

Amendment No. ____ (for drafter's use only)

	<u>Senate</u>	CHAMBER ACTION	<u>House</u>
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ORIGINAL STAMP BELOW

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11 Representative(s) Rojas offered the following:

12

13 **Amendment**

14 On page 5, between lines 14 and 15,

15

16 insert:

17 (5) No member or spouse shall be the holder of the
18 stocks or bonds of any company, other than through ownership
19 of shares in a mutual fund, regulated by the authority, or any
20 affiliated company of any company regulated by the authority,
21 or be an agent or employee of, or have any interest in, any
22 company regulated by the authority or any affiliated company
23 of any company regulated by the authority, or in any firm
24 which represents in any capacity either companies which are
25 regulated by the authority or affiliates of companies
26 regulated by the authority. As a condition of appointment to
27 the council, each appointee shall affirm to the Speaker and
28 the President his or her qualification by the following
29 certification: "I hereby certify that I am not a stockholder,
30 other than through ownership of shares in a mutual fund, in
31 any company regulated by the authority or in any affiliate of

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1 a company regulated by the authority, nor in any way, directly
2 or indirectly, in the employment of, or engaged in the
3 management of any company regulated by the authority or any
4 affiliate of a company regulated by the authority, or in any
5 firm which represents in any capacity either companies which
6 are regulated by the authority or affiliates of companies
7 regulated by the authority." A member of the authority shall
8 not contribute to the campaign account of any elected
9 official, nor solicit any campaign contributions for any
10 elected official.

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