1 A bill to be entitled 2 An act relating to elections; amending s. 3 99.021, F.S.; revising requirements for 4 nomination as a candidate of a political party 5 relating to duration of political party 6 affiliation; amending s. 106.08, F.S., relating 7 to limitations on campaign contributions; 8 providing a lower limit for contributions from 9 certain lobbyists to candidates who are or 10 were, within a specified period, legislators; revising provisions relating to the return of 11 12 contributions by unopposed candidates; 13 requiring the reporting of illegal 14 contributions and attempts to make illegal 15 contributions; providing penalties; increasing civil and criminal penalties for certain repeat 16 17 offenses; reenacting ss. 106.04(5), 106.075(2), 18 106.19(1)(a), and 106.29(4), F.S., relating to 19 committees of continuous existence, limitations 20 on contributions to pay loans, acceptance of 21 contributions in excess of the lawful limits, and political parties, to incorporate the 22 23 amendment to s. 106.08, F.S., in references thereto; amending s. 921.0012, F.S., relating 24 25 to sentencing quidelines; providing an offense 26 level for felonies involving indirect political 27 contributions; amending s. 106.085, F.S.; 28 revising notice requirements for certain 29 independent expenditures; applying such 30 requirements to political parties; providing penalties; creating s. 106.087, F.S.;

1 prohibiting political parties that accept 2 filing fees from making independent expenditures; providing penalties; amending s. 3 106.141, F.S., relating to disposition of 4 5 surplus funds by candidates; prohibiting 6 reimbursement by the campaign for any reported 7 contributions by the candidate to the campaign; 8 amending s. 106.143, F.S.; providing 9 requirements for political advertisements with 10 respect to candidate approval; creating s. 106.1431, F.S.; providing disclosure 11 requirements and prohibitions relating to 12 13 political solicitation by telephone; providing an exemption; providing penalties; amending s. 14 15 104.271, F.S.; authorizing filing of complaints with the Florida Elections Commission relating 16 to false statements about candidates; amending 17 18 s. 106.19, F.S.; eliminating authority of the 19 Division of Elections to bring civil actions to 20 recover certain civil penalties; amending s. 21 106.22, F.S.; deleting duties of the division relating to investigation of complaints; 22 23 requiring the division to report certain information to the commission; amending s. 24 25 106.23, F.S.; deleting powers of the division 26 to issue subpoenas and administer oaths 27 relating to investigation of alleged violations 28 of ch. 106, F.S., relating to campaign 29 financing; transferring advisory opinion 30 responsibilities from the division to the commission; amending s. 106.24, F.S.; revising

1 administrative and organizational structure of 2 the commission; providing for appointment of an executive director and employment of staff; 3 authorizing the commission to contract or 4 5 consult with other state agencies for 6 assistance as needed; amending s. 106.25, F.S.; 7 vesting the commission with jurisdiction to investigate and determine violations of ch. 8 9 106, F.S.; requiring transmittal of a copy of a 10 sworn complaint to the alleged violator; providing for an administrative hearing upon 11 12 written request of the alleged violator; 13 amending s. 106.26, F.S.; providing rulemaking 14 authority to the commission relating to its 15 investigative responsibilities; amending s. 106.295, F.S., relating to leadership funds; 16 17 prohibiting the acceptance or solicitation of 18 contributions for any legislative campaign if 19 such funds are raised to enable a legislator to 20 acquire or maintain a leadership position; 21 creating s. 106.297, F.S.; providing for 22 voluntary expenditure limits for candidates for 23 legislative office; requiring an affidavit of acceptance or rejection of such limits; 24 25 requiring a postelection audit of the campaign 26 account of any candidate who agrees to abide by 27 such limits; exempting candidates who agree to 28 abide by such limits from filing fees; providing penalties; providing for designation 29 30 of candidates who agree to abide by such limits on sample ballots and on official ballots;

1 providing severability; providing effective 2 dates. 3 4 Be It Enacted by the Legislature of the State of Florida: 5 6 Section 1. Effective October 1, 1997, paragraph (b) of 7 subsection (1) of section 99.021, Florida Statutes, is amended 8 to read: 9 99.021 Form of candidate oath.--10 (1)(b) In addition, any person seeking to qualify for 11 12 nomination as a candidate of any political party shall, at the 13 time of subscribing to the oath or affirmation, state in 14 writing: 15 The party of which the person is a member. 1. That the person is not, and for the immediately 16 17 preceding 6 months has not been, a registered member of any 18 other political party and has not been a candidate for 19 nomination for any other political party for a period of 6 20 months preceding the general election for which the person 21 seeks to qualify. That the person has paid the assessment levied 22 23 against him or her, if any, as a candidate for said office by 24 the executive committee of the party of which he or she is a 25 member. 26 Section 2. Section 106.08, Florida Statutes, is

continuous existence shall make contributions to any candidate

(1)(a) No person, political committee, or committee of

106.08 Contributions; limitations on.--

amended to read:

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or political committee in this state, for any election, in excess of the following amounts:

- 1. To a candidate for countywide office or to a candidate in any election conducted on less than a countywide basis, \$500.
- 2. To a candidate for legislative or multicounty office, \$500.
- 3. To a candidate for statewide office, \$500. Candidates for the offices of Governor and Lieutenant Governor on the same ticket shall be considered a single candidate for the purpose of this section.
- 4. To a political committee supporting or opposing one or more candidates, \$500.
- 5. To a candidate for county court judge or circuit judge, \$500.
- 6. To a candidate for retention as a judge of a district court of appeal, \$500.
- 7. To a candidate for retention as a justice of the Supreme Court, \$500.
- 8. To any candidate who is or was, within a period of up to 1 year preceding the contribution, a member of the Legislature, \$100; however, this limit only applies if the contribution is from a registered lobbyist who appeared personally, or on behalf of another person for compensation, before the member at the last regular session or any extension thereof or at any subsequent special session. For purposes of this subparagraph, the term "lobbyist" shall be defined as provided in s. 11.045(1).
- 29 (b) The contribution limits provided in this 30 subsection shall not apply to contributions made by a state or 31 county executive committee of a political party regulated by

chapter 103 or to amounts contributed by a candidate to his or her own campaign. Notwithstanding the limits provided in this subsection, no unemancipated child under the age of 18 years of age may make a contribution to any candidate or to any political committee supporting one or more candidates, in excess of \$100. The limitations provided by this subsection shall apply to each election.

- (c) For purposes of this subsection the first primary, second primary, and general election shall be deemed separate elections so long as the candidate is not an unopposed candidate as defined in s. 106.011(15). However, for the purpose of contribution limits with respect to candidates for retention as a justice of the Supreme Court or judge of a district court of appeal, there shall be only one election, which shall be the general election, and with respect to candidates for circuit judge or county court judge, there shall be only two elections, which shall be the first primary election and general election.
- (2)(a) A candidate may not accept contributions from national, state, and county executive committees of a political party, which contributions in the aggregate exceed \$50,000, no more than \$25,000 of which may be accepted prior to the 28-day period immediately preceding the date of the general election.
  - (b) For the purposes of this subsection:
- 1. Print, broadcast, cable, and mailing advertisements are contributions in an amount equal to their fair market value and shall be counted toward the contribution limits of this subsection.
- 2. Polling services, research services, technical assistance, and voter mobilization efforts are not

contributions to be counted toward the contribution limits of this subsection.

- (3)(a) Any contribution received by a candidate with opposition in an election or the campaign treasurer or a deputy treasurer of such a candidate on the day of that election or less than 5 days prior to the day of that election shall be returned by him or her to the person or committee contributing it and shall not be used or expended by or on behalf of the candidate.
- (b) Except as otherwise provided in paragraph (c), any contribution received by a candidate or the campaign treasurer or a deputy treasurer of a candidate after the date at which the candidate withdraws his or her candidacy, or after the date the candidate is defeated, becomes unopposed, or is elected to office shall be returned to the person or political committee contributing it and shall not be used or expended by or on behalf of the candidate.
- which an independent candidate has filed as required in s.

  99.095, but whose qualification is pending a determination by
  the Department of State or supervisor of elections as to
  whether or not the required number of petition signatures was
  obtained:
- 1. The department or supervisor shall, no later than 3 days after that determination has been made, notify in writing all other candidates for that office of that determination.
- 2. Any contribution received by a candidate or the campaign treasurer or deputy campaign treasurer of a candidate after the candidate has been notified in writing by the department or supervisor that he or she has become unopposed as a result of an independent candidate failing to obtain the

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required number of petition signatures shall be returned to the person, political committee, or committee of continuous existence contributing it and shall not be used or expended by or on behalf of the candidate.

- (4) Any contribution tendered to or received by a candidate or any person acting on behalf of a candidate that is known to have been made or offered to be made in violation of the provisions of this section must be immediately reported to the Florida Elections Commission.
- (5) (4) Any contribution received by the chair, campaign treasurer, or deputy campaign treasurer of a political committee supporting or opposing a candidate with opposition in an election or supporting or opposing an issue on the ballot in an election on the day of that election or less than 5 days prior to the day of that election shall not be obligated or expended by the committee until after the date of the election.
- (6) No person shall make any contribution in support of or opposition to a candidate for election or nomination, in support of or opposition to an issue, or to any political committee, through or in the name of another, directly or indirectly, in any election.
- (7) The solicitation from, and contributions by, candidates, political committees, and party executive committees to any religious, charitable, civic, or other causes or organizations established primarily for the public good are expressly prohibited. However, it shall not be construed as a violation of this subsection for a candidate, political committee, or party executive committee to make gifts of money in lieu of flowers in memory of a deceased 31 person or for a candidate to continue membership in or regular

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contributions paid from personal or business funds to religious, political party, civic, or charitable groups of which the candidate is a member or to which he or she has been a regular contributor for more than 6 months. A candidate may purchase, with campaign funds, tickets, admission to events, or advertisements from religious, civic, political party, or charitable groups.

(8)(a) Any person who knowingly and willfully makes or attempts to make no more than one  $\frac{1}{2}$  contribution in violation of subsection (1), subsection (6), or subsection (7) (5), or any person who knowingly and willfully fails or refuses on no more than one occasion to return any contribution as required in subsection (3) or to report any illegal contribution as required in subsection (4), commits  $\frac{1}{10}$ guilty of a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083. If any corporation, partnership, or other business entity or any political committee or committee of continuous existence is convicted of knowingly and willfully violating any provision punishable under this paragraph section, it shall be fined not less than \$1,000 and not more than \$10,000. If it is a domestic entity, it may be ordered dissolved by a court of competent jurisdiction; if it is a foreign or nonresident business entity, its right to do business in this state may be forfeited. Any officer, partner, agent, attorney, or other representative of a corporation, partnership, or other business entity or of a political committee or committee of continuous existence who aids, abets, advises, or participates in a violation of any provision punishable under this paragraph commits section is guilty of a misdemeanor of the

first degree, punishable as provided in s. 775.082 or s. 775.083. 2 3 (b) Any person who knowingly and willfully makes or 4 attempts to make two or more contributions in violation of 5 subsection (1), subsection (6), or subsection (7), or any 6 person who knowingly and willfully fails or refuses on more 7 than one occasion to return any contribution as required in subsection (3) or to report any illegal contribution as 8 9 required in subsection (4), commits a felony of the third degree, punishable as provided in s. 775.082, s. 775.083, or 10 s. 775.084. If any corporation, partnership, or other 11 business entity or any political committee or committee of 12 13 continuous existence is convicted of knowingly and willfully violating any provision punishable under this paragraph, it 14 15 shall be fined not less than \$10,000 and not more than \$50,000, or an amount equal to three times the amount 16 17 contributed or attempted to be contributed, whichever is 18 greater. If it is a domestic entity, it may be ordered 19 dissolved by a court of competent jurisdiction; if it is a 20 foreign or nonresident business entity, its right to do 21 business in this state may be forfeited. Any officer, 22 partner, agent, attorney, or other representative of a 23 corporation, partnership, or other business entity or of a political committee or committee of continuous existence who 24 aids, abets, advises, or participates in a violation of any 25 26 provision punishable under this paragraph commits a felony of 27 the third degree, punishable as provided in s. 775.082, s. 28 775.083, or s. 775.084. 29 (9) (9) (7) Except as otherwise provided in subsection (8), a Any person who knowingly and willfully violates any 30 provision the provisions of this section shall, in addition to

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any other penalty prescribed by this chapter, pay to the state a sum equal to twice the amount contributed in violation of this chapter. Each campaign treasurer shall pay all amounts contributed in violation of this section to the state for deposit in the General Revenue Fund.

(10)(8) The provisions of this section shall not apply to the transfer of funds between a primary depository and a savings account or certificate of deposit or to any interest earned on such account or certificate.

Section 3. For the purpose of incorporating the amendment to section 106.08, Florida Statutes, in references thereto, the sections or subdivisions of Florida Statutes set forth below are reenacted to read:

106.04 Committees of continuous existence.--

(5) No committee of continuous existence shall contribute to any candidate or political committee an amount in excess of the limits contained in s. 106.08(1) or participate in any other activity which is prohibited by this chapter. If any violation occurs, it shall be punishable as provided in this chapter for the given offense. No funds of a committee of continuous existence shall be expended on behalf of a candidate, except by means of a contribution made through the duly appointed campaign treasurer of a candidate. No such committee shall make expenditures in support of, or in opposition to, an issue unless such committee first registers as a political committee pursuant to this chapter and undertakes all the practices and procedures required thereof; provided such committee may make contributions in a total amount not to exceed 25 percent of its aggregate income, as reflected in the annual report filed for the previous year, to

one or more political committees registered pursuant to s. 106.03 and formed to support or oppose issues.

106.075 Elected officials; report of loans made in year preceding election; limitation on contributions to pay loans.--

- (2) Any person who makes a contribution to an individual to pay all or part of a loan incurred, in the 12 months preceding the election, to be used for the individual's campaign, may not contribute more than the amount which is allowed in s. 106.08(1).
- 106.19 Violations by candidates, persons connected with campaigns, and political committees.--
- (1) Any candidate; campaign manager, campaign treasurer, or deputy treasurer of any candidate; committee chair, vice chair, campaign treasurer, deputy treasurer, or other officer of any political committee; agent or person acting on behalf of any candidate or political committee; or other person who knowingly and willfully:
- (a) Accepts a contribution in excess of the limits prescribed by s. 106.08;

is guilty of a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083.

- 106.29 Reports by political parties; assessment on contributions.--
- (4) No state or county executive committee, in the furtherance of any candidate or political party, directly or indirectly, shall give, pay, or expend any money, give or pay anything of value, authorize any expenditure, or become pecuniarily liable for any expenditure prohibited by this chapter. However, the contribution of funds by one executive

| 1  | committee to anot                  | ther, to e | stablished party organizations for   |  |  |
|----|------------------------------------|------------|--------------------------------------|--|--|
| 2  | legitimate party                   | or campai  | gn purposes, or to individual        |  |  |
| 3  | candidates of tha                  | at party i | n general elections in amounts       |  |  |
| 4  | exceeding those s                  | set forth  | in s. 106.08 is not prohibited, but  |  |  |
| 5  | all such contribu                  | utions sha | all be recorded and accounted for in |  |  |
| 6  | the reports of th                  | ne contrib | outor and recipient.                 |  |  |
| 7  | Section 4.                         | . Paragra  | uph (e) of subsection (3) of section |  |  |
| 8  | 921.0012, Florida                  | a Statutes | s, 1996 Supplement, is amended to    |  |  |
| 9  | read:                              |            |                                      |  |  |
| 10 | 921.0012                           | Sentencin  | g guidelines offense levels; offense |  |  |
| 11 | severity ranking chart             |            |                                      |  |  |
| 12 | (3) OFFENSE SEVERITY RANKING CHART |            |                                      |  |  |
| 13 | Florida                            | Felony     |                                      |  |  |
| 14 | Statute                            | Degree     | Description                          |  |  |
| 15 |                                    |            |                                      |  |  |
| 16 |                                    |            |                                      |  |  |
| 17 |                                    |            | (e) LEVEL 5                          |  |  |
| 18 | 106.08(8)(b)                       | <u>3rd</u> | Indirect political contributions.    |  |  |
| 19 | 316.027(1)(a)                      | 3rd        | Accidents involving personal         |  |  |
| 20 |                                    |            | injuries, failure to stop;           |  |  |
| 21 |                                    |            | leaving scene.                       |  |  |
| 22 | 316.1935(3)                        | 3rd        | Aggravated fleeing or eluding.       |  |  |
| 23 | 322.34(3)                          | 3rd        | Careless operation of motor          |  |  |
| 24 |                                    |            | vehicle with suspended license,      |  |  |
| 25 |                                    |            | resulting in death or serious        |  |  |
| 26 |                                    |            | bodily injury.                       |  |  |
| 27 | 327.30(5)                          | 3rd        | Vessel accidents involving           |  |  |
| 28 |                                    |            | personal injury; leaving scene.      |  |  |
| 29 | 381.0041(11)(b)                    | 3rd        | Donate blood, plasma, or organs      |  |  |
| 30 |                                    |            | knowing HIV positive.                |  |  |
| 31 | 790.01(2)                          | 3rd        | Carrying a concealed firearm.        |  |  |
|    |                                    |            |                                      |  |  |

| 1  | 790.162         | 2nd | Threat to throw or discharge      |
|----|-----------------|-----|-----------------------------------|
| 2  |                 |     | destructive device.               |
| 3  | 790.163         | 2nd | False report of deadly explosive. |
| 4  | 790.165(2)      | 3rd | Manufacture, sell, possess, or    |
| 5  |                 |     | deliver hoax bomb.                |
| 6  | 790.221(1)      | 2nd | Possession of short-barreled      |
| 7  |                 |     | shotgun or machine gun.           |
| 8  | 790.23          | 2nd | Felons in possession of firearms  |
| 9  |                 |     | or electronic weapons or devices. |
| 10 | 806.111(1)      | 3rd | Possess, manufacture, or dispense |
| 11 |                 |     | fire bomb with intent to damage   |
| 12 |                 |     | any structure or property.        |
| 13 | 812.019(1)      | 2nd | Stolen property; dealing in or    |
| 14 |                 |     | trafficking in.                   |
| 15 | 812.16(2)       | 3rd | Owning, operating, or conducting  |
| 16 |                 |     | a chop shop.                      |
| 17 | 817.034(4)(a)2. | 2nd | Communications fraud, value       |
| 18 |                 |     | \$20,000 to \$50,000.             |
| 19 | 825.1025(4)     | 3rd | Lewd or lascivious exhibition in  |
| 20 |                 |     | the presence of an elderly person |
| 21 |                 |     | or disabled adult.                |
| 22 | 827.071(4)      | 2nd | Possess with intent to promote    |
| 23 |                 |     | any photographic material, motion |
| 24 |                 |     | picture, etc., which includes     |
| 25 |                 |     | sexual conduct by a child.        |
| 26 | 843.01          | 3rd | Resist officer with violence to   |
| 27 |                 |     | person; resist arrest with        |
| 28 |                 |     | violence.                         |
| 29 | 874.05(2)       | 2nd | Encouraging or recruiting another |
| 30 |                 |     | to join a criminal street gang;   |
| 31 |                 |     | second or subsequent offense.     |
|    |                 |     |                                   |

| 1<br>2 | 893.13(1)(a)1.   | 2nd     | Sell, manufacture, or deliver cocaine (or other s. |  |  |
|--------|--|---------|--|--|--|
| 3      |  |         | 893.03(1)(a), (1)(b), (1)(d),                      |  |  |
| 4      |  |         | (2)(a), or (2)(b) drugs).                          |  |  |
| 5      | 893.13(1)(c)2.   | 2nd     | Sell, manufacture, or deliver                      |  |  |
| 6      | , , , ,  |         | cannabis (or other s.                              |  |  |
| 7      |  |         | 893.03(1)(c), (2)(c), (3), or (4)                  |  |  |
| 8      |  |         | drugs) within 1,000 feet of a                      |  |  |
| 9      |  |         | school.  |  |  |
| 10     | 893.13(1)(d)1.   | 1st     | Sell, manufacture, or deliver                      |  |  |
| 11     |  |         | cocaine (or other s.                               |  |  |
| 12     |  |         | 893.03(1)(a), (1)(b), (1)(d),                      |  |  |
| 13     |  |         | (2)(a), or (2)(b) drugs) within                    |  |  |
| 14     |  |         | 200 feet of university, public                     |  |  |
| 15     |  |         | housing facility, or public park.                  |  |  |
| 16     | 893.13(4)(b)   | 2nd     | Deliver to minor cannabis (or                      |  |  |
| 17     |  |         | other s. 893.03(1)(c), (2)(c),                     |  |  |
| 18     |  |         | (3), or (4) drugs).                                |  |  |
| 19     |  |         |  |  |  |
| 20     | Section 5.   | Section | 106.085, Florida Statutes, is                      |  |  |
| 21     | amended to read:   |         |  |  |  |
| 22     | 106.085 Independent expenditures; unfair surprise              |         |  |  |  |
| 23     | prohibited; notice requirements; penalty                       |         |  |  |  |
| 24     | (1) Any individual, group, organization, political             |         |  |  |  |
| 25     | party, or committee making an independent expenditure in       |         |  |  |  |
| 26     | excess of \$1,000 on behalf of or in opposition to a candidate |         |  |  |  |
| 27     | shall deliver notice in writing of such independent            |         |  |  |  |
| 28     | expenditure, as well as the amount of such expenditure and a   |         |  |  |  |
| 29     | detailed description of the media type or use of such          |         |  |  |  |
| 30     | expenditure, within 24 hours after obligating any funds for    |         |  |  |  |
| 31     | such expenditure. However, the notice of the obligation of     |         |  |  |  |

the expenditure must be made at least 14 days prior to an election. An expenditure is obligated upon the purchase of any political advertising or the entering into any agreement, either oral or written, to purchase any political advertising. Such notice shall be delivered to all of the candidates in the affected race and to the qualifying officer of such candidates. The notice shall specifically state the name of the candidate whom the independent expenditure is designed to support or oppose. For purposes of this subsection, notice shall include, but is not limited to, personal hand delivery or overnight mail. Each new expenditure shall require the delivery or filing of an additional new notice. This subsection does not apply to a primary election if the candidate is unopposed in the primary election.

- (2)(a) If the political advertisement required to be noticed under subsection (1) is to be broadcast over any television or radio station, a copy of the actual advertisement must be provided with the notification, along with a listing of the stations airing the advertisement.
- (b) If the political advertisement required to be noticed under subsection (1) is to be communicated through means other than the spoken word, a duplicate reproduced from the original advertisement to be used must be provided with the notification. The duplicate must clearly depict a copy of the pictures, artwork, and text used in the advertisement.
- (c) If the political advertisement required to be noticed under subsection (1) is to be a telephone solicitation, a copy of the script of the telephone solicitation must be provided with the notification, along with the number of intended recipients.

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 $\underline{(3)(2)}$  A person who violates any provision of this section shall be liable for a civil fine of up to \$5,000 to be determined by the Florida Elections Commission or the entire an amount equal to 10 percent of the expenditure not noticed, whichever is greater.

(4) This section does not prohibit a person from making an independent expenditure in support of or in opposition to any candidate or issue, unless otherwise prohibited by law; expressing his or her opinion on any issue; or purchasing any political advertisement or campaign material.

Section 6. Section 106.087, Florida Statutes, is created to read:

106.087 Independent expenditures; restrictions on political parties. -- Notwithstanding any provision of s. 99.061(2), s. 99.092(1), or s. 99.103 to the contrary, any political party that accepts the return of any candidate filing fees from any supervisor of elections or the Department of State after the close of qualifying for an election cycle is prohibited from making any independent expenditure on behalf of or in opposition to any candidate during the remainder of the election cycle for which those filing fees were collected. Any political party that violates the provisions of this section shall, in addition to any other penalty prescribed by this chapter, pay to the state a sum equal to the amount of candidate filing fees received by that political party for all candidates during that election cycle. Each campaign treasurer shall pay all amounts contributed in violation of this section to the state for deposit in the General Revenue Fund.

Section 7. Subsection (2) of section 106.141, Florida Statutes, is amended to read:

106.141 Disposition of surplus funds by candidates .--

- (2)  $\underline{A}$  Any candidate required to dispose of funds pursuant to this section may  $\underline{not}$ , prior to such disposition, be reimbursed by the campaign, in full or in part, for any reported contributions by the candidate to the campaign.
- Section 8. Section 106.143, Florida Statutes, is amended to read:
- 106.143 Political advertisements circulated prior to election; requirements.--
- (1) Any political advertisement and any campaign literature published, displayed, or circulated prior to, or on the day of, any election shall:
- (a) Be marked "paid political advertisement" or with the abbreviation "pd. pol. adv."
- (b) Identify the persons or organizations sponsoring the advertisement.
- (c)1.a. State whether the advertisement and the cost of production is paid for or provided in kind by or at the expense of the entity publishing, displaying, broadcasting, or circulating the political advertisement; or
- b. State who provided or paid for the advertisement and cost of production, if different from the source of sponsorship.
- 2. This paragraph shall not apply if the source of the sponsorship is patently clear from the content or format of the political advertisement or campaign literature.

This subsection does not apply to campaign messages used by a candidate and the candidate's his or her supporters if those which messages are designed to be worn by a person.

- (2) Any political advertisement of a candidate running for partisan office in any election shall express the name of the political party of which the candidate is seeking nomination or is the nominee. If the candidate for partisan office is running as an independent candidate, any political advertisement of the candidate must state that the candidate is an independent candidate. Any political advertisement endorsing the candidate shall expressly state whether the permission of the candidate has been obtained to advertise such endorsement.
- (3) It is unlawful for any candidate or person on behalf of a candidate to represent that any person or organization supports such candidate, unless the person or organization so represented has given specific approval in writing to the candidate to make such representation. However, this subsection section does not apply to:
- (a) Editorial endorsement by any newspaper, radio or television station, or other recognized news medium.
- (b) Publication by a party committee advocating the candidacy of its nominees.
- (4)(a) Any political advertisement, other than an independent expenditure, offered by or in behalf of a candidate must be approved in advance by the candidate. Such political advertisement must expressly state that the content of the advertisement was approved or authorized by the candidate and must state who paid for the advertisement.

  Prior to publishing, displaying, or circulating the advertisement, the candidate shall provide a written statement

of authorization to the newspaper, radio station, television station, or other medium for each such advertisement submitted for publication, display, broadcast, or other distribution.

Such authorization may be provided by electronic means.

(b) Any person who makes an independent expenditure for a political advertisement shall provide a written statement that no candidate has approved the advertisement to the newspaper, radio station, television station, or other medium for each such advertisement submitted for publication, display, broadcast, or other distribution. The advertisement must also contain a statement that no candidate has approved the advertisement.

(5)(4) No political advertisement of a candidate who is not an incumbent of the office for which the candidate he or she is running shall use the word "re-elect." Additionally, such advertisement must include the word "for" between the candidate's name and the office for which the candidate he or she is running, in order that incumbency is not implied. This subsection does not apply to bumper stickers or items designed to be worn by a person.

 $\underline{(6)(5)}$  This section <u>does</u> <u>shall</u> not apply to novelty items <u>having a retail</u> <u>of nominal</u> value <u>of \$10 or less</u> which support, but do not oppose, a candidate or issue.

(7) (6) Any political advertisement which is published, displayed, or produced in a language other than English may provide the information required by this section in the language used in the advertisement.

 $\underline{(8)(7)}$  A Any person who willfully violates any provision the provisions of this section is subject to the civil penalties prescribed in s. 106.265.

1 Section 9. Section 106.1431, Florida Statutes, is 2 created to read: 3 106.1431 Telephone solicitation; restrictions; 4 penalties .--5 (1)(a) Any telephone call supporting or opposing any 6 candidate, elected public official, or issue must identify 7 each person or organization sponsoring the call by stating: "This call is paid for by ...(insert name of each person or 8 9 organization sponsoring the call).... If the expenditure for 10 the telephone call is a contribution to a candidate, the name of the candidate and the office sought must also be 11 12 identified. This paragraph does not apply to any telephone 13 call in which the individual making the call is not being paid or in which the individuals participating in the call know 14 15 each other prior to the call. (b) A telephone call conducted for the purpose of 16 17 polling respondents concerning any candidate, elected public 18 official, or issue which exceeds 3 minutes in duration and 19 which is part of a series of like telephone calls that 20 consists of fewer than 1,000 completed calls is presumed to be 21 a political poll and not subject to the provisions of 22 paragraph (a). 23 (2)(a) A telephone call supporting or opposing any candidate, elected public official, or issue may not state or 24 25 imply that the caller represents any person or organization 26 unless the person or organization so represented has given 27 specific approval in writing to make such representation. 28 (b) A telephone call supporting or opposing any 29 candidate, elected public official, or issue may not state or 30 imply that the caller represents a nonexistent person or organization.

(3) A person who willfully violates any provision of this section is subject to the civil penalties prescribed in s. 106.265.

Section 10. Subsection (2) of section 104.271, Florida Statutes, is amended to read:

104.271 False or malicious charges against, or false statements about, opposing candidates; penalty.--

(2) Any candidate who, in a primary election or other election, with actual malice makes or causes to be made any statement about an opposing candidate which is false is guilty of a violation of this code. An aggrieved candidate may file a complaint with the Florida Elections Commission Division of Elections pursuant to s. 106.25. The commission division shall adopt rules to provide an expedited hearing before the Florida Elections Commission of complaints filed under this subsection. Notwithstanding any other provision of law, the Florida Elections commission shall assess a civil penalty of up to \$5,000 against any candidate found in violation of this subsection, which shall be deposited to the account of the General Revenue Fund of the state.

Section 11. Subsection (2) of section 106.19, Florida Statutes, is amended to read:

106.19 Violations by candidates, persons connected with campaigns, and political committees.--

(2) Any candidate, campaign treasurer, or deputy treasurer; any chair, vice chair, or other officer of any political committee; any agent or person acting on behalf of any candidate or political committee; or any other person who violates paragraph (a), paragraph (b), or paragraph (d) of subsection (1) shall be subject to a civil penalty equal to three 3 times the amount involved in the illegal act. Such

penalty may be in addition to the penalties provided by subsection (1) and shall be paid into the General Revenue Fund of this state. The <u>Florida Elections Commission</u> <del>Division of Elections</del> shall have authority to bring a civil action in circuit court to recover such civil penalty.

Section 12. Subsection (7) of section 106.22, Florida Statutes, is amended to read:

106.22 Duties of the Division of Elections.--It is the duty of the Division of Elections to:

(7) Report to the Florida Elections Commission any failure to file a report or information required by this chapter or any apparent violation of this chapter.

Investigate apparent or alleged violations of this chapter and recommend legal disposition of the violation as provided in s. 106.25.

Section 13. Section 106.23, Florida Statutes, is amended to read:

106.23 <u>Advisory opinions</u> <del>Powers</del> of the <u>Florida</u> Elections Commission <del>Division of Elections</del>.--

(1) In order to carry out the responsibilities prescribed by this chapter, the Division of Elections is empowered to subpoena and bring before its duly authorized representatives any person in the state, or any person doing business in the state, or any person who has filed or is required to have filed any application, document, papers or other information with an office or agency of this state or a political subdivision thereof and to require the production of any papers, books, or other records relevant to any investigation, including the records and accounts of any bank or trust company doing business in this state. Duly authorized representatives of the division are empowered to

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administer all oaths and affirmations in the manner prescribed by law to witnesses who shall appear before them concerning any relevant matter. Should any witness fail to respond to the lawful subpoena of the division or, having responded, fail to answer all lawful inquiries or to turn over evidence that has been subpoenaed, the division may file a complaint before any circuit court of the state setting up such failure on the part of the witness. On the filing of such complaint, the court shall take jurisdiction of the witness and the subject matter of said complaint and shall direct the witness to respond to all lawful questions and to produce all documentary evidence in the witness's possession which is lawfully demanded. The failure of any witness to comply with such order of the court shall constitute a direct and criminal contempt of court, and the court shall punish said witness accordingly. However, the refusal by a witness to answer inquiries or turn over evidence on the basis that such testimony or material will tend to incriminate such witness shall not be deemed refusal to comply with the provisions of this chapter.

(2) The Florida Elections Commission Division of Elections shall provide advisory opinions when requested by any supervisor of elections, candidate, local officer having election-related duties, political party, political committee, committee of continuous existence, or other person or organization engaged in political activity, relating to any provisions or possible violations of Florida election laws with respect to actions such supervisor, candidate, local officer having election-related duties, political party, committee, person, or organization has taken or proposes to take. A written record of all such opinions issued by the

commission division, sequentially numbered, dated, and indexed by subject matter, shall be retained. A copy shall be sent to said person or organization upon request. Any such person or organization, acting in good faith upon such an advisory opinion, shall not be subject to any criminal penalty provided for in this chapter. The opinion, until amended or revoked, shall be binding on any person or organization who sought the opinion or with reference to whom the opinion was sought, unless material facts were omitted or misstated in the request for the advisory opinion.

Section 14. Section 106.24, Florida Statutes, is amended to read:

106.24 Florida Elections Commission; membership; powers; duties.--

(1)(a) There is created within the Department of State a Florida Elections Commission, hereinafter referred to as the commission. The commission shall be a separate budget entity, and its director shall be the agency head for all purposes. The commission shall not be subject to control, supervision, or direction by the Department of State in the performance of its duties, including, but not limited to, personnel, purchasing transactions involving real or personal property, and budgetary matters.

(b) The commission It shall be composed of seven members, including a chair, all of whom shall be appointed by the Governor with the approval of three members of the Cabinet and subject to confirmation by the Senate. Members of the commission appointed by the Governor shall serve for 4-year terms. The chair of the commission shall be designated by the Governor. Vacancies on the commission shall be filled for the unexpired terms in the manner of the original appointment to

the vacated position. Members of the commission may not serve more than two terms. Members of the commission shall be paid travel and per diem as provided in s. 112.061 while in performance of their duties and in traveling to, from, and upon same. Of the seven members of the commission, no more than four members shall be from the same political party at any one time.

- (2) No member of the commission shall be a member of any county, state, or national committee of a political party; be an officer in any partisan political club or organization; or hold, or be a candidate for, any other public office. No person shall be appointed as a member of the commission who has held an elective public office or office in a political party within the year immediately preceding his or her appointment.
- (3) The commission shall convene at the call of its chair or at the request of a majority of the members of the commission. The presence of four members is required to constitute a quorum, and the affirmative vote of the majority of the members present is required for any action or recommendation by the commission. The commission may meet in any city of the state.
- director, who shall serve under the direction, supervision, and control of the commission. The executive director, with the consent of the commission, shall employ such staff as are necessary to adequately perform the functions of the commission, within budgetary limitations. All employees, except the executive director and attorneys, are subject to part II of chapter 110. The executive director shall serve at the pleasure of the commission and be subject to part III of

chapter 110, except that the commission shall have complete authority for setting the executive director's salary.

Attorneys employed by the commission shall be subject to part V of chapter 110. The Division of Elections shall provide administrative support and services to the commission to carry out its duties pursuant to this chapter. The division shall employ such staff as are necessary to adequately perform the functions of the commission, within budgetary limitations.

- (5) Hearings shall be held before the commission, except that the chair may direct that any hearing be held before one member of the commission or a panel of less than the full commission. The commission shall adopt rules to provide for the filing of a report when hearings are held by a single commissioner or a panel, which rules shall prescribe the time for filing the report and the contents of the report.
- (6) There is hereby established in the State Treasury an Elections Commission Trust Fund to be utilized by the Division of Elections and the Florida Elections commission in order to carry out its their duties pursuant to ss. 106.24-106.28.
- (7) The department, in consultation with the commission, shall develop a budget request <u>pursuant to chapter 216</u> annually. The budget is not subject to change by the <u>department</u>, but it which shall be submitted by the department to the Governor for transmittal to the Legislature.
- (8) The commission is authorized to contract or consult with appropriate agencies of state government for such professional assistance as may be needed in the discharge of its duties.

Section 15. Section 106.25, Florida Statutes, 1996
31 Supplement, is amended to read:

- 106.25 Reports of alleged violations to <u>Florida</u>

  <u>Elections Commission</u> <del>Department of State</del>; disposition of findings.--
- (1) Jurisdiction to investigate and determine violations of this chapter is vested in the Division of Elections and the Florida Elections Commission; however, nothing in this section limits the jurisdiction of any other officers or agencies of government empowered by law to investigate, act upon, or dispose of alleged violations of this code.
- investigate and report to the Florida Elections Commission all violations of this chapter with or without having received a sworn complaint, and may conduct random audits and investigations with respect to reports and statements filed under this chapter and with respect to the alleged failure to file any reports and statements required under this chapter. However, any person, other than the commission division, having information of any violation of this chapter shall file a sworn complaint with the commission Division of Elections. Such sworn complaint shall state whether a complaint of the same violation has been made to any state attorney. Within 5 days after receipt of a sworn complaint, the commission shall transmit a copy of the complaint to the alleged violator.
- (3) For the purposes of Florida Elections commission jurisdiction, a violation shall mean the willful performance of an act prohibited by this chapter or the willful failure to perform an act required by this chapter.
- (4) The <u>commission</u> <del>Division of Elections</del> shall undertake a preliminary investigation to determine if the facts alleged in a sworn complaint or a matter initiated by

the <u>commission</u> division constitute probable cause to believe that a violation has occurred. Upon completion of the preliminary investigation the <u>commission</u> division shall, by written report, find probable cause or no probable cause to believe that this chapter or s. 104.271 has been violated.

- (a) If no probable cause is found, the <u>commission</u> division may dismiss the case and the case shall become a matter of public record, except as otherwise provided in this section, together with a written statement of the findings of the preliminary investigation and a summary of the facts which the <u>commission</u> division shall send to the complainant and the alleged violator.
- (b) If probable cause is found, the <u>commission</u> division shall so notify the complainant and the alleged violator in writing and shall refer the case to the <u>commission</u>. All documents made or received in the disposition of the complaint shall become public records upon a finding by the commission.

In a case where probable cause is found by the commission, the commission shall make a preliminary determination to consider the matter or to refer the matter to the state attorney for the judicial circuit in which the alleged violation occurred.

- (5) When the commission does not refer the matter to the state attorney, a hearing before a hearing officer from the Division of Administrative Hearings shall be held pursuant to chapter 120 if the commission receives a written request for such a hearing from the alleged violator within 20 days after receipt of the probable cause determination.
- $\underline{(6)}$  (5) It is the duty of a state attorney receiving a complaint referred by the commission to investigate the

complaint promptly and thoroughly; to undertake such criminal or civil actions as are justified by law; and to report to the commission the results of such investigation, the action taken, and the disposition thereof. The failure or refusal of a state attorney to prosecute or to initiate action upon a complaint or a referral by the commission shall not bar further action by the commission under this chapter.

(7)(6) Every sworn complaint filed pursuant to this chapter with the Division of Elections or the Florida

Elections commission, every division investigation and investigative report or other paper of the division or commission with respect to a violation of this chapter, and every proceeding of the commission with respect to a violation of this chapter is confidential, is exempt from the provisions of ss. 119.07(1) and 286.011, and is exempt from publication in the Florida Administrative Weekly of any notice or agenda with respect to any proceeding relating to such violation, except under the following circumstances:

- (a) As provided in subsection(6)(5);
- (b) Upon a determination of probable cause or no probable cause by the commission; or
- (c) After a finding of no probable cause is made by the division and the case is not appealed; or
- $\underline{\text{(c)}(d)}$  For proceedings conducted with respect to appeals of fines levied by filing officers for the late filing of reports required by this chapter.

However, a complainant is not bound by the confidentiality provisions of this section. In addition, confidentiality may be waived in writing by the person against whom the complaint has been filed or the investigation has been initiated. If a

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finding of probable cause in a case is entered within 30 days prior to the date of the election with respect to which the alleged violation occurred, such finding and the proceedings and records relating to such case shall not become public until noon of the day following such election. When two or more persons are being investigated by the commission division with respect to an alleged violation of this chapter, the division or the commission may not publicly enter a finding of probable cause or no probable cause in the case until a finding of probable cause or no probable cause for the entire case has been determined. However, once the confidentiality of any case has been breached, the person or persons under investigation have the right to waive the confidentiality of the case, thereby opening up the proceedings and records to the public. Any person who discloses any information or matter made confidential by the provisions of this subsection commits a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083.

- (7) Dismissal of a case by the division, based on a finding of no probable cause, may be appealed to the commission by the complainant. Any complainant intending to appeal such dismissal must, within 30 days after the dismissal, file a request for a hearing before the commission with the division.
- (8) Any person who files a complaint pursuant to this section while knowing that the allegations contained in such complaint are false or without merit commits a misdemeanor of the first degree, punishable as provided in s. 775.082 or s. 775.083.

Section 16. Subsection (1) of section 106.26, Florida
Statutes, is amended to read:

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106.26 Powers of commission; rights and responsibilities of parties; findings by commission.--

(1) The commission shall, pursuant to rules adopted and published in accordance with chapter 120, consider all sworn complaints filed with it and all matters reported to it by the Division of Elections or otherwise coming to its attention, including appeals of division dismissals of cases based on no probable cause. In order to carry out its duties, the commission may, whenever required, issue subpoenas and other necessary process to compel the attendance of witnesses before it. The chair thereof shall issue said process on behalf of the commission. The chair or any other member of the commission may administer all oaths and affirmations in the manner prescribed by law to witnesses who shall appear before the commission for the purpose of testifying in any matter about which the commission may desire evidence. commission, whenever required, may also compel by subpoena the production of any books, letters, or other documentary evidence it may desire to examine in reference to any matter before it. The sheriffs in the several counties shall make such service and execute all process or orders when required by the commission. Sheriffs shall be paid for these services by the commission as provided for in s. 30.231. Any person who is served with a subpoena to attend a hearing of the commission also shall be served with a general statement informing him or her of the subject matter of the commission's investigation or inquiry and a notice that he or she may be accompanied at the hearing by counsel of his or her own choosing.

Section 17. Effective upon this act becoming a law,

section 106.295, Florida Statutes, is amended to read:

106.295 Leadership <u>funds; prohibited contributions</u> <u>fund.--</u>

- (1) For purposes of this section:
- (a) "Leadership fund" means accounts comprised of any moneys contributed to a political party, directly or indirectly, which are designated to be used at the partial or total discretion of a person in a leadership position leader.
- (b) "Leadership position Leader" means the President of the Senate, the Speaker of the House of Representatives, the majority leader and the minority leader of each house, and any person designated by a political caucus of members of either house to succeed to any such position.
- (2) Leadership funds are prohibited in this state. <u>Ir</u> addition, no person, political party, political committee, or <u>committee of continuous existence may accept or solicit</u> <u>contributions for any legislative campaign if such funds are raised to directly or indirectly enable a legislator to acquire or maintain a leadership position. No leader shall accept any leadership funds.</u>
- (3) This section applies to leadership funds in existence on or after, and to contributions accepted or solicited on or after, the effective date of the amendments to this section by this act January 1, 1990.

Section 18. Section 106.297, Florida Statutes, is created to read:

- 106.297 Voluntary campaign expenditure limitations for legislative candidates; affidavit; postelection audit; exemption from filing fees; penalties; ballot designation.--
- (1)(a) Any candidate for legislative office may voluntarily agree to abide by the expenditure limitations provided in this section. At the time of qualifying, each

candidate for legislative office must file an affidavit of 1 acceptance or rejection of the voluntary expenditure 2 limitations provided in this section. The affidavit shall state that the candidate knows the voluntary expenditure limitations provided in this section and that the candidate voluntarily agrees to abide by those expenditure limitations and will submit an independent postelection audit of the candidate's campaign account to the division. The affidavit must be sworn and subscribed to by the candidate and notarized and may not be filed with the division later than the end of qualifying.

- (b) Notwithstanding any provision of law to the contrary, if a candidate for legislative office agrees to abide by the voluntary expenditure limitations provided in this section, the candidate is not required to pay the filing fee.
- (2)(a) Total expenditures by a candidate for legislative office who has voluntarily agreed to abide by the expenditure limitations as provided in subsection (1) shall be limited as follows:
- 1. In a primary election, \$1 per person in the voting age population in the district.
- 2. In a general election, \$1 per person in the voting age population in the district.
- 3. In a special election, \$1 per person in the voting age population in the district.

Each limitation on total expenditures specified in this paragraph applies to a single election only and only to the election so specified.

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- (b) For purposes of this section, the term "total expenditures" means the sum of all expenditures, excluding independent expenditures, made by or on behalf of a candidate to influence the results of an election, whether a primary election, a general election, or a special election.
- applicable under the election code, any candidate for legislative office who has voluntarily agreed to abide by the expenditure limitations provided in this section and who then exceeds the limitation on total expenditures for an election, whether a primary election, a general election, or a special election, shall be fined an amount equal to three times the amount in excess of that limitation, which shall be deposited in the Elections Commission Trust Fund. In addition, the candidate shall be required to pay the filing fee, from which the candidate had previously been exempt under paragraph (1)(b).
- (3) The Secretary of State and local elections officials shall prominently designate on the sample ballot and on the official ballot those candidates for legislative office who have voluntarily agreed to abide by the expenditure limitations provided in this section.

Section 19. If any provision of this act or the application thereof to any person or circumstance is held invalid, the invalidity shall not affect other provisions or applications of the act which can be given effect without the invalid provision or application, and to this end the provisions of this act are declared severable.

Section 20. Except as otherwise provided herein, this act shall take effect January 1, 1998.

1 2 HOUSE SUMMARY 3 Revises requirements for nomination as a candidate of a political party relating to duration of political party 4 affiliation. 5 With respect to limitations on campaign contributions, provides a lower limit for contributions from certain 6 provides a lower limit for contributions from certain lobbyists to candidates who are or were, within a specified period, legislators. Revises provisions relating to the return of contributions by unopposed candidates. Requires the reporting of illegal contributions and attempts to make illegal contributions. Increases civil and criminal penalties for certain repeat offenses. With respect to sentencing guidelines, provides an offense level for felonies involving indirect political contributions. 7 8 9 10 11 political contributions. 12 Revises notice requirements for independent expenditures in excess of \$1,000 that support or oppose a candidate, and applies such requirements to political parties. Increases the fine that may be levied for violation of such notice requirements. Prohibits political parties that accept filing fees from making independent 13 14 15 expenditures. 16 17 With respect to disposition of surplus funds by candidates, prohibits reimbursement by the campaign for any reported contributions by the candidate to the 18 campaign. 19 Provides requirements for political advertisements with respect to candidate approval. Provides disclosure requirements and prohibitions relating to political solicitation by telephone. 20 21 22 Authorizes filing of complaints with the Florida Elections Commission relating to false statements about candidates. Eliminates authority of the Division of Elections to bring civil actions to recover certain civil penalties and extends that authority to the commission. Deletes duties of the division relating to investigation of complaints and requires the division to report 23 24 2.6 of complaints, and requires the division to report certain information to the commission. Deletes power the division to issue subpoenas and administer oaths Deletes powers of 27 relating to investigation of alleged violations of ch. 106, F.S., relating to campaign financing. Transfers from the division to the commission responsibility to issue advisory opinions of Florida election law. Revises administrative and organizational structure of the commission. Provides for appointment of an executive director and employment of staff. Authorizes the commission to contract or consult with other state agencies for assistance as needed. Vests the commission 28 29 30

agencies for assistance as needed. Vests the commission

with jurisdiction to investigate and determine violations of ch. 106, F.S. Requires transmittal of a copy of a sworn complaint to the alleged violator. Provides for an administrative hearing upon written request of the alleged violator. Provides rulemaking authority to the commission relating to its investigative responsibilities. Revises provisions relating to leadership funds. Prohibits the acceptance or solicitation of contributions for any legislative campaign if such funds are raised to enable a legislator to acquire or maintain a leadership position. Provides for voluntary expenditure limits for candidates for legislative office. Requires an affidavit of acceptance or rejection of such limits. Requires a postelection audit of the campaign account of any candidate agreeing to abide by such limits. Exempts candidates who agree to abide by such limits from filing fees. Provides penalties for those who have agreed to abide by and then exceed such limits. Provides for designation of candidates who have agreed to abide by such limits on sample ballots and on official ballots. See bill for details. 2.6