By the Committee on Governmental Reform and Oversight and Senators Kirkpatrick, Sullivan and Forman

302-1855-98

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A bill to be entitled An act relating to information resources management; amending s. 186.021, F.S.; providing that certain information resources management projects are not required in agency strategic plans; amending s. 216.181, F.S.; providing that certain information resource management projects are not subject to the mandatory notice and review requirements for amendments to agencies' original approved operating budgets; amending s. 186.022, F.S.; providing that certain coordinating councils and boards are required to develop annual performance reports; amending s. 282.3063, F.S.; modifying the date for submission of the Agency Annual Information Resources Management Report; amending s. 282.310, F.S.; modifying the date by which the State Annual Report on Information Resources Management must be developed; requiring the inclusion of specified information within the report; amending s. 282.3091, F.S.; authorizing members of the State Technology Council to appoint designees to serve on their behalf; amending s. 282.322, F.S.; requiring the submission of quarterly reports for information resource projects designated for special monitoring; providing an additional recipient of project monitors' final reports; amending s. 282.404, F.S.; removing the chair of the Geographic Information Advisory Council as an ex officio member of the

Geographic Information Board; requiring the chair to attend all meetings of the Geographic Information Board on behalf of the council; revising duties of the Geographic Information Board; providing that the board shall serve as coordinator for census activities; amending s. 215.96, F.S.; providing a technical correction; providing an effective date.

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Be It Enacted by the Legislature of the State of Florida:

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30 31 Section 1. Subsection (1) of section 186.021, Florida Statutes, is amended to read:

186.021 State agency strategic plans.--

(1) A state agency strategic plan shall be a statement of the priority directions an agency will take to carry out its mission within the context of the state comprehensive plan and any other statutory mandates and authorizations given to the agency. Each state agency strategic plan must identify infrastructure needs, capital improvement needs, and information resources management projects or initiatives that involve more than one agency, that have an outcome that impacts another agency, or that exceed \$500,000 in total cost over a 1-year period, except for those projects that are a continuation of hardware or software maintenance or software licensing agreements, or that are for desktop replacement that is similar to the technology currently in use. Each agency strategic plan shall specify those objectives against which will be judged the agency's achievement of its goals and the goals of the state comprehensive plan. The state agency

strategic plan shall be consistent with and shall further the goals of the state comprehensive plan.

Section 2. Subsection (4) of section 216.181, Florida Statutes, is amended to read:

216.181 Approved budgets for operations and fixed capital outlay.--

(4) All amendments to the original approved operating budgets, regardless of funding source, are subject to the notice and review procedures set forth in s. 216.177 and must be approved by the Governor and Administration Commission as provided in this chapter for the executive branch and the Chief Justice for the judicial branch if the amendment is for an information resources management project or initiative that involves more than one agency, has an outcome that impacts another agency, or exceeds \$500,000 in total cost over a 1-year period, except for those projects that are a continuation of hardware or software maintenance or software licensing agreements, or that are for desktop replacement that is similar to the technology currently in use.

Section 3. Subsection (9) of section 186.022, Florida Statutes, is amended to read:

186.022 State agency strategic plans; preparation, form, and review.--

(8) Each agency shall submit by September 1 of each year an annual performance report to the Executive Office of the Governor, with copies to the President of the Senate, the Speaker of the House of Representatives, and the Auditor General. The purpose of this report is to evaluate the attainment of the agency objectives in the agency strategic plan.

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- (9) By March 1 of each year, the Geographic Information Board, the Financial Management Information Board, the Criminal and Juvenile Justice Information Systems Council, and the Health Information Systems Council shall each develop a strategic plan following the general statutory requirements that are applicable to agencies pursuant to s. 186.021(1), (2), and (3). The strategic plan shall be subject to the requirements, and the review and approval processes, set forth in subsections (2) through(8)(7), with the following exceptions:
- (a) The Executive Office of the Governor, after consultation with the President of the Senate and the Speaker of the House of Representatives, may prescribe a specific format and content for the strategic plans of coordinating boards and councils.
- (b) The time periods for review and return of any required strategic plan revisions, incorporation of such revisions by the boards or councils, and resolution of disputes shall be established by the Executive Office of the Governor, after consultation with the President of the Senate and the Speaker of the House of Representatives.

Section 4. Subsection (1) of section 282.3063, Florida Statutes, is amended to read:

282.3063 Agency Annual Information Resources Management Report. --

By September 1 November 1 of each year, and for the State University System within 90 days after completion of the expenditure analysis developed pursuant to s. 240.271(4), each Chief Information Officer shall prepare and submit to the State Technology Office an Agency Annual Information Resources 31 | Management Report. Following consultation with the State

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Technology Council and the Chief Information Officers Council, the Executive Office of the Governor and the fiscal committees of the Legislature shall jointly develop and issue instructions for the format and contents of the report.

Section 5. Subsections (1) and (2) of section 282.310, Florida Statutes, are amended to read:

282.310 State Annual Report on Information Resources Management.--

- (1) By $\underline{\text{January 15}}$ $\underline{\text{March 1}}$ of each year, the State Technology Office shall develop a State Annual Report on Information Resources Management.
- (2) The State Annual Report on Information Resources Management shall contain, at a minimum, the following:
- $\hbox{ (a)} \quad \hbox{The state vision for information resources} \\$ $\hbox{management.}$
- (b) A forecast of the state information resources management priorities and initiatives for the ensuing 2 years.
- (c) A summary of major statewide policies recommended by the State Technology Council for information resources management.
- (d) A summary of memoranda issued by the Executive Office of the Governor.
- (e) An assessment of the overall progress on state information resources management initiatives and priorities for the past fiscal year.
- (f) A summary of major statewide issues related to improving information resources management by the state.
- (g) An inventory list, by major categories, of state information technology resources.
- (h) A summary of the total expenditures forinformation resources management by each state agency.

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1 (i) A summary of the opportunities for government 2 agencies or entities to share information resources management 3 projects or initiatives with other governmental or private sector entities. 4 5 (j) A list of the information resources management 6 issues that have been identified as statewide or critical 7 issues for which the State Technology Council could provide 8 leadership or assistance. 9 10 The state annual report shall also include information 11 resources management information from the annual reports prepared by the Board of Regents for the State University 12 13 System, from the State Board of Community Colleges for the 14 State Community College System, from the Supreme Court for the 15 judicial branch, and from the Justice Administrative Commission on behalf of the $\frac{attorneys}{attorney}$ and 16 17 public defenders defender. Expenditure information shall be 18 taken from each agency's annual report as well as the annual 19 reports of the Board of Regents, the State Board of Community 20 Colleges, the Supreme Court, and the Justice Administrative Commission the state attorneys, and the public defenders. 21 Section 6. Subsection (3) of section 282.3091, Florida 22 23 Statutes, is amended to read: 24 282.3091 State Technology Council; creation. --25 The council shall be composed of nine members as follows: 26 27 The director of the Governor's Office of Planning 28 and Budgeting, who shall serve as chair of the council.

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The Comptroller.

(c) The Commissioner of Education.

The Secretary of State.

(b)

(d)

- 1 The secretary of the Department of Management 2 Services.
 - Two state agency heads appointed by the Governor. (f)
 - Two private sector representatives, one appointed by the Speaker of the House of Representatives and one appointed by the President of the Senate, who are not current members of the Legislature. Private sector representatives shall, at a minimum, have a general knowledge of or experience in managing information technology resources. However, representatives of information technology resource vendors or any of their subsidiaries that sell products or services to the state shall not be appointed to serve as a private sector representative.

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Members may appoint designees to serve on their behalf; however, such designees must be in a position that reports directly to the member.

Section 7. Section 282.322, Florida Statutes, is amended to read:

282.322 Special monitoring process for designated information resources management projects. -- For each information resources management project which is designated for special monitoring in the General Appropriations Act, with a proviso requiring a contract with a project monitor, the Technology Review Workgroup established pursuant to s. 216.0446, in consultation with each affected agency, shall be responsible for contracting with the project monitor. Upon contract award, funds equal to the contract amount shall be transferred to the Technology Review Workgroup upon request and subsequent approval of a budget amendment pursuant to s. 31 216.292. With the concurrence of the Legislative Auditing

Committee, the office of the Auditor General shall be the 2 project monitor for other projects designated for special 3 monitoring. However, nothing in this section precludes the 4 Auditor General from conducting such monitoring on any project 5 designated for special monitoring. In addition to monitoring 6 and reporting on significant communications between a contracting agency and the appropriate federal authorities, 7 8 the project monitoring process shall consist of evaluating 9 each major stage of the designated project to determine 10 whether the deliverables have been satisfied and to assess the 11 level of risks associated with proceeding to the next stage of the project. The major stages of each designated project shall 12 13 be determined based on the agency's information systems 14 development methodology. At the end of each quarter and within 20 days after an agency has completed a major stage of its 15 designated project, the project monitor shall issue a written 16 17 report, including the findings and recommendations for correcting deficiencies, to the agency head, for review and 18 19 comment. Within 20 days after receipt of the project monitor's 20 report, the agency head shall submit a written statement of explanation or rebuttal concerning the findings and 21 recommendations of the project monitor, including any 22 corrective action to be taken by the agency. The project 23 24 monitor shall include the agency's statement in its final 25 report, which shall be forwarded, within 7 days after receipt of the agency's statement, to the agency head, the inspector 26 general's office of the agency, the Executive Office of the 27 28 Governor, the appropriations committees of the Legislature, 29 the Joint Legislative Auditing Committee, the Technology Review Workgroup, the President of the Senate, the Speaker of 30 31 the House of Representatives, and the Office of Program Policy

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Analysis and Government Accountability Legislative Information Technology Resource Committee. The Auditor General shall also receive a copy of the project monitor's report for those projects in which the Auditor General is not the project monitor.

Section 8. Subsections (3), (5), (7), and (8) of section 282.404, Florida Statutes, are amended to read:

282.404 Geographic information board; definition;

282.404 Geographic information board; definition; membership; creation; duties; advisory council; membership; duties.--

(3) The board consists of the Director of Planning and Budgeting within the Executive Office of the Governor, the executive director of the Game and Fresh Water Fish Commission, the executive director of the Department of Revenue, and the State Cadastral Surveyor, as defined in s. 177.503, or their designees, and the heads of the following agencies, or their designees: the Department of Agriculture and Consumer Services, the Department of Community Affairs, the Department of Environmental Protection, the Department of Transportation, and the Board of Professional Surveyors and Mappers. The Governor shall appoint to the board one member each to represent the counties, municipalities, regional planning councils, water management districts, and county property appraisers. The Governor shall initially appoint two members to serve 2-year terms and three members to serve 4-year terms. Thereafter, the terms of all appointed members must be 4 years and the terms must be staggered. Members may be appointed to successive terms and incumbent members may continue to serve the board until a new appointment is made. The chair of the Geographic Information Advisory Council shall

serve without voting rights as an ex officio member on the board.

- (5) The board shall:
- (a) Promote the sharing of geographic information between the public sector and the private sector;
- (b) Conduct a periodic <u>assessments</u> assessment of geographic information and geographic information systems in this state to identify geographic information management activities and available resources in this state;
- (c) <u>Increase efficiency and reduce costs</u> redundancy of data acquisition by promoting the coordination of geographic information activities, including, but not limited to, development and maintenance of a data directory in which geographic information is cataloged data collection;
- (d) Promote consistency of data elements by establishing standard data definitions and formats;
- (e) Promote the adoption and use of standards that have broad application to the public and private sectors;
- (f) Develop criteria, policies, and procedures for the prescribed and preplanned electronic transmission of geographic information, including, but not limited to, transmissions between a local planning agency, as defined in s. 163.3164, and the state land planning agency;

 $\underline{(f)(g)}$ By March 1 of each year, develop and approve a strategic plan pursuant to the requirements set forth in s. 186.022(9). Copies of the plan shall be transmitted electronically or in writing to the Executive Office of the Governor, the Speaker of the House of Representatives, the President of the Senate, and the members of the Geographic Information Advisory Council as provided in subsection (7);

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information from sources such as universities, government organizations, nonprofit organizations, and the private sector;

(h) Promote the use and coordination of geographic

(i) Promote the development and maintenance of a data directory in which geographic information is cataloged;

(g)(j) Serve as liaison between local, regional, and this state government and the Federal Government to promote the sharing of geographic information;

(h)(k) Establish technical advisory committees to assist the board; and

- (i) Serve as the coordinator for census activities and facilitate the availability and usability of the data collected by the United States Census Bureau.
- (1) Promote regional coordination of geographic information.
- (7) The Geographic Information Advisory Council consists of one member each from the Office of Planning and Budgeting within the Executive Office of the Governor, the Game and Fresh Water Fish Commission, the Department of Revenue, the Department of Agriculture and Consumer Services, the Department of Community Affairs, the Department of Environmental Protection, the Department of Transportation, the State Cadastral Surveyor, the State Geologist, the Board of Professional Surveyors and Mappers, counties, municipalities, regional planning councils, water management districts, and property appraisers, as appointed by the corresponding member of the board, and the State Geologist. The Governor shall appoint to the council one member each, as recommended by the respective organization, to represent the 31 Department of Commerce, the Department of Children and Family

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Services, the Department of Health, the Florida Survey and Mapping Society, Florida Region of the American Society of Photogrammetry and Remote Sensing, Florida Association of Cadastral Mappers, the The Florida Association of Professional Geologists, Florida Engineering Society, Florida Chapter of the Urban and Regional Information Systems Association, the forestry industry, the State University System survey and mapping academic research programs, and State University System geographic information systems academic research programs; and two members representing utilities, one from a regional utility, and one from a local or municipal utility. These persons must have technical expertise in geographic information issues. The Governor shall initially appoint six members to serve 2-year terms and six members to serve 4-year terms. Thereafter, the terms of all appointed members must be 4 years and must be staggered. Members may be appointed to successive terms and incumbent members may continue to serve the council until a successor is appointed. Representatives of the Federal Government may serve as ex officio members without voting rights.

(8) A majority of the membership constitutes a quorum for the conduct of business and shall elect the chair of the advisory council biennially. The council shall meet at least twice a year, and the chair may call meetings as often as necessary to transact business or as directed by the board. The chair, or his or her designee, shall attend all board meetings on behalf of the council. Administrative and clerical support shall be provided by the Department of Management Services.

Section 9. Subsection (2) of section 215.96, Florida 31 Statutes, is amended to read:

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215.96 Coordinating council and design and coordination staff.--

(2) The coordinating council shall consist of the Comptroller; the Treasurer; the secretary of the Department of Management Services; and the Director of Planning and Budgeting, Executive Office of the Governor, or their designees. The Comptroller, or his or her designee, shall be chair of the coordinating council, and the design and coordination staff shall provide administrative and clerical support to the council and the board. The design and coordination staff shall maintain the minutes of each meeting and shall make such minutes available to any interested person. The Auditor General, the State Courts Administrator, an executive officer of the Florida Association of State Agency Administrative Services Directors, and an executive officer of the Florida Association of State Budget Officers, or their designees, shall serve without voting rights as ex officio members on the coordinating council. The chair may call meetings of the coordinating council as often as necessary to transact business; however, the coordinating council shall meet at least once a year. Action of the coordinating council shall be by motion, duly made, seconded and passed by a majority of the coordinating council voting in the affirmative for approval of items that are to be recommended for approval to the Financial Management Information Board.

Section 10. This act shall take effect upon becoming a

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1	STATEMENT OF SUBSTANTIAL CHANGES CONTAINED IN
2	COMMITTEE SUBSTITUTE FOR Senate Bill 832
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4	The committee substitute makes minor technical changes to the
5	special monitoring process by requiring quarterly reports from project monitors and designating OPPAGA as a recipient of
6	project monitor reports.
7	The Geographic Information Board's statutory duties are modified to designate them as the organization at the state level to serve as coordinator for census activities and
8	facilitate the availability of data collected by the Census
9	Bureau for the Census 2000 effort.
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CODING: Words stricken are deletions; words underlined are additions.