a licensee or a group of licensees has violated a Florida statute, a rule of the department, or a 12 rule of a board. 13 (b) When an investigation of any subject is 14

15 undertaken, the department shall promptly furnish to the subject or the subject's attorney a copy of the complaint or 16 17 document that resulted in the initiation of the investigation. The department shall make the complaint and the entire 18 19 investigative file available to the subject. The complaint or 20 document provided by the department must contain information 21 regarding the specific facts that serve as the basis for the complaint. The subject may submit a written response to the 22 information contained in such complaint or document within 20 23 24 days after service to the subject of the complaint or document. The subject's written response shall be considered 25 by the probable cause panel. The right to respond does not 26 27 prohibit the issuance of a summary emergency order if 28 necessary to protect the public. However, if the secretary, or 29 the secretary's designee, and the chair of the respective board or the chair of its probable cause panel agree in 30 31 writing that such notification would be detrimental to the

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1 investigation, the department may withhold notification. The 2 department may conduct an investigation without notification 3 to any subject if the act under investigation is a criminal offense. 4 5 Section 2. Paragraph (c) of subsection (6) of section б 468.603, Florida Statutes, is amended and paragraph (h) is 7 added to that subsection to read: 8 468.603 Definitions.--As used in this part: 9 (6) "Categories of building inspectors" include the 10 following: 11 (C) "Commercial electrical inspector" means a person who is qualified to inspect and determine the electrical 12 13 safety of commercial buildings and structures by inspecting 14 for compliance with the provisions of the governing National electrical code. 15 "Electrical inspector" means a person who is 16 (h) 17 qualified to inspect and determine the electrical safety of commercial and residential buildings and accessory structures 18 19 by inspecting for compliance with the provisions of the 20 governing electrical code. Section 3. Section 468.604, Florida Statutes, is 21 22 created to read: 468.604 Responsibilities of building code 23 24 administrators, plans examiners and inspectors .--25 (1) It is the responsibility of the building code administrator or building official to administrate, supervise, 26 27 direct, enforce or perform the permitting and inspection of construction, alteration, repair, remodeling, or demolition of 28 29 structures and the installation of building systems within the boundaries of their governmental jurisdiction, when permitting 30 31 is required, to ensure compliance with building, plumbing, Δ

1 mechanical, electrical, gas fuel, energy conservation, accessibility and other construction codes which are required 2 3 or adopted by municipal code, county ordinance, or state law. The building code administrator or building official shall 4 5 faithfully perform these responsibilities without interference б from any person. These responsibilities include: The review of construction plans to ensure 7 (a) 8 compliance with all applicable codes. The construction plans must be reviewed before the issuance of any building, system 9 10 installation, or other construction permit. The review of 11 construction plans must be done by the building code administrator or building official or by a person having the 12 appropriate plans examiner license issued under this chapter. 13 The inspection of each phase of construction where 14 (b) a building or other construction permit has been issued. The 15 building code administrator or building official, or a person 16 17 having the appropriate building code inspector license issued under this chapter, shall inspect the construction or 18 19 installation to ensure that the work is performed in 20 accordance with applicable codes. (2) It is the responsibility of the building code 21 inspector to conduct inspections of construction, alteration, 22 repair, remodeling, or demolition of structures and the 23 24 installation of building systems, when permitting is required, to ensure compliance with building, plumbing, mechanical, 25 electrical, gas fuel, energy conservation, accessibility, and 26 27 other construction codes required by municipal code, county ordinance, or state law. Each building code inspector must be 28 29 licensed in the appropriate category as defined in s. 468.603. 30 The building code inspector's responsibilities must be 31 performed under the direction of the building code 5

1 administrator or building official without interference from 2 any unlicensed person. 3 (3) It is the responsibility of the plans examiner to 4 conduct review of construction plans submitted in the permit 5 application to assure compliance with all applicable codes б required by municipal code, county ordinance, or state law. 7 The review of construction plans must be done by the building 8 code administrator or building official or by a person 9 licensed in the appropriate plans examiner category as defined 10 in s. 468.603. The plans examiner's responsibilities must be 11 performed under the supervision and authority of the building code administrator or building official without interference 12 13 from any unlicensed person. Section 4. Subsection (2) of section 468.605, Florida 14 Statutes, is amended to read: 15 468.605 Florida Building Code Administrators and 16 17 Inspectors Board. --18 (2) The board shall consist of nine members, as 19 follows: One member who is an architect licensed pursuant 20 (a) 21 to chapter 481, an engineer licensed pursuant to chapter 471, or a contractor licensed pursuant to chapter 489. 22 (b) Two members serving as building code 23 24 administrators. 25 Two members One member serving as an a building (C) inspector who is without managerial authority in the employing 26 27 agency. 28 One member serving as a plans examiner. (d) 29 (e) One member who is a representative of a city or a 30 charter county. 31 (f) One member serving as a city manager. 6 **CODING:**Words stricken are deletions; words underlined are additions.

1 (f) (g) Two consumer members who are not, and have 2 never been, members of a profession regulated under this part, 3 chapter 481, chapter 471, or chapter 489. One of the consumer members must be a person with a disability or a representative 4 5 of an organization which represents persons with disabilities. б 7 None of the board members described in paragraph (a) or paragraph(f) = (g) may be an employee of a municipal, county,8 9 or state governmental agency. 10 Section 5. Section 468.609, Florida Statutes, is 11 amended to read: 468.609 Administration of this part; standards for 12 13 certification; additional categories of certification.--(1) Except as provided in this part, any person who 14 desires to be certified shall apply to the board, in writing 15 upon forms approved and furnished by the board, to take the 16 17 certification examination. (2) A person shall be entitled to take the examination 18 19 for certification as an inspector or plans examiner pursuant 20 to this part if the person: (a) Is at least 18 years of age; 21 (b) Is of good moral character; and 22 23 (C) Meets eligibility requirements according to one of 24 the following criteria: 25 Demonstrates 5 years' combined experience in the 1. field of construction or related field or inspection, or plans 26 27 review corresponding to the certification category sought; 28 2. Demonstrates a combination of postsecondary 29 education in the field of construction or related field and experience which totals 4 years, with at least 1 year of such 30 31 7

1 total being experience in construction, or building 2 inspection, or plans review; or 3 3. Currently holds a standard certificate as issued by the board and satisfactorily completes an inspector or plans 4 5 examiner training program of not less than 200 hours in the certification category sought. The board shall establish by б rule criteria for the development and implementation of the 7 8 training programs. 9 (3)3. A person shall be entitled to take the 10 examination for certification as a building code administrator 11 pursuant to this part if the person: (a) Is at least 18 years of age; 12 (b) Is of good moral character; and 13 14 (c) Meets eligibility requirements according to one of the following criteria: 15 1. For certification as a building code administrator 16 17 or building official, Demonstrates 10 years' combined experience as an architect, engineer, plan examiner, building 18 19 code inspector, registered or certified contractor, or construction superintendent, with at least 5 years of such 20 experience in supervisory positions; or. 21 2. Demonstrates a combination of postsecondary 22 education in the field of construction or related field, no 23 24 more than 5 years of which may be applied, and experience as 25 an architect, engineer, plan examiner, building code inspector, registered or certified contractor, or construction 26 27 superintendent which totals 10 years, with at least 5 years of 28 such total being experience in supervisory positions. 29 (4) (3) No person may engage in the duties of a 30 building code administrator, plans examiner, or inspector 31 pursuant to this part after October 1, 1993, unless such 8

person possesses one of the following types of certificates, 1 2 currently valid, issued by the board attesting to the person's 3 qualifications to hold such position: (a) A standard certificate. 4 (b) A limited certificate. 5 б (c) A provisional certificate. 7 (5)(4)(a) To obtain a standard certificate, an 8 individual must pass an examination approved by the board 9 which demonstrates that the applicant has fundamental 10 knowledge of the state laws and codes relating to the 11 construction of buildings for which the applicant has code administration, plan examining, or inspection 12 responsibilities. It is the intent of the Legislature that 13 the examination approved for certification pursuant to this 14 part be substantially equivalent to the examinations 15 administered by the Southern Building Code Congress 16 17 International, the Building Officials Association of Florida, the South Florida Building Code (Dade and Broward), and the 18 19 Council of American Building Officials. (b) A standard certificate shall be issued to each 20 applicant who successfully completes the examination, which 21 certificate authorizes the individual named thereon to 22 practice throughout the state as a building code 23 24 administrator, plans examiner, or inspector within such class 25 and level as is specified by the board. (c) The board may accept proof that the applicant has 26 27 passed an examination which is substantially equivalent to the 28 board-approved examination set forth in this section. 29 (6)(5)(a) A building code administrator, plans 30 examiner, or inspector holding office on July 1, 1993, shall 31 not be required to possess a standard certificate as a 9

1 condition of tenure or continued employment, but shall be 2 required to obtain a limited certificate as described in this 3 subsection. (b) By October 1, 1993, individuals who were employed 4 5 on July 1, 1993, as building code administrators, plans 6 examiners, or inspectors, who are not eligible for a standard 7 certificate, but who wish to continue in such employment, 8 shall submit to the board the appropriate application and certification fees and shall receive a limited certificate 9 10 qualifying them to engage in building code administration, 11 plans examination, or inspection in the class, at the performance level, and within the governmental jurisdiction in 12 13 which such person is employed. (c) The limited certificate shall be valid only as an 14 authorization for the building code administrator, plans 15 examiner, or inspector to continue in the position held, and 16 17 to continue performing all functions assigned to that position, on July 1, 1993. 18 19 (d) A building code administrator, plans examiner, or 20 inspector holding a limited certificate can be promoted to a 21 position requiring a higher level certificate only upon issuance of a standard certificate or provisional certificate 22 appropriate for such new position. 23 24 (7) (a) The board may provide for the issuance of 25 provisional or temporary certificates valid for such period, not less than 1 year nor more than 3 years, as specified by 26 board rule, to any newly employed or promoted building code 27 28 administrator, plans examiner, or inspector newly employed or 29 newly promoted who lacks the qualifications prescribed by the board or by statute as prerequisite to issuance of a standard 30 31 certificate.

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1	(b) No building code administrator, plans examiner, or
2	inspector may have a provisional or temporary certificate
3	extended beyond the specified period by renewal or otherwise.
4	(c) The board may provide for appropriate levels of
5	provisional or temporary certificates and may issue these
6	certificates with such special conditions or requirements
7	relating to the place of employment of the person holding the
8	certificate, the supervision of such person on a consulting or
9	advisory basis, or other matters as the board may deem
10	necessary to protect the public safety and health.
11	(d) A newly employed or hired person may perform the
12	duties of a plans examiner or inspector for 90 days if a
13	provisional certificate application has been submitted,
14	provided such person is under the direct supervision of a
15	certified building code administrator who holds a standard
16	certification and who has found such person qualified for a
17	provisional certificate.
18	(8) (7) (a) Any individual who holds a valid certificate
19	under the provisions of s. 553.795, or who has successfully
20	completed all requirements for certification pursuant to such
21	section, shall be deemed to have satisfied the requirements
22	for receiving a standard certificate prescribed by this part.
23	(b) Any individual who holds a valid certificate
24	issued by the Southern Building Code Congress International,
25	the Building Officials Association of Florida, the South
26	Florida Building Code (Dade and Broward), or the Council of
27	American Building Officials certification programs, or who has
28	been approved for certification under one of those programs
29	not later than October 1, 1995, shall be deemed to have
30	satisfied the requirements for receiving a standard
31	certificate in the corresponding category prescribed by this
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1 part. Employees of counties with a population of less than 2 50,000, or employees of municipalities with a population of 3 less than 3,500, shall be deemed to have satisfied the requirements for standard certification where such employee is 4 5 approved for certification under one of the programs set forth б in this paragraph not later than October 1, 1998. 7 (9)(8) Any individual applying to the board may be 8 issued a certificate valid for multiple inspection classes, as 9 deemed appropriate by the board. 10 (10)(9) Certification and training classes may be 11 developed in coordination with degree career education centers, community colleges, the State University System, or 12 other entities offering certification and training classes. 13 14 (11)(10) The board may by rule create categories of certification in addition to those defined in s. 468.603(6) 15 and (7). Such certification categories shall not be mandatory 16 17 and shall not act to diminish the scope of any certificate 18 created by statute. 19 Section 6. Subsections (2) and (3) of section 468.617, Florida Statutes, are amended to read: 20 21 468.617 Joint inspection department; other 22 arrangements.--(2) Nothing in this part shall prohibit local 23 24 governments from contracting with employing persons certified 25 pursuant to this part to perform inspections or plan reviews on a contract basis. An individual or entity may not inspect 26 27 or examine plans on projects in which the individual or entity 28 designed or permitted the projects. (3) Nothing in this part shall prohibit any county or 29 30 municipal government from entering into any contract with any 31 person or entity for the provision of services regulated under 12

1 this part, and notwithstanding any other statutory provision, 2 such county or municipal governments may enter into contracts 3 which provide for payment of inspection or review fees 4 directly to the contract provider. 5 Section 7. Section 468.627, Florida Statutes, is б amended to read: 7 468.627 Application; examination; renewal; fees.--8 The board shall establish by rule fees to be paid (1) for application, examination, reexamination, certification and 9 10 certification renewal, inactive status application, and 11 reactivation of inactive certificates. The board may establish by rule a late renewal penalty. The board shall 12 establish fees which are adequate, when combined with revenue 13 generated by the provisions of s. 468.631, to ensure the 14 15 continued operation of this part. Fees shall be based on department estimates of the revenue required to implement this 16 17 part. (2) The initial application fee may not exceed \$25 for 18 19 building code administrators, plans examiners, or inspectors. 20 (3) The initial examination fee may not exceed\$150 $\frac{50}{50}$ for building code administrators, plans examiners, or 21 22 inspectors. 23 (4) The initial certification fee may not exceed \$25 24 for building code administrators, plans examiners, or 25 inspectors. (5) The biennial certification renewal fee may not 26 27 exceed \$25 for building code administrators, plans examiners, 28 or inspectors. 29 (4)(6) Employees of local government agencies having 30 responsibility for inspection, regulation, and enforcement of 31 building, plumbing, mechanical, electrical, gas, fire 13

prevention, energy, accessibility, and other construction 1 2 codes shall pay no application fees or examination fees, and 3 shall pay not more than \$5 each for initial certification and biennial certification renewal fees. 4 5 (5) (7) The certificateholder shall provide proof, in a б form established by board rule, that the certificateholder has 7 completed at least 14 classroom hours of at least 50 minutes 8 each of continuing education courses during each biennium 9 since the issuance or renewal of the certificate. The board 10 shall by rule establish criteria for approval of continuing 11 education courses and providers, and may by rule establish criteria for accepting alternative nonclassroom continuing 12 13 education on an hour-for-hour basis. Section 8. Section 468.631, Florida Statutes, is 14 amended to read: 15 468.631 Building Code Administrators and Inspectors 16 17 Fund.--The provisions of this part shall be funded through a surcharge, to be assessed pursuant to s. 125.56(4) or s. 18 19 166.201 at the rate of one-half cent per square foot of 20 under-roof floor space permitted, including new construction, renovations, alterations, and additions. The unit of 21 government responsible for collecting permit fees pursuant to 22 s. 125.56(4) or s. 166.201 shall collect such surcharge and 23 24 shall remit the funds to the department on a quarterly 25 calendar basis beginning not later than December 31, 1993, for the preceding quarter, and continuing each quarter third month 26 thereafter; and such unit of government may retain an amount 27 28 up to 25 10 percent of the surcharge collected to fund 29 projects and activities intended to improve the quality of building code enforcement. Twenty-five percent of all 30 31 surcharge funds collected must be retained by the board for

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1 the development and implementation of continuing education and other training programs as determined by board rule. Employees 2 3 of local government agencies having responsibility for inspection, regulation, and enforcement of building, plumbing, 4 5 mechanical, electrical, gas, fire prevention, energy, б accessibility, and other construction codes shall not pay for 7 any continuing education courses or training programs 8 developed using these funds. There is created within the Professional Regulation Trust Fund a separate account to be 9 10 known as the Building Code Administrators and Inspectors Fund, 11 which shall deposit and disburse funds as necessary for the implementation of this part. The department shall annually 12 establish the amount needed to fund the certification and 13 regulation of building code administrators, plans examiners, 14 and inspectors. Any funds collected in excess of the amount 15 needed to adequately fund the certification, and regulation, 16 17 and continuing education and training programs as stated above 18 of building code administrators, plans examiners, and 19 inspectors shall be deposited into the Construction Industries Recovery Fund established by s. 489.140. If the Construction 20 21 Industries Recovery Fund is fully funded as provided by s. 489.140, any remaining funds shall be distributed to the 22 Construction Industry Licensing Board for use in the 23 24 regulation of certified and registered contractors. Section 9. Section 471.045, Florida Statutes, is 25 26 created to read: 27 471.045 Professional engineers performing building 28 code inspector duties .-- Notwithstanding any other provision of 29 law, a person who is currently licensed under this chapter to 30 practice as a professional engineer may provide building 31 inspection services described in s. 468.603(6) and (7) to a

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1	local government or state agency upon its request, without
2	being certified by the Board of Building Code Administrators
3	and Inspectors under part XIII of chapter 468. When performing
4	these building inspection services, the professional engineer
5	is subject to the disciplinary guidelines of this chapter and
6	s. 468.621(1)(c)-(g). Any complaint processing, investigation,
7	and discipline that arise out of a professional engineer's
8	performing building inspection services shall be conducted by
9	the Board of Professional Engineers rather than the Board of
10	Building Code Administrators and Inspectors. A professional
11	engineer may not perform plans review as an employee of a
12	local government upon any job that the professional engineer
13	or the professional engineer's company designed.
14	Section 10. Section 481.222, Florida Statutes, is
15	created to read:
16	481.222 Architects performing building code inspector
17	dutiesNotwithstanding any other provision of law, a person
18	who is currently licensed to practice as an architect under
19	this part may provide building inspection services described
20	in s. $468.603(6)$ and (7) to a local government or state agency
21	upon its request, without being certified by the Board of
22	Building Code Administrators and Inspectors under part XIII of
23	chapter 468. With respect to the performance of such building
24	inspection services, the architect is subject to the
25	disciplinary guidelines of this part and s. 468.621(1)(c)-(g).
26	Any complaint processing, investigation, and discipline that
27	arise out of an architect's performance of building inspection
28	services shall be conducted by the Board of Architecture and
29	Interior Design rather than the Board of Building Code
30	Administrators and Inspectors. An architect may not perform
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1 plans review as an employee of a local government upon any job 2 that the architect or the architect's company designed. 3 Section 11. Paragraph (d) of subsection (1) of section 489.129, Florida Statutes, is amended to read: 4 5 489.129 Disciplinary proceedings.-б (1) The board may take any of the following actions 7 against any certificateholder or registrant: place on 8 probation or reprimand the licensee, revoke, suspend, or deny 9 the issuance or renewal of the certificate, registration, or 10 certificate of authority, require financial restitution to a 11 consumer for financial harm directly related to a violation of a provision of this part, impose an administrative fine not to 12 exceed \$5,000 per violation, require continuing education, or 13 assess costs associated with investigation and prosecution, if 14 the contractor, financially responsible officer, or business 15 organization for which the contractor is a primary qualifying 16 17 agent, a financially responsible officer, or a secondary qualifying agent responsible under s. 489.1195 is found guilty 18 19 of any of the following acts: 20 (d) Knowingly violating the applicable building codes or laws of the state or of any municipalities or counties 21 thereof. A violation is not a knowing violation if the 22 contractor has relied on a determination by the local building 23 24 official that the contractor's work complies with the 25 applicable building code. Section 12. Paragraph (f) of subsection (7) of section 26 27 489.131, Florida Statutes, is amended to read: 28 489.131 Applicability.--29 (7)The department may investigate any complaint which 30 (f) 31 is made with the department. However, if the department 17

determines that the complaint is against a registered 1 2 contractor and the is for an action which a local jurisdiction 3 has a local enforcement body, the department shall not 4 initiate or continue an investigation or prosecution of the 5 complaint until the local jurisdiction enforcement body has б investigated and reached adjudication or accepted a plea of 7 nolo contendere, including a recommended penalty to the board, 8 the department shall not initiate prosecution for that action, 9 unless the secretary has initiated summary procedures pursuant 10 to s. 455.225(8). If the local enforcement body finds no 11 license violation has occurred, that determination is binding 12 on the department and the board. Section 13. This act shall take effect July 1, 1998. 13 14 STATEMENT OF SUBSTANTIAL CHANGES CONTAINED IN COMMITTEE SUBSTITUTE FOR Senate Bill 2084 15 16 17 18 This Committee Substitute for 2084 included the following provisions: 19 Creates s. 471.045, F.S., and s. 481.222, F.S., to allow certified engineers and architects to perform building inspections and plan reviews for local governments and state agencies without becoming certified as building inspectors or plan reviewers by the Board of Building Code Administrators and Inspectors pursuant to part XIII of chapter 468, F.S.; 20 21 22 Clarifies that local governments have the authority to contract with qualified persons for "plan reviews" as well as building inspections. 23 24 Amends s. 455.225, F.S., to require DBPR, when investigating licensees, to make the complaint and entire investigative file available to the licensee. 25 26 Amends s. 489.129, F.S., to clarify what constitutes "knowingly violating" a building code, which is grounds for disciplinary action against a licensee by DBPR. In addition, s. 489.131, F.S., is amended to clarify the department's authority to initiate disciplinary actions against a licensee. 27 28 29 30 31 18