Florida House of Representatives - 1998

CS/HB 3481

By the Committee on Governmental Operations and Representatives Culp, Effman and Trovillion

A bill to be entitled
An act relating to information resources
<pre>management; amending s. 186.021, F.S.;</pre>
providing that certain information resources
management projects are not required in agency
strategic plans; amending s. 216.181, F.S.;
providing that certain information resource
management projects are not subject to the
mandatory notice and review requirements for
amendments to agencies' original approved
operating budgets; amending s. 186.022, F.S.;
providing that certain coordinating councils
and boards are required to develop annual
performance reports; amending s. 282.3063,
F.S.; modifying the date for submission of the
Agency Annual Information Resources Management
Report; amending s. 282.310, F.S.; modifying
the date by which the State Annual Report on
Information Resources Management must be
developed; requiring the inclusion of specified
information within the report; amending s.
282.3091, F.S.; authorizing members of the
State Technology Council to appoint designees
to serve on their behalf; amending s. 282.322,
F.S.; requiring the submission of quarterly
reports for information resources projects
designated for special monitoring; providing an
additional recipient of project monitors' final
reports; amending s. 282.404, F.S.; removing
the chair of the Geographic Information
Advisory Council as an ex officio member of the
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1 Geographic Information Board; revising duties 2 of the Geographic Information Board; providing 3 that the board shall serve as coordinator for census activities; requiring the chair to 4 5 attend all meetings of the Geographic Information Board on behalf of the council; б 7 amending s. 215.96, F.S.; providing a technical 8 correction; providing an effective date. 9 10 Be It Enacted by the Legislature of the State of Florida: 11 12 Section 1. Subsection (1) of section 186.021, Florida 13 Statutes, is amended to read: 14 186.021 State agency strategic plans.--15 (1) A state agency strategic plan shall be a statement 16 of the priority directions an agency will take to carry out its mission within the context of the state comprehensive plan 17 and any other statutory mandates and authorizations given to 18 the agency. Each state agency strategic plan must identify 19 20 infrastructure needs, capital improvement needs, and 21 information resources management projects or initiatives that 22 involve more than one agency, that have an outcome that impacts another agency, or that exceed \$500,000 in total cost 23 over a 1-year period, except for those projects that are a 24 continuation of hardware or software maintenance or software 25 26 licensing agreements, or that are for desktop replacement that 27 is similar to the technology currently in use. Each agency 28 strategic plan shall specify those objectives against which 29 will be judged the agency's achievement of its goals and the goals of the state comprehensive plan. The state agency 30 31

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strategic plan shall be consistent with and shall further the 1 2 goals of the state comprehensive plan. 3 Section 2. Subsection (4) of section 216.181, Florida 4 Statutes, is amended to read: 5 216.181 Approved budgets for operations and fixed б capital outlay .--7 (4) All amendments to the original approved operating 8 budgets, regardless of funding source, are subject to the 9 notice and review procedures set forth in s. 216.177 and must be approved by the Governor and Administration Commission as 10 11 provided in this chapter for the executive branch and the 12 Chief Justice for the judicial branch if the amendment is for 13 an information resources management project or initiative that 14 involves more than one agency, has an outcome that impacts another agency, or exceeds \$500,000 in total cost over a 15 16 1-year period, except for those projects that are a continuation of hardware or software maintenance or software 17 licensing agreements, or that are for desktop replacement that 18 19 is similar to the technology currently in use. 20 Section 3. Subsection (9) of section 186.022, Florida 21 Statutes, is amended to read: 22 186.022 State agency strategic plans; preparation, 23 form, and review. --24 (8) Each agency shall submit by September 1 of each 25 year an annual performance report to the Executive Office of 26 the Governor, with copies to the President of the Senate, the 27 Speaker of the House of Representatives, and the Auditor 28 General. The purpose of this report is to evaluate the 29 attainment of the agency objectives in the agency strategic 30 plan. 31

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1 By March 1 of each year, the Geographic (9) 2 Information Board, the Financial Management Information Board, 3 the Criminal and Juvenile Justice Information Systems Council, and the Health Information Systems Council shall each develop 4 5 a strategic plan following the general statutory requirements that are applicable to agencies pursuant to s. 186.021(1), б 7 (2), and (3). The strategic plan shall be subject to the 8 requirements, and the review and approval processes, set forth 9 in subsections (2) through (8), with the following 10 exceptions: 11 (a) The Executive Office of the Governor, after 12 consultation with the President of the Senate and the Speaker 13 of the House of Representatives, may prescribe a specific 14 format and content for the strategic plans of coordinating boards and councils. 15 16 (b) The time periods for review and return of any required strategic plan revisions, incorporation of such 17 revisions by the boards or councils, and resolution of 18 disputes shall be established by the Executive Office of the 19 20 Governor, after consultation with the President of the Senate and the Speaker of the House of Representatives. 21 22 Section 4. Subsection (1) of section 282.3063, Florida Statutes, is amended to read: 23 24 282.3063 Agency Annual Information Resources 25 Management Report .--26 (1) By September 1 November 1 of each year, and for 27 the State University System within 90 days after completion of 28 the expenditure analysis developed pursuant to s. 240.271(4), 29 each Chief Information Officer shall prepare and submit to the State Technology Office an Agency Annual Information Resources 30 31 Management Report. Following consultation with the State 4

Technology Council and the Chief Information Officers Council, 1 2 the Executive Office of the Governor and the fiscal committees 3 of the Legislature shall jointly develop and issue instructions for the format and contents of the report. 4 5 Section 5. Subsections (1) and (2) of section 282.310, 6 Florida Statutes, are amended to read: 7 282.310 State Annual Report on Information Resources 8 Management.--9 (1) By January 15 March 1 of each year, the State 10 Technology Office shall develop a State Annual Report on 11 Information Resources Management. 12 (2) The State Annual Report on Information Resources 13 Management shall contain, at a minimum, the following: 14 (a) The state vision for information resources 15 management. 16 (b) A forecast of the state information resources management priorities and initiatives for the ensuing 2 years. 17 18 (c) A summary of major statewide policies recommended by the State Technology Council for information resources 19 20 management. 21 (d) A summary of memoranda issued by the Executive 22 Office of the Governor. (e) An assessment of the overall progress on state 23 information resources management initiatives and priorities 24 25 for the past fiscal year. 26 (f) A summary of major statewide issues related to 27 improving information resources management by the state. 28 (g) An inventory list, by major categories, of state 29 information technology resources. (h) A summary of the total expenditures for 30 information resources management by each state agency. 31 5

1 (i) A summary of the opportunities for government 2 agencies or entities to share information resources management 3 projects or initiatives with other governmental or private 4 sector entities. 5 (j) A list of the information resources management б issues that have been identified as statewide or critical 7 issues for which the State Technology Council could provide 8 leadership or assistance. 9 10 The state annual report shall also include information 11 resources management information from the annual reports 12 prepared by the Board of Regents for the State University 13 System, from the State Board of Community Colleges for the 14 State Community College System, from the Supreme Court for the judicial branch, and from the Justice Administrative 15 16 Commission on behalf of the each state attorneys attorney and public defenders defender. Expenditure information shall be 17 taken from each agency's annual report as well as the annual 18 19 reports of the Board of Regents, the State Board of Community 20 Colleges, the Supreme Court, and the Justice Administrative Commission the state attorneys, and the public defenders. 21 Section 6. Subsection (3) of section 282.3091, Florida 22 Statutes, is amended tor read: 23 24 282.3091 State Technology Council; creation .--(3) The council shall be composed of nine members as 25 26 follows: 27 The director of the Governor's Office of Planning (a) 28 and Budgeting, who shall serve as chair of the council. 29 (b) The Comptroller. (c) The Commissioner of Education. 30 31 (d) The Secretary of State. 6

1 (e) The secretary of the Department of Management 2 Services. 3 (f) Two state agency heads appointed by the Governor. 4 (g) Two private sector representatives, one appointed 5 by the Speaker of the House of Representatives and one б appointed by the President of the Senate, who are not current 7 members of the Legislature. Private sector representatives 8 shall, at a minimum, have a general knowledge of or experience 9 in managing information technology resources. However, representatives of information technology resource vendors or 10 11 any of their subsidiaries that sell products or services to 12 the state shall not be appointed to serve as a private sector 13 representative. 14 15 Members may appoint designees to serve on their behalf; 16 however, such designees must be in a position that reports 17 directly to the member. Section 7. Section 282.322, Florida Statutes, is 18 19 amended to read: 20 282.322 Special monitoring process for designated 21 information resources management projects.--For each 22 information resources management project which is designated for special monitoring in the General Appropriations Act, with 23 a proviso requiring a contract with a project monitor, the 24 25 Technology Review Workgroup established pursuant to s. 26 216.0446, in consultation with each affected agency, shall be 27 responsible for contracting with the project monitor. Upon 28 contract award, funds equal to the contract amount shall be 29 transferred to the Technology Review Workgroup upon request and subsequent approval of a budget amendment pursuant to s. 30 31 216.292. With the concurrence of the Legislative Auditing

Committee, the office of the Auditor General shall be the 1 2 project monitor for other projects designated for special 3 monitoring. However, nothing in this section precludes the Auditor General from conducting such monitoring on any project 4 5 designated for special monitoring. In addition to monitoring and reporting on significant communications between a 6 7 contracting agency and the appropriate federal authorities, 8 the project monitoring process shall consist of evaluating each major stage of the designated project to determine 9 whether the deliverables have been satisfied and to assess the 10 level of risks associated with proceeding to the next stage of 11 12 the project. The major stages of each designated project shall 13 be determined based on the agency's information systems 14 development methodology. At the end of each quarter and within 20 days after an agency has completed a major stage of its 15 16 designated project, the project monitor shall issue a written report, including the findings and recommendations for 17 correcting deficiencies, to the agency head, for review and 18 19 comment. Within 20 days after receipt of the project monitor's 20 report, the agency head shall submit a written statement of explanation or rebuttal concerning the findings and 21 22 recommendations of the project monitor, including any corrective action to be taken by the agency. The project 23 monitor shall include the agency's statement in its final 24 report which shall be forwarded, within 7 days after receipt 25 26 of the agency's statement, to the agency head, the inspector 27 general's office of the agency, the Executive Office of the 28 Governor, the appropriations committees of the Legislature, 29 the Joint Legislative Auditing Committee, the Technology Review Workgroup, and the Legislative Information Technology 30 31 Resource Committee, and the Office of Program Policy Analysis

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1 <u>and Government Accountability</u>. The Auditor General shall also 2 receive a copy of the project monitor's report for those 3 projects in which the Auditor General is not the project 4 monitor.

5 Section 8. Subsections (3), (5), (7), and (8) of 6 section 282.404, Florida Statutes, are amended to read: 282.404 Geographic information board; definition; 8 membership; creation; duties; advisory council; membership; 9 duties.--

10 (3) The board consists of the Director of Planning and 11 Budgeting within the Executive Office of the Governor, the 12 executive director of the Game and Fresh Water Fish 13 Commission, the executive director of the Department of 14 Revenue, and the State Cadastral Surveyor, as defined in s. 177.503, or their designees, and the heads of the following 15 16 agencies, or their designees: the Department of Agriculture and Consumer Services, the Department of Community Affairs, 17 the Department of Environmental Protection, the Department of 18 19 Transportation, and the Board of Professional Surveyors and 20 Mappers. The Governor shall appoint to the board one member each to represent the counties, municipalities, regional 21 22 planning councils, water management districts, and county property appraisers. The Governor shall initially appoint two 23 members to serve 2-year terms and three members to serve 24 4-year terms. Thereafter, the terms of all appointed members 25 26 must be 4 years and the terms must be staggered. Members may 27 be appointed to successive terms and incumbent members may 28 continue to serve the board until a new appointment is made. 29 The chair of the Geographic Information Advisory Council shall serve without voting rights as an ex officio member on the 30 31 board.

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(5) The board shall: (a) Promote the sharing of geographic information between the public sector and the private sector; (b) Conduct a periodic assessments assessment of geographic information and geographic information systems in this state to identify geographic information management activities and available resources in this state; (c) Increase efficiency and reduce costs redundancy of data acquisition by promoting the coordination of geographic information activities, including, but not limited to, development and maintenance of a data directory in which geographic information is cataloged data collection; (d) Promote consistency of data elements by 14 establishing standard data definitions and formats; (e) Promote the adoption and use of standards that 16 have broad application to the public and private sectors; (f) Develop criteria, policies, and procedures for the prescribed and preplanned electronic transmission of geographic information, including, but not limited to, transmissions between a local planning agency, as defined in s. 163.3164, and the state land planning agency; (f)(g) By March 1 of each year, develop and approve a strategic plan pursuant to the requirements set forth in s. 23 24 186.022(9). Copies of the plan shall be transmitted electronically or in writing to the Executive Office of the Governor, the Speaker of the House of Representatives, the President of the Senate, and the members of the Geographic Information Advisory Council as provided in subsection (7);

(h) Promote the use and coordination of geographic 30 information from sources such as universities, government

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1 organizations, nonprofit organizations, and the private 2 sector; 3 (i) Promote the development and maintenance of a data directory in which geographic information is cataloged; 4 5 (g)(j) Serve as liaison between local, regional, and 6 this state government and the Federal Government to promote 7 the sharing of geographic information; 8 (h)(k) Establish technical advisory committees to assist the board; and 9 10 (i) Serve as the coordinator for census activities and facilitate the availability and usability of the data 11 12 collected by the United States Census Bureau. 13 (1) Promote regional coordination of geographic 14 information. 15 (7) The Geographic Information Advisory Council 16 consists of one member each from the Office of Planning and Budgeting within the Executive Office of the Governor, the 17 Game and Fresh Water Fish Commission, the Department of 18 Revenue, the Department of Agriculture and Consumer Services, 19 20 the Department of Community Affairs, the Department of Environmental Protection, the Department of Transportation, 21 22 the State Cadastral Surveyor, the State Geologist, the Board of Professional Surveyors and Mappers, counties, 23 municipalities, regional planning councils, water management 24 25 districts, and property appraisers, as appointed by the 26 corresponding member of the board, and the State Geologist. The Governor shall appoint to the council one member each, as 27 28 recommended by the respective organization, to represent the 29 Department of Commerce, the Department of Children and Family Services, the Department of Health, the Florida Survey and 30 31 Mapping Society, Florida Region of the American Society of 11

1 Photogrammetry and Remote Sensing, Florida Association of 2 Cadastral Mappers, the The Florida Association of Professional 3 Geologists, Florida Engineering Society, Florida Chapter of the Urban and Regional Information Systems Association, the 4 5 forestry industry, the State University System survey and mapping academic research programs, and State University 6 7 System geographic information systems academic research 8 programs; and two members representing utilities, one from a 9 regional utility, and one from a local or municipal utility. 10 These persons must have technical expertise in geographic 11 information issues. The Governor shall initially appoint six 12 members to serve 2-year terms and six members to serve 4-year 13 terms. Thereafter, the terms of all appointed members must be 14 4 years and must be staggered. Members may be appointed to successive terms and incumbent members may continue to serve 15 16 the council until a successor is appointed. Representatives of the Federal Government may serve as ex officio members without 17 voting rights. 18

19 (8) A majority of the membership constitutes a quorum 20 for the conduct of business and shall elect the chair of the advisory council biennially. The council shall meet at least 21 22 twice a year, and the chair may call meetings as often as necessary to transact business or as directed by the board. 23 The chair, or his or her designee, shall attend all board 24 meetings on behalf of the council.Administrative and clerical 25 26 support shall be provided by the Department of Management 27 Services. 28 Section 9. Subsection (2) of section 215.96, Florida

29 Statutes, is amended to read:

30 215.96 Coordinating council and design and 31 coordination staff.--

(2) The coordinating council shall consist of the 1 2 Comptroller; the Treasurer; the secretary of the Department of 3 Management Services; and the Director of Planning and Budgeting, Executive Office of the Governor, or their 4 5 designees. The Comptroller, or his or her designee, shall be б chair of the coordinating council, and the design and 7 coordination staff shall provide administrative and clerical 8 support to the council and the board. The design and coordination staff shall maintain the minutes of each meeting 9 and shall make such minutes available to any interested 10 person. The Auditor General, the State Courts Administrator, 11 12 an executive officer of the Florida Association of State 13 Agency Administrative Services Directors, and an executive 14 officer of the Florida Association of State Budget Officers, or their designees, shall serve without voting rights as ex 15 16 officio members on the coordinating council. The chair may call meetings of the coordinating council as often as 17 necessary to transact business; however, the coordinating 18 19 council shall meet at least once a year. Action of the 20 coordinating council shall be by motion, duly made, seconded and passed by a majority of the coordinating council voting in 21 22 the affirmative for approval of items that are to be recommended for approval to the Financial Management 23 24 Information Board. 25 Section 10. This act shall take effect upon becoming a 26 law. 27 28 29 30 31 13