

Bill No. SB 510
Amendment No. 2

Senate CHAMBER ACTION House

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The Committee on Banking and Insurance recommended the following amendment:

Senate Amendment

On page 13, lines 22-29, delete those lines

and insert:

(4) No investment adviser or associated person of an investment adviser or federal covered adviser shall engage in business from offices in this state, or render investment advice to persons of this state, by mail or otherwise, unless the federal covered adviser has made a notice filing with the department pursuant to s. 517.1201, or the investment adviser is registered pursuant to the provision of this chapter and associated persons of the federal covered adviser or investment adviser have been registered with the department pursuant to this section. The department shall not register any person as an associated person of a federal covered adviser or an investment adviser unless the federal covered adviser or investment adviser with which the applicant seeks