32-43-98

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A bill to be entitled 2 An act relating to human rights; amending s. 402.165, F.S.; redesignating the Statewide 3 4 Human Rights Advocacy Committee as the Statewide Human Rights Advocacy Council; revising membership of the statewide council; increasing the term of appointment to the statewide council; amending s. 402.166, F.S.; redesignating the district human rights advocacy committees as the local human rights 10 advocacy councils; providing for additional 11 12 local councils to be established; increasing the term of appointment to a local council; 13 14 providing for appointing a vice chairperson to each local council; providing for local 15 councils to monitor the activities of, and 16 investigate complaints against, the Department 17 of Children and Family Services; amending s. 18 19 402.167, F.S.; revising provisions to reflect 20 the redesignation of the human rights advocacy 21 committees as human rights advocacy councils; 22 amending ss. 393.13, 394.459, 394.4595, 394.4597, 394.4598, 394.4599, 394.4615, 23 400.0067, 400.0089, 400.419, 400.428, 415.1034, 24 415.104, 415.1055, 415.106, 415.107, 415.501, 25 415.505, 415.51, F.S.; conforming terminology 26 27 to changes made by the act; providing an effective date. 28 29 30 Be It Enacted by the Legislature of the State of Florida: 31

1 Section 1. Section 402.165, Florida Statutes, is 2 amended to read: 3 402.165 Statewide Human Rights Advocacy Council Committee; confidential records and meetings. --4 5 (1) There is created within the Department of Children 6 and Family Health and Rehabilitative Services a Statewide 7 Human Rights Advocacy Council Committee. Members of the council shall represent the interests of clients who are served by the department. The department of Health and 9 10 Rehabilitative Services shall provide administrative support 11 and service to the statewide council committee to the extent requested by the executive director within available 12 13 resources. The statewide council is Human Rights Advocacy Committee shall not be subject to control, supervision, or 14 direction by the Department of Children and Family Health and 15 Rehabilitative Services in the performance of its duties. The 16 17 council committee shall consist of 15 citizens, one from each 18 service district of the department of Health and 19 Rehabilitative Services, who broadly represent the interests of the public and the clients of that department. The members 20 21 shall be representative of five groups of citizens as follows: one provider one elected public official; two providers who 22 delivers deliver services or programs to clients of the 23 24 Department of Children and Family Health and Rehabilitative 25 Services; two four nonsalaried representatives of nonprofit agencies or civic groups; four representatives of health and 26 27 rehabilitative services consumer groups who are currently receiving, or have received, services from the Department of 28 29 Children and Family Health and Rehabilitative Services within 30 the past 4 years, at least one of whom must be a consumer; and 31 two four residents of the state who do not represent any of

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the foregoing groups, one two of whom represents represent 2 health-related professions and one two of whom represents 3 represent the legal profession. In appointing the representatives of the health-related professions, the 4 5 appointing authority shall give priority of consideration to a 6 physician licensed under chapter 458 or chapter 459; and, in 7 appointing the representatives of the legal profession, the appointing authority shall give priority of consideration to a 8 member in good standing of The Florida Bar. Priority shall 9 10 also be given to appointing at least one elected official; one 11 additional health professional; one additional legal professional; one additional provider; two additional 12 nonsalaried representatives of nonprofit agencies or civic 13 groups; and an individual whose primary area of interest, 14 experience, or expertise is a major client group of the 15 Department of Children and Family Services which is not 16 17 represented on the council at the time of appointment. Except for the member who is an elected public official, each member 18 19 of the statewide council Human Rights Advocacy Committee must have served as a member of a local district human rights 20 21 advocacy council, with priority consideration given to an applicant who has served a full term on a local council 22 committee. Persons related to each other by consanguinity or 23 24 affinity within the third degree may not serve on the 25 statewide council Human Rights Advocacy Committee at the same 26 time. 27

(2) Members of the statewide <u>council</u> Human Rights Advocacy Committee shall be appointed to serve terms of $\frac{4}{3}$ years, retroactive to the members in office on July 1, 1998. A member may not serve more than two <u>full</u>, consecutive terms. The limitation on the number of terms a member may serve

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applies without regard to whether a term was served before or after October 1, 1989.

- Advocacy Committee fails to attend two-thirds of the regular council committee meetings during the course of a year, the position held by such member may be deemed vacant by the council committee. The Governor shall fill the vacancy pursuant to subsection (4). If a member of the statewide council violates Human Rights Advocacy Committee is in violation of the provisions of this section or procedures adopted under this section thereto, the council committee may recommend to the Governor that such member be removed.
- (4) The Governor shall fill each vacancy on the statewide council Human Rights Advocacy Committee from a list of nominees submitted by the statewide council committee. A list of candidates shall be submitted to the statewide council committee by the local council district human rights advocacy committee in the district from which the vacancy occurs. Priority of consideration shall be given to the appointment of an individual whose primary interest, experience, or expertise lies with a major client group of the Department of Children and Family Health and Rehabilitative Services which is not represented on the council committee at the time of the appointment. If an appointment is not made within 60 days after a vacancy occurs on the council committee, the vacancy shall be filled by a majority vote of the statewide council committee without further action by the Governor. A No person who is employed by the Department of Children and Family Health and Rehabilitative Services may not be appointed to the council committee.

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- (5)(a) Members of the statewide council Human Rights Advocacy Committee shall receive no compensation, but are shall be entitled to be reimbursed for per diem and travel expenses in accordance with s. 112.061.
- (b) The council committee shall select an executive director who shall serve at the pleasure of the council committee and shall perform the duties delegated to him or her by the council committee. The compensation of the executive director shall be established in accordance with the rules of the Selected Exempt Service.
- (c) The council committee may apply for, receive, and accept grants, gifts, donations, bequests, and other payments including money or property, real or personal, tangible or intangible, and service from any governmental or other public or private entity or person and make arrangements as to the use of same.
- (d) The statewide council Human Rights Advocacy Committee shall annually prepare a budget request that is shall not be subject to change by department staff after it is approved by the council committee, but the budget request shall be submitted to the Governor by the department for transmittal to the Legislature. The budget must shall include a request for funds to carry out the activities of the statewide council Human Rights Advocacy Committee and the local councils district human rights advocacy committees.
- (6) The members of the statewide council Human Rights Advocacy Committee shall elect a chairperson and vice chairperson to terms a term of 1 year. A person may not serve as chairperson or vice chairperson for more than two full, consecutive terms.

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- (7) The responsibilities of the <u>council</u> committee include, but are not limited to:
- (a) Serving as an independent third-party mechanism for protecting the constitutional and human rights of any client within a program or facility operated, funded, licensed, or regulated by the Department of Children and Family Health and Rehabilitative Services.
- Monitoring by site visit and inspection of records, the delivery and use of services, programs, or facilities operated, funded, regulated, or licensed by the Department of Children and Family Health and Rehabilitative Services for the purpose of preventing abuse or deprivation of the constitutional and human rights of clients. The statewide council Human Rights Advocacy Committee may conduct an unannounced site visit or monitoring visit that involves the inspection of records if such visit is conditioned upon a complaint. A complaint may be generated by the council committee itself if information from the Department of Children and Family Health and Rehabilitative Services or other sources indicates a situation at the program or facility which that indicates possible abuse or neglect of clients. The statewide council Human Rights Advocacy Committee shall establish and follow uniform criteria for the review of information and generation of complaints. Routine program monitoring and reviews that do not require an examination of records may be made unannounced.
- (c) Receiving, investigating, and resolving reports of abuse or deprivation of constitutional and human rights referred to the statewide committee by a local council district human rights advocacy committee. If a matter constitutes a threat to the life,

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safety, or health of clients or is multidistrict in scope, the statewide council Human Rights Advocacy Committee may exercise such powers without the necessity of a referral from a local council district committee.

- (d) Reviewing existing programs or services and new or revised programs of the Department of Children and Family Health and Rehabilitative Services and making recommendations as to how the rights of clients are affected.
- (e) Submitting an annual report to the Legislature, no later than December 30 of each calendar year, concerning activities, recommendations, and complaints reviewed or developed by the council committee during the year.
- (f) Conducting meetings at least six times a year at the call of the chairperson and at other times at the call of the Governor or by written request of six members of the council committee.
- (g) Developing and adopting uniform procedures to be used to carry out the purpose and responsibilities of the statewide council and the local councils human rights advocacy committees, which procedures must shall include, but need not be limited to, the following:
- The responsibilities of the statewide council and the local councils committee;
- The organization and operation of the statewide council committee and the local councils district committees, including procedures for replacing a member, formats for maintaining records of council committee activities, and criteria for determining what constitutes a conflict of interest for purposes of assigning and conducting investigations and monitoring;

- 3. Uniform procedures for the statewide $\underline{\text{council}}$ $\underline{\text{committee}}$ and $\underline{\text{the local councils}}$ $\underline{\text{district committees}}$ to receive and investigate reports of abuse of constitutional or human rights;
- 4. The responsibilities and relationship of the <u>local</u> <u>councils</u> <u>district human rights advocacy committees</u> to the statewide council committee;
- 5. The relationship of the <u>statewide council</u> committee to the Department of <u>Children and Family</u> Health and Rehabilitative Services, including the way in which reports of findings and recommendations related to reported abuse are given to the Department of <u>Children and Family</u> Health and Rehabilitative Services;
- 6. Provision for cooperation with the State Long-Term Care Ombudsman Council;
- 7. Procedures for appeal. An appeal to the statewide council state committee is made by a local council district human rights advocacy committee when a valid complaint is not resolved at the local district level. The statewide council committee may appeal an unresolved complaint to the Secretary of Children and Family the Department of Health and Rehabilitative Services. If, after exhausting all remedies, the statewide council committee is not satisfied that the complaint can be resolved within the Department of Children and Family Health and Rehabilitative Services, the appeal may be referred to the Governor or the Legislature;
- 8. Uniform procedures for gaining access to and maintaining confidential information; and
- 9. Definitions of misfeasance and malfeasance for members of the statewide <u>council</u> committee and <u>local councils</u> district committees.

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 CODING: Words stricken are deletions; words underlined are additions.

<u>local councils</u> <u>district committees</u> and providing technical assistance to members and staff of <u>local councils</u> <u>district</u> <u>committees</u>.

Monitoring the performance and activities of all

- (i) Providing for the development and presentation of a standardized training program for members of <u>local councils</u> district committees.
- (8)(a) In the performance of its duties, the statewide council Human Rights Advocacy Committee shall have:
- 1. Authority to receive, investigate, seek to conciliate, hold hearings on, and act on complaints that which allege any abuse or deprivation of constitutional or human rights of clients.
- 2. Access to all client records, files, and reports from any program, service, or facility that is operated, funded, licensed, or regulated by the Department of Children and Family Health and Rehabilitative Services and any records that which are material to its investigation and which are in the custody of any other agency or department of government. The council's committee's investigation or monitoring may shall not impede or obstruct matters under investigation by law enforcement agencies or judicial authorities. Access may shall not be granted if a specific procedure or prohibition for reviewing records is required by federal law and regulation that which supersedes state law. Access may shall not be granted to the records of a private licensed practitioner who is providing services outside state agencies and facilities and whose client is competent and refuses disclosure.
- 3. Standing to petition the circuit court for access to client records $\underline{\text{that}}$ which are confidential as specified by

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The petition must shall state the specific reasons for which the council committee is seeking access and the intended 3 use of such information. The court may authorize committee access to such records upon a finding that such access is 4 5 directly related to an investigation regarding the possible deprivation of constitutional or human rights or the abuse of a client. Original client files, records, and reports may 8 shall not be removed from the Department of Children and Family Health and Rehabilitative Services or agency 10 facilities. The statewide council may not Under no 11 circumstance shall the committee have access to confidential adoption records in accordance with the provisions of ss. 12 39.411, 63.022, and 63.162. Upon completion of a general 13 investigation of practices and procedures of the Department of 14 Children and Family Health and Rehabilitative Services, the 15 statewide council committee shall report its findings to that 16 17 department.

- (b) All information obtained or produced by the statewide council committee which is made confidential by law, which relates to the identity of any client or group of clients subject to the protections of this section, or which relates to the identity of an individual who provides information to the council committee about abuse or alleged violations of constitutional or human rights-is confidential and exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution.
- (c) Portions of meetings of the statewide council Human Rights Advocacy Committee which relate to the identity of any client or group of clients subject to the protections of this section, which relate to the identity of an individual who provides information to the council committee about abuse

or alleged violations of constitutional or human rights, or wherein testimony is provided relating to records otherwise made confidential by law, are exempt from the provisions of s. 286.011 and s. 24(b), Art. I of the State Constitution.

- (d) All records prepared by members of the <u>statewide</u> <u>council</u> <u>committee</u> which reflect a mental impression, investigative strategy, or theory are exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution until the investigation is completed or until the investigation ceases to be active. For purposes of this section, an investigation is considered "active" while such investigation is being conducted by the <u>statewide council</u> <u>committee</u> with a reasonable, good faith belief that it may lead to a finding of abuse or of a violation of human rights. An investigation does not cease to be active so long as the <u>statewide council</u> <u>committee</u> is proceeding with reasonable dispatch and there is a good faith belief that action may be initiated by the <u>council</u> <u>committee</u> or other administrative or law enforcement agency.
- (e) Any person who knowingly and willfully discloses any such confidential information is guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 or s. 775.083.

Section 2. Section 402.166, Florida Statutes, is amended to read:

- 402.166 <u>Local</u> <u>District</u> human rights advocacy <u>councils</u> committees; confidential records and meetings.--
- (1) At least one <u>local</u> <u>district</u> human rights advocacy <u>council</u> <u>committee</u> is created in each service district of the Department of <u>Children and Family Health and Rehabilitative</u>

 Services. The <u>local</u> <u>district</u> human rights advocacy <u>councils</u>

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are committees shall be subject to direction from and the supervision of the Statewide Human Rights Advocacy Council Committee. The district administrator shall assign staff to provide administrative support to the local councils committees, and staff assigned to these positions shall perform the functions required by the local council committee without interference from the department. The local councils district committees shall direct the activities of staff assigned to them to the extent necessary for the councils committees to carry out their duties. The number and areas of responsibility of the local councils district human rights advocacy committees, not to exceed three in any district, shall be determined by the majority vote of local council district committee members. However, district II may have four councils, and any district that has a developmental services institution as defined in s. 393.063 or a state mental health hospital may, by a majority vote of the local council members, petition the statewide council to establish a separate council to serve this population committees. Local councils District committees shall meet at facilities under their jurisdiction whenever possible.

committee shall have no fewer than 7 members and no more than 15 members, 25 percent of whom are or have been clients of the Department of Children and Family Health and Rehabilitative Services within the last 4 years, except that one member of this group may be an immediate relative or legal representative of a current or former client; two providers, who deliver services or programs to clients of the Department of Children and Family Health and Rehabilitative Services; and two representatives of professional organizations, one of whom

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represents health-related professions and one of whom represents the legal profession. Priority of consideration shall be given to the appointment of at least one medical or osteopathic physician, as defined in chapters 458 and 459, and one member in good standing of The Florida Bar. Priority of consideration shall also be given to the appointment of an individual whose primary interest, experience, or expertise lies with a major client group of the Department of Children and Family Health and Rehabilitative Services which is not represented on the council committee at the time of the appointment. In no case shall A person who is employed by the Department of Children and Family Health and Rehabilitative Services may not be selected as a member of a council committee. At no time shall Individuals who are providing contracted services to the Department of Children and Family Health and Rehabilitative Services may not constitute more than 25 percent of the membership of a local council district committee. Persons related to each other by consanguinity or affinity within the third degree may shall not serve on the same local council district human rights advocacy committee at the same time. All members of local councils district human rights advocacy committees must successfully complete a standardized training course for council committee members within 3 months after their appointment to a council committee. A member may not be assigned an investigation that which requires access to confidential information prior to the completion of the training course. After he or she completes the required training course, a member of a council may committee shall not be prevented from participating in any activity of that council committee, including investigations and monitoring, except due to a conflict of interest as

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described in the procedures established by the Statewide Human Rights Advocacy Council Committee pursuant to subsection (7).

- (3)(a) With respect to existing <u>councils</u> committees, each member shall serve a term of 4 years. Upon expiration of a term and in the case of any other vacancy, the <u>local council</u> district committee shall appoint a replacement by majority vote of the <u>council</u> committee, subject to the approval of the Governor. A member may serve no more than two <u>full</u>, consecutive terms.
- (b)1. The Governor shall appoint the first 4 members of any newly created <u>council</u> committee; and those 4 members shall select the remaining 11 members, subject to approval of the Governor. If any of the first four members are not appointed within 60 days <u>after</u> of a request being submitted to the Governor, those members shall be appointed by a majority vote of the <u>local council</u> <u>district committee</u> without further action by the Governor.
- 2. Members shall serve for no more than two $\underline{\text{full}}$, consecutive terms of $\underline{4}$ years, except that at the time of initial appointment, terms shall be staggered so that the first six members appointed serve for terms of 2 years and the remaining five members serve for terms of 3 years. Vacancies shall be filled as provided in subparagraph 1.
- (c) If no action is taken by the Governor to approve or disapprove a replacement of a member pursuant to this paragraph within 30 days after the <u>local council</u> district committee has notified the Governor of the appointment, then the appointment of the replacement shall be considered approved.

- (d) The limitation on the number of terms a member may serve applies without regard to whether a term was served before or after October 1, 1989.
- (4) Each <u>council</u> <u>committee</u> shall elect a chairperson and <u>vice chairperson</u> for a term of 1 year. A person may not serve as chairperson <u>or vice chairperson</u> for more than two consecutive terms. The chairperson's <u>and vice chairperson's</u> terms expire term expires on the anniversary of their the <u>chairperson's</u> election.
- (5) If In the event that a council committee member fails to attend two-thirds of the regular council committee meetings during the course of a year, the council shall it shall be the responsibility of the committee to replace such member. If a local council district committee member violates is in violation of the provisions of this section subsection or procedures adopted under this section thereto, a local council district committee may recommend to the Governor that such member be removed.
- shall receive no compensation but is shall receive per diem and shall be entitled to reimbursement be reimbursed for per diem and travel expenses as provided in s. 112.061. Members may be provided reimbursement for long-distance telephone calls if such calls were necessary to an investigation of an abuse or deprivation of human rights.
- (7) A <u>local council</u> <u>district human rights advocacy</u> committee shall first seek to resolve a complaint with the appropriate local administration, agency, or program. +Any matter not resolved by the <u>local council district committee</u> shall be referred to the statewide <u>council Human Rights</u>
 Advocacy Committee. A <u>local council district human rights</u>

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advocacy committee shall comply with appeal procedures established by the statewide <u>council</u> Human Rights Advocacy Committee. The duties, actions, and procedures of both new and existing <u>local councils</u> <u>district human rights advocacy committees</u> shall conform to the provisions of <u>ss.</u>

402.164-402.167 this act. The duties of each <u>local council</u> district human rights advocacy committee shall include, but are not limited to:

- (a) Serving as an independent third-party mechanism for protecting the constitutional and human rights of any client within a program or facility operated, funded, licensed, or regulated by the Department of Children and Family Health and Rehabilitative Services.
- (b) Monitoring by site visit and inspection of records, the delivery and use of services, programs or facilities operated, funded, regulated or licensed by the Department of Children and Family Health and Rehabilitative Services for the purpose of preventing abuse or deprivation of the constitutional and human rights of clients. A local council district human rights advocacy committee may conduct an unannounced site visit or monitoring visit that involves the inspection of records if such visit is conditioned upon a complaint. A complaint may be generated by the council committee itself if information from the Department of Children and Family Health and Rehabilitative Services or other sources indicates a situation at the program or facility which that indicates possible abuse or neglect of clients. The local council district human rights advocacy committees shall follow uniform criteria established by the statewide council Human Rights Advocacy Committee for the review of information and generation of complaints. Routine program

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monitoring and reviews that do not require an examination of records may be made unannounced.

- (c) Receiving, investigating, and resolving reports of abuse or deprivation of constitutional and human rights.
- (d) Reviewing and making <u>recommendations</u>

 recommendation with respect to the involvement by clients of the Department of <u>Children and Family Health and</u>

 Rehabilitative Services as subjects for research projects, prior to implementation, insofar as their human rights are affected.
- (e) Reviewing existing programs or services and new or revised programs of the Department of <u>Children and Family</u>

 Health and Rehabilitative Services and making recommendations as to how the rights of clients are affected.
- (f) Appealing to the <u>statewide council</u> <u>state committee</u> any complaint unresolved at the <u>local</u> <u>district</u> level. Any matter that constitutes a threat to the life, safety, or health of a client or is multidistrict in scope shall automatically be referred to the statewide <u>council</u> <u>Human</u> <u>Rights Advocacy Committee</u>.
- (g) Submitting an annual report by September 30 to the statewide <u>council</u> <u>Human Rights Advocacy Committee</u> concerning activities, recommendations, and complaints reviewed or developed by the council committee during the year.
- (h) Conducting meetings at least six times a year at the call of the chairperson and at other times at the call of the Governor, at the call of the statewide <u>council</u> Human Rights Advocacy Committee, or by written request of a majority of the members of the council committee.
- (8)(a) In the performance of its duties, a <u>local</u> council district human rights advocacy committee shall have:

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- 1. Access to all client records, files, and reports from any program, service, or facility that is operated, funded, licensed, or regulated by the Department of Children and Family Health and Rehabilitative Services and any records that which are material to its investigation and which are in the custody of any other agency or department of government. The council's committee's investigation or monitoring may shall not impede or obstruct matters under investigation by law enforcement agencies or judicial authorities. Access may shall not be granted if a specific procedure or prohibition for reviewing records is required by federal law and regulation that which supersedes state law. Access may shall not be granted to the records of a private licensed practitioner who is providing services outside state agencies and facilities and whose client is competent and refuses disclosure.
- 2. Standing to petition the circuit court for access to client records that which are confidential as specified by law. The petition must shall state the specific reasons for which the council committee is seeking access and the intended use of such information. The court may authorize committee access to such records upon a finding that such access is directly related to an investigation regarding the possible deprivation of constitutional or human rights or the abuse of a client. Original client files, records, and reports may shall not be removed from Department of Children and Family Health and Rehabilitative Services or agency facilities. Upon no circumstances shall The local council may not committee have access to confidential adoption records in accordance with the provisions of ss. 39.411, 63.022, and 63.162. Upon completion of a general investigation of practices and

 procedures of the Department of <u>Children and Family Health and Rehabilitative</u> Services, the <u>council</u> committee shall report its findings to that department.

- (b) All information obtained or produced by <u>a local</u> <u>council</u> the committee which is made confidential by law, which relates to the identity of any client or group of clients subject to the protection of this section, or which relates to the identity of an individual who provides information to the <u>council</u> committee about abuse or alleged violations of constitutional or human rights, is confidential and exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution.
- (c) Portions of meetings of a <u>local council</u> district human rights advocacy committee which relate to the identity of any client or group of clients subject to the protections of this section, which relate to the identity of an individual who provides information to the <u>council committee</u> about abuse or alleged violations of constitutional or human rights, or wherein testimony is provided relating to records otherwise made confidential by law, are exempt from the provisions of s. 286.011 and s. 24(b), Art. I of the State Constitution.
- (d) All records prepared by members of <u>a local council</u> the committee which reflect a mental impression, investigative strategy, or theory are exempt from the provisions of s. 119.07(1) and s. 24(a), Art. I of the State Constitution until the investigation is completed or until the investigation ceases to be active. For purposes of this section, an investigation is considered "active" while such investigation is being conducted by <u>a local council</u> the committee with a reasonable, good faith belief that it may lead to a finding of abuse or of a violation of human rights. An investigation

does not cease to be active so long as the <u>council</u> committee is proceeding with reasonable dispatch and there is a good faith belief that action may be initiated by the <u>council</u> committee or other administrative or law enforcement agency.

(e) Any person who knowingly and willfully discloses any such confidential information is guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 or s. 775.083.

Section 3. Section 402.167, Florida Statutes, is amended to read:

- 402.167 Department duties relating to the Statewide Human Rights Advocacy <u>Council</u> Committee and the <u>Local</u> District Human Rights Advocacy Councils Committees.--
- (1) The Department of <u>Children and Family Health and Rehabilitative</u> Services shall adopt rules <u>that which</u> are consistent with law, amended to reflect any statutory changes, and that <u>which rules</u> address at least the following:
- (a) Procedures by which Department of <u>Children and</u>

 <u>Family Health and Rehabilitative</u> Services district staff refer reports of abuse to <u>local councils</u> <u>district human rights</u>

 <u>advocacy committees</u>.
- (b) Procedures by which client information is made available to members of the statewide <u>council</u> Human Rights Advocacy Committee and the <u>local councils</u> district human rights advocacy committees.
- (c) Procedures by which recommendations made by <u>the</u> <u>councils</u> <u>human rights advocacy committees</u> will be incorporated into Department of <u>Children and Family</u> <u>Health and</u> <u>Rehabilitative</u> Services policies and procedures.
- (d) Procedures by which <u>council</u> committee members are reimbursed for authorized expenditures.

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- (2) The Department of Children and Family Health and Rehabilitative Services shall provide for the location of local councils district human rights advocacy committees in district headquarters offices and shall provide necessary equipment and office supplies, including, but not limited to, clerical and word processing services, photocopiers, telephone services, and stationery and other necessary supplies.
- and assistance of employees of the Department of <u>Children and Family Health and Rehabilitative</u> Services with members and staff of the human rights advocacy <u>councils</u> committees. Further, the secretary shall ensure that to the extent possible, staff assigned to the Statewide Human Rights Advocacy <u>Council</u> <u>Committees</u> and <u>Local</u> <u>District</u> Human Rights Advocacy <u>Councils</u> <u>Committees</u> are free of interference from or control by the department in performing their duties relative to those <u>councils</u> <u>committees</u>.
- Section 4. Paragraphs (g) and (i) of subsection (4) and subsection (7) of section 393.13, Florida Statutes, are amended to read:
- 393.13 Personal treatment of persons who are developmentally disabled.--
- (4) CLIENT RIGHTS.--For purposes of this subsection, the term "client," as defined in s. 393.063, shall also include any person served in a facility licensed pursuant to s. 393.067.
- (g) No client shall be subjected to a treatment program to eliminate bizarre or unusual behaviors without first being examined by a physician who in his or her best judgment determines that such behaviors are not organically caused.

- 1. Treatment programs involving the use of noxious or painful stimuli shall be prohibited.
- 2. All alleged violations of this paragraph shall be reported immediately to the chief administrative officer of the facility or the district administrator, the department head, and the <u>local district</u> human rights advocacy <u>council</u> committee. A thorough investigation of each incident shall be conducted and a written report of the finding and results of such investigation shall be submitted to the chief administrative officer of the facility or the district administrator and to the department head within 24 hours of the occurrence or discovery of the incident.
- 3. The department shall promulgate by rule a system for the oversight of behavioral programs. Such system shall establish guidelines and procedures governing the design, approval, implementation, and monitoring of all behavioral programs involving clients. The system shall ensure statewide and local review by committees of professionals certified as behavior analysts pursuant to s. 393.17. No behavioral program shall be implemented unless reviewed according to the rules established by the department under this section.

 Nothing stated in this section shall prohibit the review of programs by the <u>local</u> <u>district</u> human rights advocacy <u>council</u> committee.
- (i) Clients shall have the right to be free from unnecessary physical, chemical, or mechanical restraint. Restraints shall be employed only in emergencies or to protect the client from imminent injury to himself or herself or others. Restraints shall not be employed as punishment, for the convenience of staff, or as a substitute for a habilitative plan. Restraints shall impose the least possible

restrictions consistent with their purpose and shall be removed when the emergency ends. Restraints shall not cause physical injury to the client and shall be designed to allow the greatest possible comfort.

- 1. Mechanical supports used in normative situations to achieve proper body position and balance shall not be considered restraints, but shall be prescriptively designed and applied under the supervision of a qualified professional with concern for principles of good body alignment, circulation, and allowance for change of position.
- 2. Totally enclosed cribs and barred enclosures shall be considered restraints.
- 3. Daily reports on the employment of physical, chemical, or mechanical restraints by those specialists authorized in the use of such restraints shall be made to the appropriate chief administrator of the facility, and a monthly summary of such reports shall be relayed to the district administrator and the local district human rights advocacy council committee. The reports shall summarize all such cases of restraints, the type used, the duration of usage, and the reasons therefor. Districts shall submit districtwide quarterly reports of these summaries to the state Developmental Services Program Office.
- 4. The department shall post a copy of the rules promulgated under this section in each living unit of residential facilities. A copy of the rules promulgated under this section shall be given to all staff members of licensed facilities and made a part of all preservice and inservice training programs.
- (7) RESIDENT GOVERNMENT.--Each residential facility providing services to clients who are desirous and capable of

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participating shall initiate and develop a program of resident government to hear the views and represent the interests of all clients served by the facility. The resident government shall be composed of residents elected by other residents, staff advisers skilled in the administration of community organizations, and a representative of the local district human rights advocacy council committee. The resident government shall work closely with the local district human rights advocacy council committee and the district administrator to promote the interests and welfare of all residents in the facility.

Section 5. Paragraph (c) of subsection (5) and subsection (12) of section 394.459, Florida Statutes, are amended to read:

394.459 Rights of patients.--

- (5) COMMUNICATION, ABUSE REPORTING, AND VISITS. --
- Each facility must permit immediate access to any patient, subject to the patient's right to deny or withdraw consent at any time, by the patient's family members, guardian, guardian advocate, representative, human rights advocacy council committee, or attorney, unless such access would be detrimental to the patient. If a patient's right to communicate or to receive visitors is restricted by the facility, written notice of such restriction and the reasons for the restriction shall be served on the patient, the patient's attorney, and the patient's guardian, guardian advocate, or representative; and such restriction shall be recorded on the patient's clinical record with the reasons therefor. The restriction of a patient's right to communicate or to receive visitors shall be reviewed at least every 7 The right to communicate or receive visitors shall not days.

be restricted as a means of punishment. Nothing in this paragraph shall be construed to limit the provisions of paragraph (d).

facility shall post a notice listing and describing, in the language and terminology that the persons to whom the notice is addressed can understand, the rights provided in this section. This notice shall include a statement that provisions of the federal Americans with Disabilities Act apply and the name and telephone number of a person to contact for further information. This notice shall be posted in a place readily accessible to patients and in a format easily seen by patients. This notice shall include the telephone numbers of the local human rights advocacy council committee and Advocacy Center for Persons with Disabilities, Inc.

Section 6. Section 394.4595, Florida Statutes, is amended to read:

394.4595 Human Rights Advocacy <u>Council</u> Committee access to patients and records.—Any facility designated by the department as a receiving or treatment facility must allow access to any patient and the clinical and legal records of any patient admitted pursuant to the provisions of this act by members of the Human Rights Advocacy Council Committee.

Section 7. Paragraph (d) of subsection (2) of section 394.4597, Florida Statutes, is amended to read:

394.4597 Persons to be notified; patient's representative.--

- (2) INVOLUNTARY PATIENTS.--
- 29 (d) When the receiving or treatment facility selects a 30 representative, first preference shall be given to a health 31 care surrogate, if one has been previously selected by the

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patient. If the patient has not previously selected a health care surrogate, the selection, except for good cause documented in the patient's clinical record, shall be made from the following list in the order of listing:

- 1. The patient's spouse.
- 2. An adult child of the patient.
- 3. A parent of the patient.
- 4. The adult next of kin of the patient.
- 5. An adult friend of the patient.
- 6. The appropriate human rights advocacy <u>council</u> committee as provided in s. 402.166.

Section 8. Subsection (1) of section 394.4598, Florida Statutes, is amended to read:

394.4598 Guardian advocate.--

(1) The administrator may petition the court for the appointment of a guardian advocate based upon the opinion of a psychiatrist that the patient is incompetent to consent to treatment. If the court finds that a patient is incompetent to consent to treatment and has not been adjudicated incapacitated and a guardian with the authority to consent to mental health treatment appointed, it shall appoint a guardian advocate. The patient has the right to have an attorney represent him or her at the hearing. If the person is indigent, the court shall appoint the office of the public defender to represent him or her at the hearing. The patient has the right to testify, cross-examine witnesses, and present witnesses. The proceeding shall be recorded either electronically or stenographically, and testimony shall be provided under oath. One of the professionals authorized to give an opinion in support of a petition for involuntary placement, as described in s. 394.467(2), must testify. A

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guardian advocate must meet the qualifications of a guardian contained in part IV of chapter 744, except that a professional referred to in this part, an employee of the facility providing direct services to the patient under this part, a departmental employee, a facility administrator, or member of the local district human rights advocacy committee shall not be appointed. A person who is appointed as a quardian advocate must agree to the appointment.

Section 9. Paragraph (b) of subsection (2) of section 394.4599, Florida Statutes, is amended to read:

394.4599 Notice.--

- (2) INVOLUNTARY PATIENTS.--
- (b) A receiving facility shall give prompt notice of the whereabouts of a patient who is being involuntarily held for examination, by telephone or in person within 24 hours after the patient's arrival at the facility, unless the patient requests that no notification be made. Contact attempts shall be documented in the patient's clinical record and shall begin as soon as reasonably possible after the patient's arrival. Notice that a patient is being admitted as an involuntary patient shall be given to the local human rights advocacy council committee no later than the next working day after the patient is admitted.

Section 10. Subsection (5) of section 394.4615, Florida Statutes, is amended to read:

394.4615 Clinical records; confidentiality.--

(5) Information from clinical records may be used by the Agency for Health Care Administration, the department, and the human rights advocacy <u>councils</u> committees for the purpose of monitoring facility activity and complaints concerning facilities.

1 Section 11. Paragraph (g) of subsection (2) of section 400.0067, Florida Statutes, is amended to read: 2 3 400.0067 Establishment of State Long-Term Care 4 Ombudsman Council; duties; membership. --5 (2) The State Long-Term Care Ombudsman Council shall: 6 (q) Enter into a cooperative agreement with the 7 statewide and local district human rights advocacy councils 8 committees for the purpose of coordinating advocacy services provided to residents of long-term care facilities. 9 10 Section 12. Section 400.0089, Florida Statutes, is 11 amended to read: 400.0089 Agency reports. -- The State Long-Term Care 12 13 Ombudsman Council, shall, in cooperation with the Department of Elderly Affairs, maintain a statewide uniform reporting 14 15 system to collect and analyze data relating to complaints and conditions in long-term care facilities and to residents, for 16 17 the purpose of identifying and resolving significant problems. The council shall submit such data as part of its annual 18 19 report required pursuant to s. 400.0067(2)(h) to the Agency 20 for Health Care Administration, the Department of Health and 21 Rehabilitative Services, the Statewide Human Rights Advocacy Council Committee, the Advocacy Center for Persons with 22 Disabilities, the Commissioner for the United States 23 24 Administration on Aging, the National Ombudsman Resource 25 Center, and any other state or federal entities that the ombudsman determines appropriate. 26 27 Section 13. Subsection (6) of section 400.419, Florida 28 Statutes, is amended to read: 29 400.419 Violations; penalties. --30 The agency shall develop and disseminate an annual

list of all facilities sanctioned or fined in excess of \$500

for violations of state standards, the number and class of violations involved, the penalties imposed, and the current status of cases. The list shall be disseminated, at no charge, to the Department of Elderly Affairs, the Department of Health and Rehabilitative Services, the area agencies on aging, the Statewide Human Rights Advocacy Council Committee, and the state and district nursing home and long-term care facility ombudsman councils. The agency may charge a fee commensurate with the cost of printing and postage to other interested parties requesting a copy of this list.

Section 14. Subsection (2) of section 400.428, Florida Statutes, is amended to read:

400.428 Resident bill of rights.--

a written notice of the rights, obligations, and prohibitions set forth in this part is posted in a prominent place in each facility and read or explained to residents who cannot read. This notice shall include the name, address, and telephone numbers of the district ombudsman council and adult abuse registry and, when applicable, the Advocacy Center for Persons with Disabilities, Inc., and the local district human rights advocacy council committee, where complaints may be lodged. The facility must ensure a resident's access to a telephone to call the district ombudsman council, adult abuse registry, Advocacy Center for Persons with Disabilities, Inc., and local district human rights advocacy council committee.

Section 15. Paragraph (a) of subsection (1) of section 415.1034, Florida Statutes, is amended to read:

415.1034 Mandatory reporting of abuse, neglect, or exploitation of disabled adults or elderly persons; mandatory reports of death.--

- (1) MANDATORY REPORTING.--
 - (a) Any person, including, but not limited to, any:
 - 1. Physician, osteopathic physician, medical examiner, chiropractor, nurse, or hospital personnel engaged in the admission, examination, care, or treatment of disabled adults or elderly persons;
 - 2. Health professional or mental health professional other than one listed in subparagraph 1.;
 - 3. Practitioner who relies solely on spiritual means for healing;
 - 4. Nursing home staff; assisted living facility staff; adult day care center staff; adult family-care home staff; social worker; or other professional adult care, residential, or institutional staff;
 - 5. State, county, or municipal criminal justice employee or law enforcement officer;
 - 6. Human rights advocacy <u>council member</u> committee or long-term care ombudsman council member; or
 - 7. Bank, savings and loan, or credit union officer, trustee, or employee,

who knows, or has reasonable cause to suspect, that a disabled adult or an elderly person has been or is being abused, neglected, or exploited shall immediately report such knowledge or suspicion to the central abuse registry and tracking system on the single statewide toll-free telephone number.

Section 16. Subsection (1) of section 415.104, Florida Statutes, is amended to read:

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415.104 Protective services investigations of cases of abuse, neglect, or exploitation of aged persons or disabled adults; transmittal of records to state attorney.--

(1) The department shall, upon receipt of a report alleging abuse, neglect, or exploitation of an aged person or disabled adult, commence, or cause to be commenced within 24 hours, a protective services investigation of the facts alleged therein. If, upon arrival of the protective investigator at the scene of the incident, a caregiver refuses to allow the department to begin a protective services investigation or interferes with the department's ability to conduct such an investigation, the appropriate law enforcement agency shall be contacted to assist the department in commencing the protective services investigation. If, during the course of the investigation, the department has reason to believe that the abuse, neglect, or exploitation is perpetrated by a second party, the appropriate criminal justice agency and state attorney shall be orally notified in order that such agencies may begin a criminal investigation concurrent with the protective services investigation of the department. In an institutional investigation, the alleged perpetrator may be represented by an attorney, at his or her own expense, or accompanied by another person, if the person or the attorney executes an affidavit of understanding with the department and agrees to comply with the confidentiality provisions of s. 415.107. The absence of an attorney or other person does not prevent the department from proceeding with other aspects of the investigation, including interviews with other persons. The department shall make a preliminary written report to the criminal justice agencies within 5 working days after the oral report. The department shall,

within 24 hours after receipt of the report, notify the appropriate human rights advocacy council committee, or long-term care ombudsman council, when appropriate, that an alleged abuse, neglect, or exploitation perpetrated by a second party has occurred. Notice to the human rights advocacy council committee or long-term care ombudsman council may be accomplished orally or in writing and shall include the name and location of the aged person or disabled adult alleged to have been abused, neglected, or exploited and the nature of the report. For each report it receives, the department shall perform an onsite investigation to:

- (a) Determine that the person is an aged person or disabled adult as defined in s. 415.102.
- (b) Determine the composition of the family or household, including the name, address, date of birth, social security number, sex, and race of each aged person or disabled adult named in the report; any others in the household or in the care of the caregiver, or any other persons responsible for the aged person's or disabled adult's welfare; and any other adults in the same household.
- (c) Determine whether there is an indication that any aged person or disabled adult is abused, neglected, or exploited, including a determination of harm or threatened harm to any aged person or disabled adult; the nature and extent of present or prior injuries, abuse, or neglect, and any evidence thereof; and a determination as to the person or persons apparently responsible for the abuse, neglect, or exploitation, including the name, address, date of birth, social security number, sex, and race of each person to be classified as an alleged perpetrator in a proposed confirmed report. An alleged perpetrator named in a proposed confirmed

report of abuse, neglect, or exploitation shall cooperate in the provision of the required data for the central abuse registry and tracking system to the fullest extent possible. (d) Determine the immediate and long-term risk to each

- (d) Determine the immediate and long-term risk to each aged person or disabled adult through utilization of standardized risk assessment instruments.
- (e) Determine the protective, treatment, and ameliorative services necessary to safeguard and ensure the aged person's or disabled adult's well-being and cause the delivery of those services through the early intervention of the departmental worker responsible for service provision and management of identified services.

Section 17. Paragraphs (a) and (i) of subsection (1) of section 415.1055, Florida Statutes, are amended to read:

415.1055 Notification to administrative entities, subjects, and reporters; notification to law enforcement and state attorneys.--

- (1) NOTIFICATION TO ADMINISTRATIVE ENTITIES. --
- (a) The department shall, within 24 hours after receipt of a report of abuse, neglect, or exploitation of a disabled adult or an elderly person within a facility, excluding Saturdays, Sundays, and legal holidays, notify the appropriate human rights advocacy council committee and the long-term care ombudsman council, in writing, that the department has reasonable cause to believe that a disabled adult or an elderly person has been abused, neglected, or exploited at the facility.
- (i) At the conclusion of a protective investigation at a facility, the department shall notify either the human rights advocacy <u>council</u> <u>committee</u> or long-term care ombudsman

council of the results of the investigation. This notification must be in writing.

Section 18. Subsection (2) of section 415.106, Florida Statutes, is amended to read:

415.106 Cooperation by the department and criminal justice and other agencies.--

(2) To ensure coordination, communication, and cooperation with the investigation of abuse, neglect, or exploitation of disabled adults or elderly persons, the department shall develop and maintain interprogram agreements or operational procedures among appropriate departmental programs and the State Long-Term Care Ombudsman Council, the Statewide Human Rights Advocacy Council Committee, and other agencies that provide services to disabled adults or elderly persons. These agreements or procedures must cover such subjects as the appropriate roles and responsibilities of the department in identifying and responding to reports of abuse, neglect, or exploitation of disabled adults or elderly persons; the provision of services; and related coordinated activities.

Section 19. Paragraph (g) of subsection (2) of section 415.107, Florida Statutes, is amended to read:

415.107 Confidentiality of reports and records. --

- (2) Access to all records, excluding the name of the reporter which shall be released only as provided in subsection (6), shall be granted only to the following persons, officials, and agencies:
- (g) Any appropriate official of the human rights advocacy <u>council</u> committee or long-term care ombudsman council investigating a report of known or suspected abuse, neglect, or exploitation of a disabled adult or an elderly person.

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Section 20. Paragraph (a) of subsection (2) of section 415.501, Florida Statutes, is amended to read:

415.501 Prevention of abuse and neglect of children; state plan.--

- (2) PLAN FOR COMPREHENSIVE APPROACH. --
- The Department of Children and Family Services shall develop a state plan for the prevention of abuse and neglect of children and shall submit the plan to the Speaker of the House of Representatives, the President of the Senate, and the Governor no later than January 1, 1983. The Department of Education and the Division of Children's Medical Services of the Department of Health shall participate and fully cooperate in the development of the state plan at both the state and local levels. Furthermore, appropriate local agencies and organizations shall be provided an opportunity to participate in the development of the state plan at the local level. Appropriate local groups and organizations shall include, but not be limited to, community mental health centers; guardian ad litem programs for children under the circuit court; the school boards of the local school districts; the local district human rights advocacy councils committees; private or public organizations or programs with recognized expertise in working with children who are sexually abused, physically abused, emotionally abused, or neglected and with expertise in working with the families of such children; private or public programs or organizations with expertise in maternal and infant health care; multidisciplinary child protection teams; child day care centers; law enforcement agencies, and the circuit courts, when guardian ad litem programs are not available in the local The state plan to be provided to the Legislature and

the Governor shall include, as a minimum, the information required of the various groups in paragraph (b).

Section 21. Paragraph (d) of subsection (2) of section 415.505, Florida Statutes, is amended to read:

415.505 Child protective investigations; institutional child abuse or neglect investigations.--

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 (d) The department shall notify the human rights advocacy <u>council</u> committee in the appropriate district of the department as to every report of institutional child abuse or neglect in the district in which a client of the department is alleged or shown to have been abused or neglected, which notification shall be made within 48 hours of the time the department commences its investigation.

Section 22. Paragraph (k) of subsection (2) of section 415.51, Florida Statutes, is amended to read:

415.51 Confidentiality of reports and records in cases of child abuse or neglect.--

- (2) Access to such records, excluding the name of the reporter which shall be released only as provided in subsection (9), shall be granted only to the following persons, officials, and agencies:
- (k) Any appropriate official of the human rights advocacy <u>council</u> committee investigating a report of known or suspected child abuse, abandonment, or neglect, the Auditor General for the purpose of conducting preliminary or compliance reviews pursuant to s. 11.45, or the guardian ad litem for the child as defined in s. 415.503.

Section 23. This act shall take effect July 1, 1998.

SENATE SUMMARY Renames the Statewide Human Rights Advocacy Committee and the district human rights advocacy committees as the Statewide Human Rights Advocacy Council and the local human rights advocacy councils, respectively. Provides for the statewide council and local councils to monitor and investigate allegations of abuse of human or constitutional rights by the Department of Children and Family Services. Revises the membership of the statewide council. Provides for the establishment of additional local human rights advocacy councils local human rights advocacy councils.