

Bill No. HB 3239, 1st Eng.

Amendment No.     

	<u>Senate</u>	CHAMBER ACTION	<u>House</u>
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11	Senator Horne moved the following amendment:		
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13	<b>Senate Amendment</b>		
14	On page 13, lines 22-29, delete those lines		
15			
16	and insert:		
17	(4) No investment adviser or associated person of an		
18	investment adviser <u>or federal covered adviser</u> shall engage in		
19	business from offices in this state, or render investment		
20	advice to persons of this state, by mail or otherwise, unless		
21	the <u>federal covered adviser has made a notice filing with the</u>		
22	<u>department pursuant to s. 517.1201 or the investment adviser</u>		
23	<u>is registered pursuant to the provisions of this chapter and</u>		
24	<u>associated persons of the federal covered adviser or</u>		
25	<u>investment adviser</u> have been registered with the department		
26	pursuant to this section. <u>The department shall not register</u>		
27	<u>any person or an associated person of a federal covered</u>		
28	<u>adviser or an investment adviser unless the federal covered</u>		
29	<u>adviser or investment adviser with which the applicant seeks</u>		
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