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A bill to be entitled An act relating to certified nursing assistants; creating part XV of chapter 468, F.S.; providing a short title; providing definitions; providing duties and powers of the Department of Health in regulating the practice of certified nursing assistants; providing requirements for a state registry of certified nursing assistants; providing requirements for obtaining certification as a certified nursing assistant; authorizing the department to deny, suspend, or revoke a person's certification upon certain findings or reports of abuse, neglect, or exploitation; authorizing the department to exempt an applicant or certificateholder from disqualification of certification; specifying certain acts that constitute grounds for disciplinary sanctions; providing penalties; requiring that the department maintain a registry of certified nursing assistants; providing requirements for records and meetings held for disciplinary actions; providing for renewal of certification; exempting an employer from liability for terminating a certified nursing assistant under certain circumstances; authorizing the department to contract for examination services; providing penalties; providing rulemaking authority; amending s. 400.211, F.S.; deleting obsolete provisions with respect to the regulation of certified

1 nursing assistants by the Department of 2 Business and Professional Regulation; providing 3 for certain federal requirements to apply to 4 specified nursing home facilities under certain 5 circumstances; amending ss. 20.43, 400.4255, 6 400.462, 400.506, 455.667, F.S., relating to 7 the Department of Health, the use of licensed 8 personnel in assisted living facilities, the 9 regulation of home health agencies, nurse registries, and the ownership and control of 10 patient records; conforming cross-references to 11 12 changes made by the act; providing for the continued validity of certifications issued 13 14 before the effective date of the act; authorizing certain positions for the Florida 15 Department of Law Enforcement in excess of 16 17 those otherwise authorized; providing funding; amending s. 455.624, F.S.; specifying health 18 19 care professionals for whom failure to wear certain identification while offering services 20 21 to the public is grounds for disciplinary 22 action; authorizing the Department of Health to 23 have access to a background screening registry for nursing home employees; providing an 24 25 effective date.

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Be It Enacted by the Legislature of the State of Florida:

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Section 1. Part XV of chapter 468, Florida Statutes, consisting of sections 468.820, 468.821, 468.822, 468.823,

468.824, 468.825, 468.8245, 468.826, and 468.827, Florida Statutes, is created to read:

468.820 Short title.--This part may be cited as the "Certified Nursing Assistant Act."

468.821 Definitions.--As used in this part, the term:

- (1) "Approved training program" means a course of training conducted by a public or private educational center licensed by the Department of Education to implement the basic curriculum for certified nursing assistants which is approved by the Department of Education.
- (2) "Certified nursing assistant" means a person who meets the qualifications specified in this part and who is certified by the department as a certified nursing assistant.
  - (3) "Department" means the Department of Health.
- (4) "Registry" means the listing of certified nursing assistants maintained by the department.

department shall regulate the practice of certified nursing assistants in this state. The department shall maintain, or contract with or approve another entity to maintain, a state registry of certified nursing assistants. The registry must consist of a database including the name of each certified nursing assistant in this state, other identifying information, certification status, the effective date of certification and any other information required by state or federal law, information regarding any abuse, neglect, or exploitation as provided under chapter 435, and an indication whether the certified nursing assistant was reimbursed for the cost of training and testing. The registry shall be accessible to individuals, employers, and other state agencies. The department shall establish testing procedures for use in

certifying nursing assistants and shall adopt rules regulating the practice of certified nursing assistants to enforce this part. The department may contract with or approve another entity or organization to provide the examination services required under 468.823, including development and administration of examinations. The provider shall pay all reasonable costs and expenses incurred by the department in evaluating the provider's application and the provider's performance during the delivery of services, including examination services and procedures for maintaining the certified nursing assistant registry registry.

468.823 Certified nursing assistants; certification requirement.--

- (1) Except as provided in subsection (2), a person may not practice as a certified nursing assistant unless the person is certified by the department under this part. A person who is certified under this part may use the title "Certified Nursing Assistant" and the abbreviation "C.N.A."
- (2) A registered nurse or a practical nurse licensed under chapter 464, or an applicant for licensure as a registered nurse or a practical nurse who is permitted to practice nursing in accordance with rules adopted by the Board of Nursing, may practice as a certified nursing assistant and use the title "Certified Nursing Assistant" and the abbreviation "C.N.A."
- (3) The department shall issue a certificate to practice as a certified nursing assistant to any person who demonstrates the ability to read and write and meets the requirements of level 1 screening as provided in s. 435.03, including a search for any report of abuse, neglect, or exploitation of an adult. A person who has not maintained

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continuous residency within the state for the 5 years
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    immediately preceding the date of application must comply with
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    the requirements for level 2 screening as provided in s.
    435.04 and may be granted provisional certification for up to
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    180 days pending the receipt of written findings evidencing
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    completion of level 2 screening. Level 2 screening is not
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    required if an applicant attests in writing, under penalty of
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    perjury, that he or she meets the residency requirement. In
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    order to complete the requirements for Level 2 screening, if
    applicable, the applicant must furnish to the department a
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    full set of fingerprints to enable a criminal background
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    investigation to be conducted. The department shall submit the
    completed fingerprint card to the Florida Department of Law
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    Enforcement, which may submit the fingerprints to the Federal
    Bureau of Investigation for a national criminal history
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    records check. The results of the criminal history records
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    check shall be returned to the department as a part of level 2
    screening pursuant to s. 435.04. An applicant must supply any
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    missing criminal history information or other necessary
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    information to the department within 30 days after the
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    department requests the information or be subject to automatic
    disqualification of eligibility for certification. Evidence of
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    compliance with the requirements of chapter 435, F.S., within
    the last two years, may satisfy the background screening
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    requirements of this subsection if there has not been a break
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    in employment for a period greater than 180 days since the
    completion of the screening. In addition, an applicant must
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    meet one of the following requirements:
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          (a) Has successfully completed an approved training
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    program and achieved a minimum score, established by rule of
    the department, on the Florida Nursing Assistant Competency
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Evaluation, which consists of a written portion and skills demonstration portion approved by the department and administered at a site and by personnel approved by the department.

- (b) Has achieved a minimum score, established by rule of the department, on the Florida Nursing Assistant Competency Evaluation, which consists of a written portion and skills demonstration portion, approved by the department and administered at a site and by personnel approved by the department and:
  - 1. Has a high school diploma, or its equivalent; or
  - 2. Is at least 16 years of age.

- (c) Is currently certified in another state; is listed on that state's certified nursing assistant registry; has not been found to have committed abuse, neglect, or exploitation in that state; and has successfully completed a national nursing assistant evaluation in order to receive certification in that state.
- (4) If an applicant fails to pass the Florida Nursing
  Assistant Competency Evaluation in three attempts, the
  applicant is not eligible for reexamination unless the
  applicant completes an approved training program.
- (5) An oral examination shall be administered as a substitute for the written portion of the examination upon request.
- 468.824 Denial, suspension, or revocation of certification; certified nursing assistant registry.--
- (1) The department may deny, suspend, or revoke the certification of any certified nursing assistant, based upon written notification from a court of competent jurisdiction, law enforcement agency, or administrative agency of any

finding of guilt of, regardless of adjudication, or a plea of nolo contendere or guilty to, any offense set forth in the level 1 or level 2, if appropriate screening standards of chapter 435 or any confirmed report of abuse, neglect, or exploitation. However, the department may, upon the request of an applicant or a certificateholder, exempt the applicant or certificateholder from disqualification of certification under this subsection and issue a letter of exemption.

- (2) The following acts constitute grounds for which the department may impose disciplinary sanctions as specified in subsection (3):
- (a) Making misleading, deceptive, or fraudulent representations on an application for certification.
- (b) Obtaining or renewing, or attempting to obtain or renew, a certificate by bribery, by fraudulent misrepresentation, or through an error of the department.
- (c) Intentionally violating any rule of the department.
- (d) Failing to report to the department any person whom the certificateholder knows to be in violation of this part or failing to report a violation involving abuse to the Department of Children and Family Services.
- (e) Making or filing a report that the certificateholder knows to be false.
- (f) Exercising influence on the patient or client in such a manner as to exploit the patient or client for the financial gain of the certificateholder or a third party.
- (g) Improperly interfering with an investigation or inspection authorized by law or with any disciplinary proceeding.

1	(3) When the department finds any person guilty of any
2	of the grounds set forth in subsection (2), it may enter an
3	order imposing one or more of the following penalties:
4	(a) Denial, suspension, or revocation of
5	certification.
6	(b) Imposition of an administrative fine not to exceed
7	\$150 for each count or separate offense.
8	(4) The department shall, by rule, designate approved
9	treatment programs for impaired practitioners as provided in
LO	s. 455.707(1).
L1	(5) A certified nursing assistant shall notify the
L2	department in writing of any change in name or address within
L3	30 days after the change.
L4	468.8245 Availability of disciplinary records and
L5	proceedingsNotwithstanding s. 455.621, any complaint or
L6	record maintained by the Department of Health pursuant to the
L7	discipline of a certified nursing assistant and any proceeding
L8	held by the department to discipline a certified nursing
L9	assistant shall remain open and available to the public.
20	468.825 Renewal of certification
21	(1) A certified nursing assistant is eligible for
22	renewal of certification if the applicant has:
23	(a) Worked a minimum of 40 hours for monetary
24	compensation as a certified nursing assistant in a
25	nursing-related occupation during the 24 months immediately
26	preceding application for recertification, as provided in an
27	attestation submitted by the applicant; or
28	(b) Successfully completed the written portion and
29	skills demonstration portion of the Florida Nursing Assistant
30	Competency Evaluation.
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(2) Based upon written notification of a court of 1 competent jurisdiction, law enforcement agency, or 2 3 administrative agency, any person who has been found guilty of, regardless of adjudication, or pled nolo contendere or 4 5 guilty to, any offense set forth in the level 1, or level 2, 6 if appropriate screening standards of chapter 435, or who is a 7 confirmed perpetrator of any incident of abuse, neglect, or 8 exploitation, may not be recertified under this section unless 9 the person receives an exemption from the department under s. 10 468.824(1). (3) The department may charge a fee determined by 11 12 rule, but which may not exceed \$35, for issuing a duplicate 13 certificate at the request of a certified nursing assistant. 14 468.826 Exemption from liability.--If an employer 15 terminates a certified nursing assistant whose name appears on the central abuse registry and tracking system of the 16 17 Department of Children and Family Services or on a criminal screening report of the Department of Law Enforcement, the 18 19 employer is not civilly liable for such termination and a 20 cause of action may not be brought against the employer for 21 damages, regardless of whether the employee has filed for an exemption from the department under s. 468.824(1). There may 22 23 not be any monetary liability on the part of, and a cause of action for damages may not arise against, any licensed 24 facility, its governing board or members thereof, medical 25 26 staff, disciplinary board, agents, investigators, witnesses, 27 employees, or any other person for any action taken in good faith without intentional fraud in carrying out this section. 28 29 468.827 Penalties; rulemaking authority.--30 (1) It is a misdemeanor of the first degree, 31 punishable as provided under s. 775.082 or s. 775.083, for any person, knowingly or intentionally, to fail to disclose, by false statement, misrepresentation, impersonation, or other fraudulent means, in any application for voluntary or paid employment or licensure regulated under this part, a material fact used in making a determination as to such person's qualifications to be an employee or licensee.

- (2) It is a felony of the third degree, punishable as provided under s. 775.082, s. 775.083, or s. 775.084, for a person to falsely make, alter, forge, or counterfeit a certified nursing assistant certificate or letter of exemption authorized under s. 468.824(1). The department may deny, suspend, or revoke the certification of any nursing assistant found to possess a false, altered, forged, or counterfeit certificate or who attempts to use such a certificate in an attempt to gain employment.
- (3) The department shall adopt rules to provide for the initial certification and biennial renewal of certification of certified nursing assistants. An application for certification or renewal must be accompanied by a fee set by the department, which may not exceed \$35 plus the cost of applicable background screening. The department may periodically audit the records of a certified nursing assistant in accordance with department rules.

Section 2. Paragraph (g) of subsection (3) of section 20.43, Florida Statutes, is amended to read:

- 20.43 Department of Health.--There is created a Department of Health.
- (3) The following divisions of the Department of Health are established:

1 (g) Division of Medical Quality Assurance, which is 2 responsible for the following boards and professions 3 established within the division: 1. Nursing assistants, as provided under part XV of 4 5 chapter 468 <del>s. 400.211</del>. 6 Health care services pools, as provided under s. 7 402.48. 8 The Board of Acupuncture, created under chapter 9 457. The Board of Medicine, created under chapter 458. 10 4. The Board of Osteopathic Medicine, created under 11 12 chapter 459. 13 6. The Board of Chiropractic, created under chapter 14 460. 15 7. The Board of Podiatric Medicine, created under 16 chapter 461. 17 Naturopathy, as provided under chapter 462. 18 The Board of Optometry, created under chapter 463. 19 10. The Board of Nursing, created under chapter 464. The Board of Pharmacy, created under chapter 465. 20 11. 21 12. The Board of Dentistry, created under chapter 466. 22 Midwifery, as provided under chapter 467. 23 14. The Board of Speech-Language Pathology and Audiology, created under part I of chapter 468. 24 25 15. The Board of Nursing Home Administrators, created 26 under part II of chapter 468. 27 Occupational therapy, as provided under part III 28 of chapter 468. 29 17. Respiratory therapy, as provided under part V of 30 chapter 468.

1 18. Dietetics and nutrition practice, as provided 2 under part X of chapter 468. 3 19. Athletic trainers, as provided under part XIV of 4 chapter 468. 5 20. Electrolysis, as provided under chapter 478. 6 The Board of Massage Therapy, created under 7 chapter 480. 22. 8 The Board of Clinical Laboratory Personnel, 9 created under part III of chapter 483. Medical physicists, as provided under part IV of 10 11 chapter 483. 12 The Board of Opticianry, created under part I of chapter 484. 13 14 25. The Board of Hearing Aid Specialists, created under part II of chapter 484. 15 The Board of Physical Therapy Practice, created 16 17 under chapter 486. 18 27. The Board of Psychology, created under chapter 19 490. 20 28. The Board of Clinical Social Work, Marriage and 21 Family Therapy, and Mental Health Counseling, created under 22 chapter 491. 23 The department may contract with the Agency for Health Care 24 25 Administration who shall provide consumer complaint, 26 investigative, and prosecutorial services required by the 27 Division of Medical Quality Assurance, councils, or boards, as 28 appropriate. 29 Section 3. Section 400.211, Florida Statutes, is 30 amended to read:

400.211 Persons employed as nursing assistants in a 1 2 nursing home facility; certification requirement .--3 (1) A person must be certified pursuant to this 4 section, except a registered nurse or practical nurse licensed 5 in accordance with the provisions of chapter 464 or an applicant for such licensure who is permitted to practice 6 7 nursing in accordance with rules promulgated by the Board of Nursing pursuant to chapter 464, to serve as a nursing 8 9 assistant in any nursing home. The Department of Business and Professional Regulation shall issue a certificate to any 10 11 person who: 12 (a) Has successfully completed a nursing assistant program in a state-approved school and has achieved a minimum 13 14 score of 75 percent on the written portion of the Florida Nursing Assistant Certification Test approved by the 15 Department of Business and Professional Regulation and 16 administered by state-approved test site personnel; 17 (b) Has achieved a minimum score of 75 percent on the 18 19 written and performance portions of the Florida Nursing Assistant Certification Test approved by the Department of 20 Business and Professional Regulation and administered by 21 state-approved test site personnel; or 22 (c) Is currently certified in another state, is on 23 that state's registry, has no findings of abuse, and has 24 achieved a minimum score of 75 percent on the written portion 25 26 of the Florida Nursing Assistant Certification Test approved 27 by the Department of Business and Professional Regulation and administered by state-approved test site personnel. 28 29 30 An oral examination shall be administered upon request.

 (2) The agency may deny, suspend, or revoke the certification of any person to serve as a nursing assistant, based upon written notification from a court of competent jurisdiction, law enforcement agency, or administrative agency of any finding of guilt of, regardless of adjudication, or a plea of nolo contendere or guilty to, any offense set forth in the level 1 screening standards of chapter 435 or any confirmed report of abuse of a vulnerable adult.

(1) (3) The following categories of persons who are not certified as nursing assistants under <u>part XV of chapter 468</u> this part may be employed by a nursing facility for a period of 4 months:

- (a) Persons who are enrolled in a state-approved nursing assistant program; or
- (b) Persons who have been positively verified by a  $\frac{\text{department}}{\text{department}}$  state-approved test site as certified and on the registry in another state with no findings of abuse, but who have not completed the written examination required under  $\underline{s}$ .  $\underline{468.823}$  this section.

The certification requirement must be met within 4 months <u>after</u> of initial employment as a nursing assistant in a licensed nursing facility.

- (4) A person certified under this section on or after September 30, 1990, who has not worked for pay as a nursing assistant in a nursing-related occupation for a period of time during a consecutive 24-month period must be recertified under this section to be eligible to work in a nursing facility.
- (5) Every certified nursing assistant hired by a nursing home facility on or after October 1, 1993, must, within 5 working days after starting employment at a nursing

home facility, submit to the facility a complete set of information necessary to conduct a records check through the central abuse registry under chapter 415 and a statewide criminal records correspondence check through the Department of Law Enforcement. The facility shall submit the information provided by the employee, within 48 hours, to the Department of Health and Rehabilitative Services' central abuse registry and to the Department of Law Enforcement, which shall conduct a screening according to the provisions of s. 400.497(2).

(2)(6) Nursing homes shall require persons seeking employment as a certified nursing assistant to submit an employment history to the facility. The facility shall verify the employment history unless, through diligent efforts, such verification is not possible. There shall be no monetary liability on the part of, and no cause of action for damages shall arise against, a former employer who reasonably and in good faith communicates his or her honest opinion about a former employee's job performance.

(3)(7) If the requirements pursuant to the Omnibus Budget Reconciliation Act of 1987, as amended, for the certification of nursing assistants are in conflict with part XV of chapter 468 this section, the federal requirements shall prevail for those nursing home facilities certified to provide care under Title XVIII (Medicare) or Title XIX (Medicaid) of the Social Security Act.

(8) The Department of Business and Professional Regulation may adopt such rules as are necessary to carry out this section.

Section 4. Paragraph (a) of subsection (1) and subsection (2) of section 400.4255, Florida Statutes, are amended to read:

400.4255 Use of licensed personnel.--

(1)(a) Persons under contract to the facility, facility staff, or volunteers, who are licensed according to chapter 464, or those persons exempt under s. 464.022(1), and others as defined by rule, may administer medications to residents, take residents' vital signs, manage individual weekly pill organizers for residents who self-administer medication, give prepackaged enemas ordered by a physician, observe residents, document observations on the appropriate resident's record, report observations to the resident's physician, and contract or allow residents or a resident's representative, designee, surrogate, guardian, or attorney in fact to contract with a third party, provided residents meet the criteria for appropriate placement as defined in s. 400.426. Nursing assistants certified pursuant to part XV of chapter 468 s. 400.211 may take residents' vital signs as directed by a licensed nurse or physician.

(2) In facilities licensed to provide extended congregate care, persons under contract to the facility, facility staff, or volunteers, who are licensed according to chapter 464, or those persons exempt under s. 464.022(1), or those persons certified as nursing assistants pursuant to part XV of chapter 468 s. 400.211, may also perform all duties within the scope of their license or certification, as approved by the facility administrator and pursuant to this part.

Section 5. Subsection (1) of section 400.462, Florida Statutes, is amended to read:

400.462 Definitions.--As used in this part, the term:

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1 (1) "Certified nursing assistant" means any person who 2 has been issued a certificate after fulfilling the requirements of part XV of chapter 468 s. 400.211. 3 4 Section 6. Paragraph (a) of subsection (9) of section 5 400.506, Florida Statutes, is amended to read: 6 400.506 Licensure of nurse registries; requirements; 7 penalties. --(9)(a) A nurse registry may refer for contract in 9 private residences registered nurses and licensed practical nurses registered and licensed under chapter 464, certified 10 nursing assistants certified under part XV of chapter 468 s. 11 12 400.211, and sitters, companions, or homemakers for the 13 purposes of providing those services authorized under s. 14 400.509(1). 15 Section 7. Paragraph (a) of subsection (2) of section 455.667, Florida Statutes, is amended to read: 16 17 455.667 Ownership and control of patient records; 18 report or copies of records to be furnished .--19 (2) As used in this section, the terms "records 20 owner, " "health care practitioner, " and "health care practitioner's employer" do not include any of the following 21 persons or entities; furthermore, the following persons or 22 23 entities are not authorized to acquire or own medical records, but are authorized to maintain those documents required by the 24 part or chapter under which they are licensed or regulated: 25 26 (a) Certified nursing assistants regulated under part

XV of chapter 468 s. 400.211.

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Section 8. A certified nursing assistant who holds a

valid certification issued under section 400.211, Florida

Statutes, before October 1, 1998, is in compliance with this

act and is not subject to renewal of such certification until 1 2 October 1, 2000. Section 9. Pursuant to section 216.262, Florida 3 Statutes, the Florida Department of Law Enforcement is granted 4 5 authority to establish positions in excess of the total 6 authorized positions upon submission of a proper request to 7 the Administration Commission. These positions shall be 8 established with funding from the department's Law Enforcement 9 Operating Trust Fund and shall be used to process the increased workload of conducting the criminal history records 10 checks authorized under this section. These positions will be 11 12 earmarked by the department, and, at such time as they are no 13 longer needed, may be placed in a reserve status for future 14 use. 15 Section 10. Paragraph (t) is added to subsection (1) of section 455.624, Florida Statutes, to read: 16 17 455.624 Grounds for discipline; penalties; 18 enforcement. --19 (1) The following acts shall constitute grounds for 20 which the disciplinary actions specified in subsection (2) may 21 be taken: 22 (t) Failing to wear identification, which shall be 23 conspicuously displayed and legible, indicating the practitioner's name and professional title authorized pursuant 24 to part XV, chapter 468, regulating certified nursing 25 26 assistants, while practicing as an employee of a hospital, 27 clinic, nursing home, or group practice, or at a commercial establishment, offering health care services to the public. 28 Disciplinary actions for violation of this paragraph shall be 29

restricted to a notice of noncompliance pursuant to subsection

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           Section 11. The Department of Health is authorized to
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    have access to the background screening registry for nursing
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    home employees maintained by the Agency for Health Care
    Administration, if created by SB 208, 1998 Regular Session, or
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    similar legislation.
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           Section 12. This act shall take effect October 1,
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CODING: Words stricken are deletions; words underlined are additions.