1

A bill to be entitled

2 An act relating to gaming on Indian lands; creating s. 3 285.711, F.S.; authorizing and directing the Governor to 4 negotiate and execute a gaming compact between the state 5 and the Seminole Indian Tribe of Florida; providing a 6 title; providing recitals stating rights, powers, and 7 purpose of the parties to the compact; providing 8 definitions; authorizing the operation of certain games in 9 specified locations on Indian lands; authorizing expansion 10 or replacement of gaming facilities; prohibiting additional gaming facilities; providing for rules and 11 regulations; providing minimum requirements for 12 operations; requiring certain procedures and signs 13 relating to compulsive gambling; providing a limitation of 14 15 liability for failing to identify a compulsive gambler; 16 requiring certain procedures to prevent certain activities; providing for staff training, screening, and 17 certification, patron education, and security measures; 18 19 prohibiting a person under a certain age from playing the 20 games; requiring certain recordkeeping by the tribe and 21 the Seminole Tribal Gaming Commission; requiring the tribe 22 to stop certain card games; providing for patron disputes 23 and claims; providing for employee tort claims; providing 24 limitations on claims; providing for limited liability and 25 liability coverage of the tribe; providing for enforcement 26 of compact provisions; providing responsibilities of the 27 tribe and the commission; providing that the tribe and the commission shall be responsible for regulating activities; 28

Page 1 of 45

CODING: Words stricken are deletions; words underlined are additions.

hb7129-00

29 providing requirements for construction, operation, and 30 maintenance of facilities and the conduct of games; 31 providing for members and employees of the commission; 32 providing requirements for licensing members and employees; providing for commission compliance officers; 33 34 requiring representatives of the commission and the State 35 Compliance Agency to meet to review practices and examine 36 methods to improve the regulatory scheme; providing for 37 state monitoring of the compact; authorizing the state to 38 secure an annual independent financial audit of the conduct of the games; providing requirements and 39 limitations for such audit; authorizing the State 40 Compliance Agency to monitor the conduct of the games, 41 42 inspect any games in operation, and perform one annual 43 review of the slot machine compliance audit for certain 44 purposes; authorizing that agency to meet with the tribe's Internal Audit Department for Gaming to review internal 45 controls and violations; providing procedures inspections 46 and for suspected or claimed violations; providing for 47 48 construction and application of the compact; providing 49 licensing and hearing requirements; providing for payment 50 of consideration to the state; providing a payment 51 schedule, payment amounts, and procedures for such 52 payments; providing procedures for auditing certain 53 revenue and review of unaudited revenue; providing that 54 certain prior payments shall be deemed forfeited and 55 released to the state; providing that acceptance and 56 appropriation of such funds does not legitimize, validate,

Page 2 of 45

CODING: Words stricken are deletions; words underlined are additions.

hb7129-00

57 or otherwise ratify any previously proposed compact or the 58 operation of class III games by the tribe prior to the 59 effective date of this compact; providing the tribe with 60 the right to operate such games on an exclusive basis; providing for reduction of tribal payments because of loss 61 62 of exclusivity or other changes in state law; providing 63 for exceptions to the exclusivity; providing procedures 64 for resolution of disputes among the parties and for 65 interpretation of the compact; requiring notice of a claim 66 of noncompliance; authorizing nonbinding arbitration and 67 providing procedures therefor; providing that for certain purposes the tribe waives rights to immunity from suit and 68 69 enforcement of judgment; providing for collection of sales 70 tax on sales to non-tribal members; providing for construction, application, and severability; providing for 71 72 federal approval; providing notice requirements; providing 73 an effective date and term of the compact; providing for 74 amendment of compact and references; providing for 75 application to third parties; providing for application to 76 any compact with any other tribe; providing for events 77 beyond the tribe's control; providing for smoke-free or 78 reduced-smoke environments; providing for minimum pay-out; 79 providing for effect of compact on agreements entered into 80 between the tribe and any other federal, state, or local governmental entity; providing for employment practices; 81 providing an effective date. 82 83

84 Be It Enacted by the Legislature of the State of Florida: Page 3 of 45

CODING: Words stricken are deletions; words underlined are additions.

85	
86	Section 1. Section 285.711, Florida Statutes, is created
87	to read:
88	285.711 Gaming compact between the Seminole Tribe and the
89	State of FloridaThe Governor is authorized and directed to
90	negotiate and execute a gaming compact with the Seminole Tribe
91	of Florida on behalf of the State of Florida subject to
92	ratification by the Legislature in the form substantially as
93	follows:
94	
95	Gaming Compact Between the Seminole Tribe of Florida and the
96	State of Florida
97	
98	This compact is made and entered into by and between the
99	Seminole Tribe of Florida, a federally recognized Indian Tribe
100	and the State of Florida, with respect to the operation of
101	covered games on the Tribe's Indian lands as defined by the
102	Indian Gaming Regulatory Act, 25 U.S.C. ss. 2701 et seq.
103	
104	PART I.
105	TITLEThis Compact shall be referred to as the "Seminole
106	
TOO	Tribe of Florida and State of Florida Gaming Compact."
107	Tribe of Florida and State of Florida Gaming Compact."
107 108	Tribe of Florida and State of Florida Gaming Compact." <u>PART II.</u>
107 108 109	PART II. RECITALS
107 108 109 110	<u>PART II.</u> <u>RECITALS</u> <u>A. The Seminole Tribe of Florida is a federally recognized</u>
107 108 109	PART II. RECITALS

Page 4 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

113	B. The State of Florida is a state of the United States of
114	America possessing the sovereign powers and rights of a state.
115	C. The State of Florida and the Seminole Tribe of Florida
116	maintain a government-to-government relationship.
117	D. The United States Supreme Court has long recognized the
118	right of an Indian Tribe to regulate activity on lands within
119	its jurisdiction, but the Congress, through the Indian Gaming
120	Regulatory Act, has given states a role in the conduct of tribal
121	gaming in accordance with negotiated tribal-state compacts.
122	E. Pursuant to the Seminole Tribe Amended Gaming
123	Ordinance, adopted by Resolution No. C-195-06, and approved by
124	the National Indian Gaming Commission on July 10, 2006,
125	hereafter referred to as the Seminole Tribal Gaming Code, the
126	Seminole Tribe of Florida desires to offer the play of Covered
127	Games, as defined in Part III. of this Compact, as a means of
128	generating revenues for purposes authorized by the Indian Gaming
129	Regulatory Act, including without limitation the support of
130	tribal governmental programs, such as health care, housing,
131	sewer and water projects, police, fire suppression, general
132	assistance for tribal elders, day care for children, economic
133	development, educational opportunities, per capita payments to
134	tribal members and other typical and valuable governmental
135	services and programs for tribal members.
136	F. It is in the best interest of the State of Florida to
137	enter into a compact with the Seminole Tribe of Florida. This
138	compact will generally benefit Florida, while at the same time
139	limiting the expansion of gaming within the State. The State of
140	Florida also recognizes that the significant revenue
I	Dara E of 4E

Page 5 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

	F	L	0	R		D	А		Н	0	U	S	Е		0	F		R	Е	Ρ	R	Е	S	Е	Ν	Т	· A	۹.	Т	I.	V	Е	S
--	---	---	---	---	--	---	---	--	---	---	---	---	---	--	---	---	--	---	---	---	---	---	---	---	---	---	-----	----	---	----	---	---	---

HB	7129
----	------

2009

141	participation pursuant to the Compact in exchange for its
142	exclusivity provisions provide an opportunity to increase and
143	enhance the dollars available to spend on governmental programs
144	that benefit the citizens of Florida.
145	
146	PART III.
147	DEFINITIONSAs used in this Compact and the Appendices
148	thereto:
149	A. "Annual Oversight Assessment" means the assessment
150	described in Part XI., Section C. of this Compact.
151	B. "Class III gaming" means the forms of Class III gaming
152	defined in 25 U.S.C. s. 2703(8) and by the regulations of the
153	National Indian Gaming Commission.
154	C. "Commission" means the Seminole Tribal Gaming
155	Commission, which is the tribal governmental agency that has the
156	authority to carry out the Tribe's regulatory and oversight
157	responsibilities under this Compact.
158	D. "Compact" means the Seminole Tribe of Florida and State
159	of Florida Gaming Compact.
160	E. "Covered Game" or "Covered Gaming Activity" means the
161	following Class III gaming activities:
162	1.(a) Slot machines, meaning any mechanical or electrical
163	contrivance, terminal that may or may not be capable of
164	downloading slot games from a central server system, machine, or
165	other device that, upon insertion of a coin, bill, ticket,
166	token, or similar object or upon payment of any consideration
167	whatsoever, including the use of any electronic payment system,
168	except a credit card or debit card, is available to play or
I	Page 6 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

FLORIDA HOUSE OF REPRESEN	ΤΑΤΙΥΕS
---------------------------	---------

169 operate, the play or operation of which, whether by reason of 170 skill or application of the element of chance or both, may 171 deliver or entitle the person or persons playing or operating 172 the contrivance, terminal, machine, or other device to receive 173 cash, billets, tickets, tokens, or electronic credits to be 174 exchanged for cash or to receive merchandise or anything of 175 value whatsoever, whether the payoff is made automatically from 176 the machine or manually. The term includes associated equipment 177 necessary to conduct the operation of the contrivance, terminal, machine, or other device. Slot machines may use spinning reels, 178 179 video displays, or both. 180 (b) If at any time, State law authorizes alters, amends, 181 or otherwise changes the definition of slot machines said 182 definition will apply. 2. High stakes poker games, as provided in Part V., 183 184 Section K.; and 185 3. This definition specifically does not include banking 186 or banked card games, including baccarat, chemin de fer and 187 blackjack, roulette, craps, roulette-styled games, or craps-188 styled games. 189 F. "Covered Game Employee" or "Covered Employee" means any 190 individual employed and licensed by the Tribe whose 191 responsibilities include the rendering of services with respect 192 to the operation, maintenance or management of Covered Games, 193 including, but not limited to, the following: managers and 194 assistant managers; accounting personnel; Commission officers; surveillance and security personnel; cashiers, supervisors, and 195 196 floor personnel; cage personnel; and any other employee whose

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

Page 7 of 45

FLORIDA HOUSE OF REPRESEN <sup>-</sup>	TATIVES
--	---------

197 employment duties require or authorize access to areas of the 198 Facility related to the conduct of Covered Games or the 199 technical support or storage of Covered Game components. This 200 definition does not include the Tribe's elected officials 201 provided that such individuals are not directly involved in the 202 operation, maintenance, or management of Covered Games or 203 Covered Games components. 204 G. "Documents" means books, records, electronic, magnetic 205 and computer media documents and other writings and materials, copies thereof, and information contained therein. 206 207 H. "Effective Date" means the date on which the Compact 208 becomes effective pursuant to Part XVII., Section A. of this 209 Compact. 210 I. "Facility" or "Facilities" means any building of the 211 Tribe in which the Covered Games authorized by this Compact are 212 conducted on Indian lands as defined by the Indian Gaming 213 Regulatory Act. 214 J. "Guaranteed Minimum Payment" means the minimum Payment 215 the Tribe agrees to make to the State as provided by Part XI. of 216 the Compact. 217 "Indian Gaming Regulatory Act" or "IGRA" means the Κ. 218 Indian Gaming Regulatory Act, Pub. L. No. 100-497, Oct. 17, 219 1988, 102 Stat. 2467, codified at 25 U.S.C. ss. 2701 et seq., 220 and 18 U.S.C. ss. 1166-1168. 221 L. "Net Poker Income" means the total revenue from all 222 hands played, including buy-ins and rebuys. 223 M. "Net Win" means the total receipts from the play of all 224 Covered Games less all prize payouts.

Page 8 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

225 "Non-tribal member" means a person who is not a bona Ν. 226 fide member of an Indian tribe as defined in 25 U.S.C. s. 227 2703(5). 228 O. "Patron" means any person who is on the premises of a 229 Facility, or who is entering the Tribe's Indian lands for the 230 purpose of playing Covered Games authorized by this Compact. 231 Ρ. "Reservation" means any of the seven Tribal locations currently with gaming facilities, specifically enumerated in 232 Part IV., Section B. 233 Q. "Revenue Share" means the periodic payment by the Tribe 234 to the State provided for in Part XI., Sections A. and B. of 235 236 this Compact. 237 R. "Revenue Sharing Cycle" means the annual (12-month) period of the Tribe's operation of Covered Games in its 238 239 Facilities and whose first annual Cycle shall commence on the day the Tribe makes Covered Games available for public play in 240 241 its Facilities. 242 S. "Rules and regulations" means the rules and regulations 243 promulgated by the Commission for implementation of this 244 Compact. 245 T. "State" means the State of Florida. 246 U. "State Compliance Agency" or "SCA" means any state 247 agency that has the authority granted by the Legislature to 248 carry out the State's oversight responsibilities under this 249 Compact. The SCA shall be the Governor or his designee unless 250 and until an SCA has been designated by the Legislature for this 251 purpose.

### Page 9 of 45

CODING: Words stricken are deletions; words underlined are additions.

	F	L	0	R		D	А	Н	0	U	S	Е	0	F	R	Е	Ρ	R	Е	S	Е	Ν	Т	Α	Т		V	Е	S
--	---	---	---	---	--	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	--	---	---	---

2009

252	V. "Tribe" means the Seminole Tribe of Florida or any
253	affiliate thereof conducting activities pursuant to this Compact
254	under the authority of the Seminole Tribe of Florida.
255	
256	PART IV.
257	AUTHORIZATION AND LOCATION OF COVERED GAMES
258	A. The Tribe and State agree that the Tribe is authorized
259	to operate Covered Games on its Indian lands, as defined in the
260	Indian Gaming Regulatory Act, in accordance with the provisions
261	of this Compact. However, except for the provisions in Part XI.,
262	Section A. below, nothing in this Compact shall limit the
263	Tribe's right to operate any game that is Class II under the
264	Indian Gaming Regulatory Act.
265	B. The Tribe is authorized to conduct Covered Games under
266	this Compact at only the following seven existing gaming
267	Facilities on Tribal lands:
268	1. Seminole Indian Casino on the Brighton Indian
269	Reservation in Okeechobee County.
270	2. Seminole Indian Casino in the City of Coconut Creek in
271	Broward County.
272	3. Seminole Indian Casino in the City of Hollywood in
273	Broward County.
274	4. Seminole Indian Casino in Immokalee in Collier County.
275	5. Seminole Indian Big Cypress Casino in the City of
276	Clewiston in Hendry County.
277	6. Seminole Hard Rock Hotel & Casino in the City of
278	Hollywood in Broward County.
1	Page 10 of 15

# Page 10 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

	F	L	0	R		D	А	H	ł	0	U	S	Е	(	С	F		R	Е	Ρ	R	Е	S	Е	Ν	Т	Α	٦	Γ	I '	V	Е	S
--	---	---	---	---	--	---	---	---	---	---	---	---	---	---	---	---	--	---	---	---	---	---	---	---	---	---	---	---	---	-----	---	---	---

279 7. Seminole Hard Rock Hotel & Casino in the City of Tampa 280 in Hillsborough County. 281 C. Any of the identified Facilities in Section B. may be 282 expanded or replaced by another Facility on the same reservation 283 with advance notice to the State of sixty (60) calendar days, 284 subject to the understanding that the number of existing 285 Facilities on each reservation and the number of reservations 286 upon which Class III gaming is authorized shall remain the same 287 as provided in Section B. 288 289 PART V. 290 RULES AND REGULATIONS; MINIMUM REQUIREMENTS FOR OPERATIONS.--291 292 A. At all times during the Term of this Compact, the Tribe 293 shall be responsible for all duties which are assigned to it and 294 the Commission under this Compact. The Tribe shall promulgate 295 any rules and regulations necessary to implement this Compact, 296 which at a minimum shall expressly include or incorporate by 297 reference all provisions of this Part and the procedural 298 requirements of Part VI. of this Compact. Nothing in this 299 Compact shall be construed to affect the Tribe's right to amend 300 its rules and regulations, provided that any such amendment shall be in conformity with this Compact and subject to approval 301 302 by the SCA. The SCA may propose additional rules and regulations 303 consistent with and related to the implementation of this 304 Compact to the Commission at any time, and the Commission shall 305 give good faith consideration to such suggestions and shall 306 notify the SCA of its response or action with respect thereto.

Page 11 of 45

CODING: Words stricken are deletions; words underlined are additions.

307	B. All Facilities shall comply with, and all Covered Games
308	approved under this Compact shall be operated in accordance
309	with, the requirements set forth in this Compact, including, but
310	not limited to, those set forth in Sections C. and D. of this
311	Part and the Tribe's Internal Control Policies and Procedures.
312	In addition, all Facilities and all Covered Games shall be
313	operated in strict compliance with tribal internal control
314	standards that provide a level of control that equals or exceeds
315	those set forth in the National Indian Gaming Commission's
316	Minimum Internal Control Standards (25 C.F.R. Part 542), as the
317	same may be amended or supplemented from time to time.
318	C. The Tribe and the Commission shall retain all records
319	in compliance with the requirements set forth in the Record
320	Retention Policies and Procedures.
321	D. The Tribe will continue and maintain its program to
322	combat problem gambling and curtail compulsive gambling,
323	including work with the Florida Council on Compulsive Gambling
324	or other organization dedicated to assisting problem gamblers.
325	The Tribe will continue to maintain the following safeguards
326	against problem gambling.
327	1. The Tribe will provide a comprehensive training and
328	education program designed in cooperation with the Florida
329	Council on Compulsive Gambling (or other organization dedicated
330	to assisting problem gamblers) to every new gaming employee.
331	2. The Tribe will make printed materials available to
332	Patrons, which include contact information for the Florida
333	Council on Compulsive Gambling 24-Hour Helpline (or other
334	hotline dedicated to assisting problem gamblers), and will work
	Dage 12 of 15



CODING: Words stricken are deletions; words <u>underlined</u> are additions.

FLORIDA HOUSE OF REPRESENTATIVES
----------------------------------

335 with the Florida Council on Compulsive Gambling (or other organization dedicated to assisting problem gamblers) to provide 336 337 contact information for the Florida Council on Compulsive 338 Gambling (or other organization dedicated to assisting problem 339 gamblers), and to provide such information on the Facilities' 340 internet website. The Tribe will continue to display all 341 literature from the Florida Council on Compulsive Gambling (or 342 other organization dedicated to assisting problem gamblers) 343 within the Facilities. 3. The Commission shall establish a list of the Patrons 344 voluntarily excluded from the Tribe's Facilities, pursuant to 345 346 subsection 5. 347 4. The Tribe shall employ its best efforts to exclude 348 Patrons on such list from entry into its Facilities; provided 349 that nothing in this Compact shall create for Patrons who are 350 excluded but gain access to the Facilities, or any other person, 351 a cause of action or claim against the State, the Tribe or the 352 Commission or any other person, entity, or agency for failing to 353 enforce such exclusion. 354 5. Patrons who believe they may be playing Covered Games 355 on a compulsive basis may request that their names be placed on 356 the list of the Patrons voluntarily excluded from the Tribe's 357 Facilities. 358 6. All Covered Game employees shall receive training on 359 identifying players who have a problem with compulsive gambling and shall be instructed to ask them to leave. Signs bearing a 360 361 toll-free help-line number and educational and informational 362 materials shall be made available at conspicuous locations and

### Page 13 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

FLORIDA HOUSE OF REPRESEN	ΤΑΤΙΥΕS
---------------------------	---------

363 automated teller machines in each Facility, which aim at the prevention of problem gaming and which specify where Patrons may 364 365 receive counseling or assistance for gambling problems. All 366 Covered Game employees shall also be screened for compulsive 367 gambling habits. Nothing in this Section shall create for 368 Patrons, or any other person, a cause of action or claim against 369 the State, the Tribe or the Commission or any other person, 370 entity, or agency for failing to identify a Patron or person who 371 is a compulsive gambler and/or ask that person to leave. 372 7. The Tribe shall follow the rules for exclusion of 373 Patrons set forth in Article XI of the Seminole Tribal Gaming 374 Code. 375 8. The Tribe shall make diligent efforts to prevent 376 underage individuals from loitering in the area of each Facility 377 where the Covered Games take place. 378 9. The Tribe shall assure that advertising and marketing 379 of the Covered Games at the Facilities contain a responsible 380 gambling message and a toll-free help-line number for problem 381 gamblers, where practical, and that they make no false or 382 misleading claims. 383 Summaries of the rules for playing Covered Games and Ε. 384 promotional contests shall be visibly displayed in the 385 Facilities. Complete sets of rules shall be available in the Facilities upon request. Copies of all such rules shall be 386 387 provided to the SCA within thirty (30) calendar days of their 388 issuance or their amendment. 389 F. The Tribe shall provide the Commission and SCA with a 390 chart of the supervisory lines of authority with respect to

Page 14 of 45

CODING: Words stricken are deletions; words underlined are additions.

391 those directly responsible for the conduct of Covered Games, and 392 shall promptly notify those agencies of any material changes 393 thereto. 394 G. The Tribe engages in and shall continue to maintain 395 proactive approaches to prevent improper alcohol sales, drunk 396 driving, underage drinking, and underage gambling. These 397 approaches involve intensive staff training, screening and certification, Patron education, and the use of security 398 399 personnel and surveillance equipment in order to enhance 400 Patrons' enjoyment of the Facilities and provide for Patron 401 safety. Staff training includes specialized employee training in 402 nonviolent crisis intervention, driver's license verification 403 and the detection of intoxication. Patron education is carried 404 out through notices transmitted on valet parking stubs, posted 405 signs in the Facilities and in brochures. Roving and fixed 406 security officers, along with surveillance cameras, assist in 407 the detection of intoxicated Patrons, investigate problems, and 408 engage with Patrons to de-escalate volatile situations. To help 409 prevent alcohol-related crashes, the Tribe will continue to 410 operate the "Safe Ride Home Program," a free taxi service. 411 Additionally, to reduce risks of underage gambling and underage 412 drinking, the Tribe will continue to prohibit entry onto the 413 casino floor of anyone under eighteen (18) years of age. The 414 Tribe shall maintain these programs and policies in its Alcohol 415 Beverage Control Act for the duration of the Compact but may 416 replace such programs and policies with either stricter or more 417 extensive programs and policies. The Tribe shall provide the 418 State with written notice of any changes to the programs and

Page 15 of 45

CODING: Words stricken are deletions; words underlined are additions.

FLORIDA HOUSE OF REPRESEN <sup>-</sup>	TATIVES
--	---------

2009

419	policies in the Tribe's Alcohol Beverage Control Act, which
420	notice shall include a copy of such changes and shall be sent on
421	or before the effective date of the change. Nothing in this
422	Section shall create for Patrons, or any other person, a cause
423	of action or claim against the State, the Tribe or the
424	Commission or any other person, entity, or agency for failing to
425	fulfill the requirements of this Section.
426	H. No person under twenty-one (21) years of age shall be
427	allowed to play Covered Games.
428	I. The Tribe may establish and operate Facilities that
429	operate Covered Games only on the reservations as defined by the
430	Indian Gaming Regulatory Act and as specified in Part IV. of
431	this Compact.
432	J. The Commission shall keep a record of, and shall report
433	at least quarterly to the SCA, the number of Covered Games in
433 434	at least quarterly to the SCA, the number of Covered Games in each Facility, by the name or type of each and its identifying
434	each Facility, by the name or type of each and its identifying
434 435	each Facility, by the name or type of each and its identifying number.
434 435 436	each Facility, by the name or type of each and its identifying number. K. The Tribe presently conducts and shall continue to
434 435 436 437	each Facility, by the name or type of each and its identifying <u>number</u> . <u>K. The Tribe presently conducts and shall continue to</u> <u>conduct poker in each of its Facilities in compliance with</u> <u>provisions of Florida law, including provisions that limit</u>
434 435 436 437 438	each Facility, by the name or type of each and its identifying <u>number</u> . <u>K. The Tribe presently conducts and shall continue to</u> <u>conduct poker in each of its Facilities in compliance with</u> <u>provisions of Florida law, including provisions that limit</u>
434 435 436 437 438 439	each Facility, by the name or type of each and its identifying <u>number</u> . <u>K. The Tribe presently conducts and shall continue to</u> <u>conduct poker in each of its Facilities in compliance with</u> <u>provisions of Florida law, including provisions that limit</u> <u>wagers and pot sizes. However, the Tribe may hold up to two (2)</u>
434 435 436 437 438 439 440	each Facility, by the name or type of each and its identifying <u>number</u> . <u>K. The Tribe presently conducts and shall continue to</u> <u>conduct poker in each of its Facilities in compliance with</u> <u>provisions of Florida law, including provisions that limit</u> <u>wagers and pot sizes. However, the Tribe may hold up to two (2)</u> <u>celebrity/charity poker tournaments per year in each of its</u>
434 435 436 437 438 439 440 441	each Facility, by the name or type of each and its identifying <u>number</u> . <u>K. The Tribe presently conducts and shall continue to</u> <u>conduct poker in each of its Facilities in compliance with</u> <u>provisions of Florida law, including provisions that limit</u> <u>wagers and pot sizes. However, the Tribe may hold up to two (2)</u> <u>celebrity/charity poker tournaments per year in each of its</u> <u>Facilities that are not subject to the limitations and</u>
434 435 436 437 438 439 440 441 442	each Facility, by the name or type of each and its identifying <u>number</u> . <u>K. The Tribe presently conducts and shall continue to</u> <u>conduct poker in each of its Facilities in compliance with</u> <u>provisions of Florida law, including provisions that limit</u> <u>wagers and pot sizes. However, the Tribe may hold up to two (2)</u> <u>celebrity/charity poker tournaments per year in each of its</u> <u>Facilities that are not subject to the limitations and</u> <u>restrictions imposed by Florida law, provided that a minimum of</u>
434 435 436 437 438 439 440 441 442 443	each Facility, by the name or type of each and its identifying <u>number</u> . <u>K. The Tribe presently conducts and shall continue to</u> <u>conduct poker in each of its Facilities in compliance with</u> <u>provisions of Florida law, including provisions that limit</u> <u>wagers and pot sizes. However, the Tribe may hold up to two (2)</u> <u>celebrity/charity poker tournaments per year in each of its</u> <u>Facilities that are not subject to the limitations and</u> <u>restrictions imposed by Florida law, provided that a minimum of</u> <u>one hundred percent (100 percent) of the Net Poker Income from</u>

# Page 16 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

FLORIDA HOUSE OF REPRESEN	ΤΑΤΙΥΕS
---------------------------	---------

447 will be played is eight (8) calendar days during the month a 448 tournament is hosted. Any payments made to charitable 449 organizations pursuant to this Part shall not be calculated as 450 Net Win for purpose of payments to the State under Part XI. 451 The Tribe and the Commission shall make available a L. 452 copy of the following documents to any member of the public upon 453 request: the minimum internal control standards of the National 454 Indian Gaming Commission; the Seminole Tribal Gaming Code; this 455 Compact; the rules of each Covered Game operated by the Tribe; 456 and the administrative procedures for addressing Patron tort 457 claims under Part VI. 458 M. Cessation of Banking or Banked Card Games. The Tribe 459 shall stop all banked card games within ninety (90) days after 460 the effective date of this Compact. 461 462 PART VI. 463 PATRON DISPUTES; WORKERS COMPENSATION: TORT CLAIMS; PRIZE 464 CLAIMS; LIMITED CONSENT TO SUIT .--465 A. All patron disputes involving gaming will be resolved 466 in accordance with the procedures established in Article XI of 467 the Seminole Tribal Gaming Code. 468 Tort claims by employees of the Tribe's Facilities will в. 469 be handled pursuant to the provisions of the Tribe's Workers' 470 Compensation Ordinance, which shall provide workers the same or 471 better protections as set forth in Florida's workers 472 compensation laws. C. Disputes by employees of the Tribe's Facilities will be 473 474 handled pursuant to the provisions of the Tribe's policy for Page 17 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

475 gaming employees, the Employee Fair Treatment and Dispute 476 Resolution Policy. 477 D.1. A Patron who claims to have been injured in a 478 Facility where Covered Games are played is required to provide 479 written notice to the Tribe's Risk Management Department or the 480 Facility, in a reasonable and timely manner. 481 2. The Tribe shall have ten (10) days to respond to a 482 claim made by a Patron. When the Tribe responds to an incident 483 alleged to have caused a Patron's injury or illness, the Tribe 484 shall provide a claim form to the Patron. It is the Patron's 485 responsibility to complete the form and forward the form to the 486 Tribe's Risk Management Department within a reasonable period of 487 time, and in a reasonable and timely manner. 488 3. Upon receiving written notification of the claim, the 489 Tribe's Risk Management Department shall forward the 490 notification to the Tribe's insurance carrier. The Tribe will 491 use its best efforts to assure that the insurance carrier 492 contacts the Patron within a reasonable period of time following 493 receipt of the claim. 4. The insurance carrier will handle the claim to 494 495 conclusion. If the Patron and the insurance carrier are not able 496 to resolve the claim, the Patron may bring a tort claim against 497 the Tribe in any court of competent jurisdiction in the County 498 in which the incident occurred, subject to a four (4) year 499 statute of limitations, which shall begin to run from the date 500 of the incident of the alleged claimed injury. Nothing in this 501 Part shall preclude a patron asserting a tort claim against the

Page 18 of 45

CODING: Words stricken are deletions; words underlined are additions.

502 Tribe from immediately filing suit in any court of competent 503 jurisdiction without resorting to or exhausting tribal remedies 504 5. In no event shall the Tribe be deemed to have waived 505 its tribal immunity from suit beyond \$500,000 for an individual 506 tort claim and \$1,000,000 for the tort claims of all persons or 507 entities claiming injury in tort arising out of a single event 508 or occurrence. These limitations are intended to include 509 liability for compensatory damages as well as any costs, pre-510 judgment interest and attorneys fees arising out of any claim 511 brought or asserted against the Tribe, its subordinate 512 governmental and economic units as well as any Tribal officials, 513 employees, servants or agents in their official capacities. 514 6. The Tribe shall obtain and maintain a commercial 515 general liability policy which provides coverage of no less than 516 \$1,000,000 per occurrence and \$10,000,000 in the aggregate for 517 bodily injury, personal injury, and property damage arising out 518 of, connected with, or relating to the operation of Facilities 519 where Covered Games are offered. 520 7. Notices explaining the procedures and time limitations 521 with respect to making a tort claim shall be prominently 522 displayed in the Facilities, posted on the Tribe's website, and 523 provided to any Patron for whom the Tribe has notice of the 524 injury or property damage giving rise to the tort claim. Such 525 notices shall explain the method and places for making a tort 526 claim. 527 528 PART VII. 529 ENFORCEMENT OF COMPACT PROVISIONS. --Page 19 of 45

CODING: Words stricken are deletions; words underlined are additions.

530 The Tribe and the Commission shall be responsible for Α. 531 regulating activities pursuant to this Compact. As part of its 532 responsibilities, the Tribe has adopted or issued standards 533 designed to ensure that the Facilities are constructed, operated 534 and maintained in a manner that adequately protects the 535 environment and public health and safety. Additionally, the 536 Tribe shall ensure that: 537 1. Operation of the conduct of Covered Games is in strict 538 compliance with (i) the Seminole Tribal Gaming Code, (ii) all 539 rules, regulations, procedures, specifications, and standards 540 lawfully adopted by the National Indian Gaming Commission and 541 the Commission, and (iii) the provisions of this Compact, including, but not limited to, the standards and the Tribe's 542 543 rules and regulations set forth in the Appendices; 544 2. Reasonable measures are taken to: 545 (a) Assure the physical safety of Facility Patrons, 546 employees, and any other person while in the Facility; 547 Prevent illegal activity at the Facilities or with (b) 548 regard to the operation of Covered Games, including, but not 549 limited to, the maintenance of employee procedures and a 550 surveillance system; 551 Ensure prompt notification is given to appropriate law (C) 552 enforcement authorities of persons who may be involved in 553 illegal acts in accordance with applicable law; 554 (d) Ensure that the construction and maintenance of the 555 Facilities comply with the standards of the Florida Building 556 Code, the provisions of which the Tribe has adopted as the 557 Seminole Tribal Building Code; and

Page 20 of 45

CODING: Words stricken are deletions; words underlined are additions.

558 (e) Ensure adequate emergency access plans have been 559 prepared to ensure the health and safety of all Covered Game 560 Patrons. 561 B. All licenses for members and employees of the 562 Commission shall be issued according to the same standards and 563 terms applicable to Facility employees. The Commission's 564 compliance officers shall be independent of the Tribal gaming 565 operations, and shall be supervised by and accountable only to the Commission. A Commission compliance officer shall be 566 567 available to the Facility during all hours of operation upon 568 reasonable notice, and shall have immediate access to any and 569 all areas of the Facility for the purpose of ensuring compliance 570 with the provisions of this Compact. The Commission shall 571 investigate any such suspected or reported violation of this 572 Part and shall officially enter into its files timely written 573 reports of investigations and any action taken thereon, and 574 shall forward copies of such investigative reports to the SCA within thirty (30) calendar days of such filing. The scope of 575 576 such reporting shall be determined by a Memorandum of 577 Understanding between the Commission and the SCA as soon as 578 practicable after the Effective Date of this Compact. Any such 579 violations shall be reported immediately to the Commission, and 580 the Commission shall immediately forward the same to the SCA. In 581 addition, the Commission shall promptly report to the SCA any 582 such violations which it independently discovers. 583 C. In order to develop and foster a positive and effective 584 relationship in the enforcement of the provisions of this 585 Compact, representatives of the Commission and the SCA shall

```
Page 21 of 45
```

CODING: Words stricken are deletions; words underlined are additions.

FLORIDA HOUSE OF REPRESENTATIVES	F	L	0	R		D	А		Н	0	U	S	Е	0	F	F	2	Е	Р	R	Е	S	Е	Ν	Т	Α	Т		V	Е	S
----------------------------------	---	---	---	---	--	---	---	--	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	--	---	---	---

587and examine methods to improve the regulatory scheme created by this Compact. The meetings shall take place at a location588this Compact. The meetings shall take place at a location589mutually agreed to by the Commission and the SCA. The SCA, print to or during such meetings, shall disclose to the Commission and concerns, suspected activities, or pending matters reasonably592believed to possibly constitute violations of this Compact by any person, organization or entity, if such disclosure will not compromise the interest sought to be protected.593any person, organization or entity, if such disclosure will not compromise the interest sought to be protected.594STATE MONITORING OF COMPACT A. The State may secure an annual independent financial audit of the conduct of Covered Games subject to this Compact.600The audit shall examine revenues in connection with the conduct of Covered Games and shall include only those matters necessary to verify the determination of Net Win and the basis and amount of, and the right to, and the amount of the Payments the Tribe is obligated to make to the State pursuant to Part XI. of this Compact and as defined by this Compact. A copy of the audit report for the conduct of Covered Games shall be submitted to the Commission within thirty (30) calendar days of completion.608Representatives of the SCA may, upon request, meet with the	on an annual basis, to review past practices
589mutually agreed to by the Commission and the SCA. The SCA, prist590to or during such meetings, shall disclose to the Commission at591concerns, suspected activities, or pending matters reasonably592believed to possibly constitute violations of this Compact by593any person, organization or entity, if such disclosure will not594compromise the interest sought to be protected.595596PART VIII.597STATE MONITORING OF COMPACT598A. The State may secure an annual independent financial599audit of the conduct of Covered Games subject to this Compact.600The audit shall examine revenues in connection with the conduct601of Covered Games and shall include only those matters necessary602to verify the determination of Net Win and the basis and amount603of, and the right to, and the amount of the Payments the Tribe604is obligated to make to the State pursuant to Part XI. of this605Compact and as defined by this Compact. A copy of the audit606report for the conduct of Covered Games shall be submitted to607the Commission within thirty (30) calendar days of completion.608Representatives of the SCA may, upon request, meet with the	to improve the regulatory scheme created by
590to or during such meetings, shall disclose to the Commission at concerns, suspected activities, or pending matters reasonably believed to possibly constitute violations of this Compact by any person, organization or entity, if such disclosure will no compromise the interest sought to be protected.593any person, organization or entity, if such disclosure will no compromise the interest sought to be protected.594EART VIII.595596596PART VIII.597STATE MONITORING OF COMPACT A. The State may secure an annual independent financial audit of the conduct of Covered Games subject to this Compact.600The audit shall examine revenues in connection with the conduct of Covered Games and shall include only those matters necessary602to verify the determination of Net Win and the basis and amount of, and the right to, and the amount of the Payments the Tribe is obligated to make to the State pursuant to Part XI. of this Compact and as defined by this Compact. A copy of the audit report for the conduct of Covered Games shall be submitted to the Commission within thirty (30) calendar days of completion.608Representatives of the SCA may, upon request, meet with the	eetings shall take place at a location
concerns, suspected activities, or pending matters reasonably believed to possibly constitute violations of this Compact by any person, organization or entity, if such disclosure will not compromise the interest sought to be protected. 596 PART VIII. 597 STATE MONITORING OF COMPACT 598 A. The State may secure an annual independent financial audit of the conduct of Covered Games subject to this Compact. 600 601 602 602 603 604 604 605 605 605 606 606 607 607 607 608 608 607 609 609 600 600 600 601 601 602 602 603 604 604 604 605 605 606 606 607 607 607 608 608 609 609 609 600 600 600 601 601 602 602 603 604 604 604 605 605 606 606 607 607 607 608 608 609 609 609 600 600 600 601 601 602 602 603 604 604 604 605 605 606 607 607 607 608 608 609 609 609 600 601 601 601 602 602 603 603 604 604 604 605 605 606 607 607 607 607 608 608 608 609 609 609 609 609 600 600 601 601 602 602 603 603 604 604 604 604 605 605 606 606 607 607 608 608 608 609 609 609 609 609 609 609 609 609 6	by the Commission and the SCA. The SCA, prior
592believed to possibly constitute violations of this Compact by593any person, organization or entity, if such disclosure will not594compromise the interest sought to be protected.595596596PART VIII.597STATE MONITORING OF COMPACT598A. The State may secure an annual independent financial599audit of the conduct of Covered Games subject to this Compact.600The audit shall examine revenues in connection with the conduct601of Covered Games and shall include only those matters necessary602to verify the determination of Net Win and the basis and amount603of, and the right to, and the amount of the Payments the Tribe604is obligated to make to the State pursuant to Part XI. of this605Compact and as defined by this Compact. A copy of the audit606report for the conduct of Covered Games shall be submitted to607the Commission within thirty (30) calendar days of completion.608Representatives of the SCA may, upon request, meet with the	eetings, shall disclose to the Commission any
any person, organization or entity, if such disclosure will not compromise the interest sought to be protected. 595 596 <u>PART VIII.</u> 597 <u>STATE MONITORING OF COMPACT</u> 598 <u>A. The State may secure an annual independent financial</u> audit of the conduct of Covered Games subject to this Compact. 600 The audit shall examine revenues in connection with the conduct 601 602 602 603 604 604 604 605 604 605 605 606 606 607 607 607 608 608 608 609 609 600 601 601 602 603 604 604 604 605 604 605 605 606 606 606 607 607 608 608 608 609 609 600 600 600 601 601 602 603 604 604 604 604 605 605 606 606 607 607 607 608 608 608 609 609 609 600 600 600 601 601 602 602 603 604 604 604 605 604 605 605 606 606 607 607 608 608 608 608 609 609 600 600 600 600 601 601 602 602 603 603 604 604 604 604 605 605 606 607 607 608 608 608 608 608 608 609 609 609 609 609 600 600 600 600 600 600 601 601 602 602 603 603 604 604 604 604 604 605 605 606 607 608 608 608	activities, or pending matters reasonably
Solution of the set of the	y constitute violations of this Compact by
595596597598A. The State may secure an annual independent financial599audit of the conduct of Covered Games subject to this Compact.600601602603604604605606606607608608609609601602603604605606607608608609609601602603604605606607608608609609601602603604605605606607608608609609600601602603604605606607608609609600601602603604604605606607608608609609609609609609609609609609609609609609609	ation or entity, if such disclosure will not
596PART VIII.597STATE MONITORING OF COMPACT598A. The State may secure an annual independent financial599audit of the conduct of Covered Games subject to this Compact.600The audit shall examine revenues in connection with the conduct601of Covered Games and shall include only those matters necessary602to verify the determination of Net Win and the basis and amount603of, and the right to, and the amount of the Payments the Tribe604is obligated to make to the State pursuant to Part XI. of this605Compact and as defined by this Compact. A copy of the audit606report for the conduct of Covered Games shall be submitted to607the Commission within thirty (30) calendar days of completion.608Representatives of the SCA may, upon request, meet with the	rest sought to be protected.
597STATE MONITORING OF COMPACT598A. The State may secure an annual independent financial599audit of the conduct of Covered Games subject to this Compact.600The audit shall examine revenues in connection with the conduct601of Covered Games and shall include only those matters necessary602to verify the determination of Net Win and the basis and amount603of, and the right to, and the amount of the Payments the Tribe604is obligated to make to the State pursuant to Part XI. of this605Compact and as defined by this Compact. A copy of the audit606report for the conduct of Covered Games shall be submitted to607the Commission within thirty (30) calendar days of completion.608Representatives of the SCA may, upon request, meet with the	
A. The State may secure an annual independent financial audit of the conduct of Covered Games subject to this Compact. The audit shall examine revenues in connection with the conduct of Covered Games and shall include only those matters necessary to verify the determination of Net Win and the basis and amount of, and the right to, and the amount of the Payments the Tribe is obligated to make to the State pursuant to Part XI. of this Compact and as defined by this Compact. A copy of the audit report for the conduct of Covered Games shall be submitted to the Commission within thirty (30) calendar days of completion. Representatives of the SCA may, upon request, meet with the	PART VIII.
audit of the conduct of Covered Games subject to this Compact. The audit shall examine revenues in connection with the conduct of Covered Games and shall include only those matters necessary to verify the determination of Net Win and the basis and amount of, and the right to, and the amount of the Payments the Tribe is obligated to make to the State pursuant to Part XI. of this Compact and as defined by this Compact. A copy of the audit report for the conduct of Covered Games shall be submitted to the Commission within thirty (30) calendar days of completion. Representatives of the SCA may, upon request, meet with the	NG OF COMPACT
The audit shall examine revenues in connection with the conduct of Covered Games and shall include only those matters necessary to verify the determination of Net Win and the basis and amount of, and the right to, and the amount of the Payments the Tribe is obligated to make to the State pursuant to Part XI. of this Compact and as defined by this Compact. A copy of the audit report for the conduct of Covered Games shall be submitted to the Commission within thirty (30) calendar days of completion. Representatives of the SCA may, upon request, meet with the	may secure an annual independent financial
601 of Covered Games and shall include only those matters necessary 602 to verify the determination of Net Win and the basis and amount 603 of, and the right to, and the amount of the Payments the Tribe 604 is obligated to make to the State pursuant to Part XI. of this 605 Compact and as defined by this Compact. A copy of the audit 606 report for the conduct of Covered Games shall be submitted to 607 the Commission within thirty (30) calendar days of completion. 608 Representatives of the SCA may, upon request, meet with the	t of Covered Games subject to this Compact.
602 to verify the determination of Net Win and the basis and amount 603 of, and the right to, and the amount of the Payments the Tribe 604 is obligated to make to the State pursuant to Part XI. of this 605 Compact and as defined by this Compact. A copy of the audit 606 report for the conduct of Covered Games shall be submitted to 607 the Commission within thirty (30) calendar days of completion. 608 Representatives of the SCA may, upon request, meet with the	mine revenues in connection with the conduct
603 of, and the right to, and the amount of the Payments the Tribe 604 is obligated to make to the State pursuant to Part XI. of this 605 Compact and as defined by this Compact. A copy of the audit 606 report for the conduct of Covered Games shall be submitted to 607 the Commission within thirty (30) calendar days of completion. 608 Representatives of the SCA may, upon request, meet with the	d shall include only those matters necessary
<ul> <li>604 is obligated to make to the State pursuant to Part XI. of this</li> <li>605 Compact and as defined by this Compact. A copy of the audit</li> <li>606 report for the conduct of Covered Games shall be submitted to</li> <li>607 the Commission within thirty (30) calendar days of completion.</li> <li>608 Representatives of the SCA may, upon request, meet with the</li> </ul>	mination of Net Win and the basis and amount
<ul> <li>605 <u>Compact and as defined by this Compact. A copy of the audit</u></li> <li>606 <u>report for the conduct of Covered Games shall be submitted to</u></li> <li>607 <u>the Commission within thirty (30) calendar days of completion.</u></li> <li>608 <u>Representatives of the SCA may, upon request, meet with the</u></li> </ul>	o, and the amount of the Payments the Tribe
<ul> <li>606 report for the conduct of Covered Games shall be submitted to</li> <li>607 the Commission within thirty (30) calendar days of completion.</li> <li>608 Representatives of the SCA may, upon request, meet with the</li> </ul>	e to the State pursuant to Part XI. of this
<ul> <li>607 the Commission within thirty (30) calendar days of completion.</li> <li>608 Representatives of the SCA may, upon request, meet with the</li> </ul>	ned by this Compact. A copy of the audit
608 Representatives of the SCA may, upon request, meet with the	uct of Covered Games shall be submitted to
	in thirty (30) calendar days of completion.
	the SCA may, upon request, meet with the
609 Tribe and its auditors to discuss the audit or any matters in	ors to discuss the audit or any matters in
610 connection therewith; provided, such discussions are limited to	h; provided, such discussions are limited to
611 Covered Games information. The annual independent financial	mation. The annual independent financial
612 audit shall be performed by an independent accounting firm, with	ormed by an independent accounting firm, with
613 experience in auditing casino operations, selected by the State	ing casino operations, selected by the State,

# Page 22 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

2009

614	subject to the consent of the Tribe, which shall not be
615	unreasonably withheld. The Tribe shall pay the accounting firm
616	for the costs of the annual independent financial audit.
617	B. The SCA may, pursuant to the provisions of this
618	Compact, monitor the conduct of Covered Games to ensure that the
619	Covered Games are conducted in compliance with the provisions of
620	this Compact. In order to properly monitor the conduct of
621	Covered Games, agents of the SCA without prior notice shall have
622	reasonable access to all public areas of the Facilities related
623	to the conduct of Covered Games as provided herein.
624	1. While the Commission will act as the regulator of the
625	Facilities, the SCA may take reasonable steps to assure that
626	operations at the Facilities comply with the terms of this
627	Compact and may advise on such issues as it deems appropriate.
628	2. In order to fulfill its oversight responsibilities, the
629	State has identified specific oversight testing procedures, set
630	forth below in subsection 3., paragraphs (a), (b), and (c),
631	which the SCA may perform on a routine basis.
632	3.(a) The SCA may inspect any Covered Games in operation
633	at the Facilities on a random basis to confirm that the Covered
634	Games operate and play properly pursuant to the manufacturer's
635	technical standards and are conducted in compliance with the
636	rules, regulations and standards established by the Commission
637	and this Compact. Such random inspections shall occur during
638	normal operating hours. No advance notice is required when the
639	SCA inspection is limited to public areas of the Facility;
640	however, representatives of the SCA shall provide notice to the
641	Commission of their presence for such inspections. The SCA shall
1	

Page 23 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

642 provide at least 1 hour notice to the Commission of such 643 inspection at or prior to the commencement of the random 644 inspections when such inspection will include non-public areas, 645 and a Commission agent may accompany the inspection. 646 (b) For each Facility, the SCA may perform one annual 647 review of the slot machine compliance audit. 648 (c) At least on an annual basis, the SCA may meet with the 649 Tribe's Internal Audit Department for Gaming to review internal 650 controls and violations of same by the Facilities. 651 4. The SCA will seek to work with and obtain the 652 assistance of the Commission in the resolution of any conflicts 653 with the management of the Facilities, and the State and the 654 Tribe shall make their best efforts to resolve disputes through 655 negotiation whenever possible. Therefore, in order to foster a 656 spirit of cooperation and efficiency, the parties hereby agree 657 that when disputes arise between the SCA staff and Commission 658 regulators from the day-to-day regulation of the Facilities, 659 they should generally be resolved first through meeting and 660 conferring in good faith. This voluntary process does not 661 proscribe the right of either party to seek other relief that 662 may be available when circumstances require such relief. In the 663 event of a dispute or disagreement between Tribal and SCA 664 regulators, the dispute or disagreement shall be resolved in accordance with the dispute resolution provisions of Part XIII. 665 666 of this Compact; 667 5. Access to each Facility by the SCA shall be during the Facility's operating hours only, provided that to the extent 668 669 such inspections are limited to areas of the Facility where the

Page 24 of 45

CODING: Words stricken are deletions; words underlined are additions.

670 public is normally permitted, the SCA agents may inspect the 671 Facility without giving prior notice to the Tribe or the 672 Commission; 673 6. Any suspected or claimed violations of this Compact or 674 law shall be directed in writing to the Commission; the SCA 675 agents, in conducting the functions assigned them under this 676 Compact, shall not unreasonably interfere with the functioning 677 of any Facility; and 678 7. Before the SCA agents enter any nonpublic area of a 679 Facility, they shall provide proper prior notice and 680 photographic identification to the Commission. The SCA agents 681 shall be accompanied in nonpublic areas of the Facility by a 682 Commission officer. Notice of at least one (1) hour by the SCA 683 to the Commission is required to assure that a Commission 684 officer is available to accompany the SCA agents at all times. 685 C. Subject to the provisions herein, agents of the SCA 686 shall have the right to review and request copies of documents 687 of the Facility related to its conduct of Covered Games. The 688 review and copying of such documents shall be during normal 689 business hours unless otherwise allowed by the Tribe at the 690 Tribe's discretion. The Tribe cannot refuse said inspection and 691 copying of such documents, provided that the inspectors cannot 692 require copies of documents in such volume that it unreasonably 693 interferes with the normal functioning of the Facilities or 694 Covered Games. To the extent that the Tribe provides the State 695 with information which the Tribe claims to be confidential and proprietary, or a trade secret, the Tribe shall clearly mark 696 697 such information with the following designation: "Trade Secret,

Page 25 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

698 Confidential and Proprietary." If the State receives a request 699 under Chapter 119, Florida Statutes that would include such 700 designated information, the State shall promptly notify the 701 Tribe of such a request and the Tribe shall promptly notify the 702 State about its intent to seek judicial protection from 703 disclosure. Upon such notice from the Tribe, the State shall not 704 release the requested information until a judicial determination 705 is made. This designation and notification procedure does not 706 excuse the State from complying with the requirements of the 707 State's public records law, but is intended to provide the Tribe 708 the opportunity to seek whatever judicial remedy it deems 709 appropriate. Notwithstanding the foregoing procedure, the SCA 710 may provide copies of tribal documents to federal law 711 enforcement and other State agencies or State consultants that 712 the State deems reasonably necessary in order to conduct or 713 complete any investigation of suspected criminal activity in 714 connection with the Tribe's Covered Games or the operation of 715 the Facilities or in order to assure the Tribe's compliance with 716 this Compact. 717 D. At the completion of any SCA inspection or 718 investigation, the SCA may forward a written report thereof to 719 the Commission, containing all pertinent, nonconfidential, 720 nonproprietary information regarding any violation of applicable 721 laws or this Compact which was discovered during the inspection 722 or investigation unless disclosure thereof would adversely 723 impact an investigation of suspected criminal activity. Nothing 724 herein prevents the SCA from contacting tribal or federal law

Page 26 of 45

CODING: Words stricken are deletions; words underlined are additions.

FL (	ORI	ра н	ΟU	SE	ΟF	REP	RES	ΕΝΤΑ	TIVES
------	-----	------	----	----	----	-----	-----	------	-------

2009

725	enforcement authorities for suspected criminal wrongdoing
726	involving the Commission.
727	E. Except as expressly provided in this Compact, nothing
728	in this Compact shall be deemed to authorize the State to
729	regulate the Tribe's government, including the Commission, or to
730	interfere in any way with the Tribe's selection of its
731	governmental officers, including members of the Commission.
732	
733	PART IX.
734	JURISDICTIONThe obligations and rights of the State and
735	the Tribe under this Compact are contractual in nature, and are
736	to be construed and enforced in accordance with the laws of the
737	State of Florida. This Compact shall not alter tribal, federal
738	or state civil adjudicatory or criminal jurisdiction in any way.
739	
740	PART X.
741	LICENSINGThe Tribe and the Commission shall comply with
742	the licensing and hearing requirements set forth in 25 C.F.R.
743	Parts 556 and 558, as well as the applicable licensing and
744	hearing requirements set forth in Articles IV-VI of the Seminole
745	Tribal Gaming Code. The Commission shall notify the SCA of any
746	disciplinary hearings or revocation or suspension of licenses.
747	
748	PART XI.
749	PAYMENTS TO THE STATE OF FLORIDA
750	A. The parties acknowledge and recognize that this Compact
751	provides the Tribe with partial but substantial exclusivity and
752	other valuable consideration consistent with the goals of the
I	Page 27 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

753 Indian Gaming Regulatory Act, including special opportunities 754 for tribal economic development through gaming within the 755 external boundaries of Florida with respect to the play of 756 Covered Games. In consideration thereof, the Tribe covenants and 757 agrees, subject to the conditions agreed upon in Part XII. of 758 this Compact, to make Payments to the State derived from Net Win 759 as set forth in Section B. The Tribe further agrees to convert 760 all of its Class II video bingo terminals (or their equivalents) 761 to Class III slot machines within twenty-four (24) months after 762 the Effective Date of this Compact, or the Payment to the State 763 shall be calculated as if the conversion has been completed, 764 whether or not the Tribe has fully executed its conversion. The 765 Tribe further agrees that it will not purchase or lease any new 766 Class II video bingo terminals (or their equivalents) after the 767 Effective Date of this Compact. 768 в. Payment schedule.--Subject to the provisions in Part 769 XI. of the Compact, and subject to the limitations agreed upon 770 in Part XII. of the Compact, the amounts paid by the Tribe to 771 the State shall be calculated as follows: 772 1. For each Revenue Sharing Cycle, the Tribe agrees to pay 773 not less than a Guaranteed Minimum Payment of One Hundred 774 Million Dollars (\$100,000,000) if the Revenue Share calculated 775 for that Revenue Sharing Cycle under subsection 3., below, is 776 less than the Guaranteed Minimum Payment. 777 2. All Guaranteed Minimum Payments shall be deducted from 778 and credited toward the Revenue Share in each Revenue Sharing 779 Cycle set forth below in subsection 3.

## Page 28 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

780	3. For each Revenue Sharing Cycles, to the extent that the
781	Revenue Share exceeds the Guaranteed Minimum Payment for each
782	Revenue Sharing Cycle, the Tribe agrees, as further provided in
783	subsection 4., to pay a Revenue Share for that Revenue Sharing
784	Cycle equal to eighteen percent (18 percent) of the Net Win
785	received by the Tribe from the operation and play of Covered
786	Games from each Revenue Sharing Cycle.
787	4.(a) On or before the fifteenth day of the month
788	following the first month of the Revenue Sharing Cycle, the
789	Tribe will remit to the State the greater amount of eight and
790	one-third percent (8.3 percent) of the estimated annual Revenue
791	Share or eight and one-third percent (8.3 percent) of the
792	Guaranteed Minimum Payment ("the monthly payment").
793	(b) The Tribe will make available to the State at the time
794	of the monthly payment the basis for the calculation of the
795	Payment.
796	(c) Each month the Tribe will internally "true up" the
797	calculation of the estimated Revenue Share based on the Tribe's
798	un-audited financial statements related to Covered Games.
799	5.(a) On or before the forty-fifth day after the third
800	month, sixth month, ninth month, and twelfth month of Revenue
801	Sharing Cycles three through twenty-five (provided that the
802	twelve (12) month period does not coincide with the Tribe's
803	fiscal year end date as indicated in paragraph (c), the Tribe
804	will provide the State with an audit report by its independent
805	auditors as to the accuracy of the annual Revenue Share
806	calculation.

# Page 29 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

807 (b) For each quarter of these Revenue Sharing Cycles the 808 Tribe agrees to engage its independent auditors to conduct a 809 review of the un-audited net revenue from Covered Games. On or 810 before the one hundred and twentieth day after the end of the 811 Tribe's fiscal year, the Tribe agrees to require its independent 812 auditors to provide an audit report to verify Net Win for 813 Covered Games and the related Payment of the annual Revenue 814 Share to the SCA for State review. 815 (C) If the twelfth month of the Revenue Sharing Cycle does 816 not coincide with the Tribe's fiscal year, the Tribe agrees to 817 require its independent auditors to deduct Net Win from Covered 818 Games for any of the months that are outside of the Revenue 819 Sharing Cycle and to include Net Win from Covered Games for 820 those months which fall outside of the Tribe's audit period but fall within the Revenue Sharing Cycle, prior to issuing the 821 822 audit report. 823 (d) No later than thirty (30) calendar days after the day 824 the audit report is issued, the Tribe will remit to the State 825 any underpayment of the annual Revenue Share, and the State will 826 either reimburse to the Tribe any overpayment of the annual 827 Revenue Share or authorize the overpayment to be deducted from 828 the next monthly payment. 829 C. Payments pursuant to Sections A. and B. above shall be 830 made to the State via electronic funds transfer in a manner 831 directed by the SCA. Payments will be due in accordance with the 832 Payment Schedule set forth in Section B. The appropriation of 833 any Payments received by the State pursuant to this Compact lies 834 within the exclusive prerogative of the Legislature.

### Page 30 of 45

CODING: Words stricken are deletions; words underlined are additions.

I	
835	D. The Annual Oversight Assessment to reimburse the State
836	for the actual costs of the operation of the SCA to perform its
837	monitoring functions as defined in this Compact shall be
838	determined and paid in quarterly installments within thirty (30)
839	calendar days of receipt by the Tribe of an invoice from the
840	SCA. The Tribe reserves the right to audit the invoices on an
841	annual basis, a copy of which will be provided to the SCA, and
842	any discrepancies found therein shall be reconciled within
843	forty-five (45) calendar days of receipt of the audit by the
844	SCA. Out-of-pocket expenses to be incurred by the Governor or
845	his designee performing functions of the SCA unless and until
846	the SCA is designated by the Legislature shall be advanced by
847	the Tribe upon submission of properly documented requests.
848	E. As provided for 25 U.S.C. s. 2710(b)(2)(B)(v), the
849	Tribe agrees to pay to the State an additional amount equal to 5
850	percent of the annual amount set forth in Section B. of this
851	Part, which funds shall be used for the purposes of offsetting
852	the impacts of the Tribe's facilities on the operations of local
853	governments.
854	F. With respect to all payments made by the Tribe to the
855	State that were in any way related to benefits of exclusivity in
856	gaming, which payments were remitted before the effective date
857	of this Compact, such moneys shall be deemed forfeited by the
858	Tribe and released to the State without further obligation or
859	encumbrance. Acceptance and appropriation of such funds does not
860	legitimize, validate, or otherwise ratify any previously
861	proposed compact or the operation of class III games by the

# Page 31 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

FL	0	R	I D	Α	Н	0	U	S	Е	0	F	R	Е	Ρ	R	Е	S	Е	Ν	Т	А	Т		V	Е	S
----	---	---	-----	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	--	---	---	---

2009

862	Tribe for any period prior to the effective date of this
863	Compact.
864	G. Except as expressly provided in this Part and in Part
865	XIV., nothing in this Compact shall be deemed to require the
866	Tribe to make payments of any kind to the State or any of its
867	agencies.
868	
869	PART XII.
870	REDUCTION OF TRIBAL PAYMENTS BECAUSE OF LOSS OF EXCLUSIVITY
871	OR OTHER CHANGES IN FLORIDA LAWThe intent of this Part is to
872	provide the Tribe with the right to operate Covered Games on an
873	exclusive basis throughout the State, subject to the exceptions
874	and provisions set forth below.
875	A. If Class III gaming as defined in this Compact, or
876	other casino-style gambling where the results of such games are
877	determined through the use of a random number generator, that is
878	not presently authorized by or under Florida law is authorized
879	for any location within the State of Florida that is under the
880	jurisdiction of the State, including but not limited to (1)
881	electronically-assisted bingo or pull-tab games or (2) video
882	lottery terminals (VLTs) or any similar games that allow direct
883	operation of the games by customers of the Florida Lottery, any
884	successor entity or any licensee of the Florida Lottery or any
885	successor entity, and such gambling begins to be offered for
886	public or private use, the Payments due the State pursuant to
887	Part XI., Sections A. and B. of this Compact shall cease until
888	such gambling is no longer operated, in which event the Payments

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

889 due the State pursuant to Part XI., Sections A. and B. of this 890 Compact shall resume. 891 The following are exceptions to the exclusivity в. 892 provisions of Section A. above. 893 1. Any Class III gaming authorized by a compact between 894 the State and any other federally recognized tribe pursuant to 895 the Indian Gaming Regulatory Act will not be a breach or other 896 violation of the exclusivity provisions set forth in Section A. 897 above. 898 2. If a citizen's initiative amending the state 899 constitution is passed by the voters of Florida authorizing, 900 subject to approval by local referendum and implementation by 901 the Legislature, the operation of slot machines or other Class 902 III games in a jurisdiction not then authorized for such games 903 under Florida law, and after which any entity begins to offer 904 slot machine play or operates or conducts other Class III games 905 authorized pursuant to the constitutional amendment, such 906 activity will not be a breach or violation of the exclusivity 907 provisions set forth in Section A., so long as the Tribe's total 908 annual Net Win from Covered Games and revenues from its 909 remaining Class II video bingo terminals (or their equivalent) 910 exceeds \$1.37 billion. In the event revenue sharing payments are 911 discontinued pursuant to this subsection, the abatement of the 912 revenue sharing payments shall only extend until the Tribe's 913 total annual Net Win from Covered Games and revenues from Class 914 II video bingo terminals (or their equivalent) again exceeds 915 \$1.37 billion.

### Page 33 of 45

CODING: Words stricken are deletions; words underlined are additions.

916 The conduct of illegal or otherwise unauthorized Class 3. 917 III gaming within the State shall not be considered a breach or 918 other violation of the exclusivity provisions set forth in 919 Section A. above. 920 C. To the extent that the exclusivity provisions of this 921 Part are discontinued and the Tribe's ongoing Payment 922 obligations to the State pursuant to Part XI., Sections A. and 923 B. of this Compact cease, any outstanding Payments that would have been due the State from the Tribe's Facilities prior to the 924 925 breach/violation shall be made within thirty (30) business days 926 after cessation. 927 D. The discontinuation of this Part's exclusivity 928 provisions and the cessation of Payments pursuant to Part XI., 929 Sections A. and B. of this Compact shall not excuse the Tribe 930 from continuing to comply with all other provisions of this 931 Compact, including continuing to pay the State the Annual 932 Oversight Assessment as set forth in Part XI., Section C. of 933 this Compact. Furthermore, the State shall continue to have the 934 right to monitor the Tribe's compliance with the Compact. 935 E. In the event that revenue sharing payments to the State 936 made pursuant to Part XI., Sections A. and B. are discontinued 937 under this Part, the annual amount payable to the State for the 938 impacts to local governments under Part XI., Section E. shall be 939 calculated as the amount paid for the last full revenue sharing 940 year. Such payments shall continue to be calculated in such 941 manner until the revenue sharing payments under Part XI., 942 Sections A. and B. are restored.

### Page 34 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

943 F. Nothing in this Compact is intended to affect the 944 ability of the State Legislature to enact laws either further 945 restricting or expanding gambling on non-tribal lands. 946 947 PART XIII. 948 DISPUTE RESOLUTION. -- In the event that either party to this Compact believes that the other party has failed to comply with 949 950 any requirements of this Compact, or in the event of any dispute 951 hereunder, including, but not limited to, a dispute over the 952 proper interpretation of the terms and conditions of this 953 Compact, the goal of the Parties is to resolve all disputes 954 amicably and voluntarily whenever possible. In pursuit of this 955 goal, the following procedures may be invoked: 956 A. A party asserting noncompliance or seeking an 957 interpretation of this Compact first shall serve written notice 958 on the other party. The notice shall identify the specific 959 Compact provision alleged to have been violated or in dispute 960 and shall specify in detail the asserting party's contention and 961 any factual basis for the claim. Representatives of the Tribe 962 and State shall meet within thirty (30) calendar days of receipt 963 of notice in an effort to resolve the dispute, unless they 964 mutually agree to extend this period. 965 B. A party asserting noncompliance or seeking an 966 interpretation of this Compact under this Part shall be deemed 967 to have certified that to the best of the party's knowledge, 968 information, and belief formed after reasonable inquiry, the 969 claim of noncompliance or the request for interpretation of this 970 Compact is warranted and made in good faith and not for any

Page 35 of 45

CODING: Words stricken are deletions; words underlined are additions.

971 <u>improper purpose, such as to harass or to cause unnecessary</u> 972 <u>delay or the needless incurring of the cost of resolving the</u> 973 dispute.

974 C. If the parties are unable to resolve a dispute through 975 the process specified in Sections A. and B. of this Part, either 976 party can call for mediation under the Commercial Mediation 977 Procedures of the American Arbitration Association (AAA), or any 978 such successor procedures, provided that such mediation does not 979 last more than sixty (60) calendar days, unless an extension to 980 this time limit is mutually agreed to by the parties. The 981 disputes available for resolution through mediation are limited 982 to matters arising under the terms of this Compact.

D. If the parties are unable to resolve a dispute through
 the process specified in Sections A., B., and C. of this Part,
 notwithstanding any other provision of law, the State may bring
 an action against the Tribe in any court of competent
 jurisdiction regarding any dispute arising under this Compact.
 The State is entitled to all remedies available under law or in
 equity.

990 Ε. For purposes of actions based on disputes between the 991 State and the Tribe that arise under this Compact and the 992 enforcement of any judgment resulting therefrom, the Tribe 993 expressly waives its right to assert sovereign immunity from suit and from enforcement of any ensuing judgment, and further 994 995 consents to be sued in federal or state court, including the 996 rights of appeal specified above, as the case may be, provided 997 that (i) the dispute is limited solely to issues arising under 998 this Compact, (ii) there is no claim for monetary damages

### Page 36 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

ممما	
999	(except that payment of any money required by the terms of this
1000	Compact, as well as injunctive relief or specific performance
1001	enforcing a provision of this Compact requiring the payment of
1002	money to the State may be sought), and (iii) nothing herein
1003	shall be construed to constitute a waiver of the sovereign
1004	immunity of the Tribe with respect to any third party that is
1005	made a party or intervenes as a party to the action.
1006	F. The State may not be precluded from pursuing any
1007	mediation or judicial remedy against the Tribe on the grounds
1008	that the State has failed to exhaust its Tribal administrative
1009	remedies.
1010	G. Notwithstanding anything to the contrary in this Part,
1011	any failure of the Tribe to remit the Payments pursuant to the
1012	terms of Part XI. will entitle the State to seek mandatory
1013	injunctive relief in federal or state court, at the State's
1014	election, to compel the Payments after exhausting the dispute
1015	resolution process in Sections A. and B. of this Part.
1016	H. The State shall be entitled to seek immediate
1017	injunctive relief in the event the Tribe offers or continues to
1018	offer Class III games not authorized under this Compact.
1019	I. If the parties are unable to resolve a dispute
1020	involving a claim by the Tribe against the State through the
1021	process specified in Sections A., B., and C. of this Part,
1022	notwithstanding any other provision of law, the Tribe may invoke
1023	non-binding arbitration of the dispute under the Commercial
1024	Arbitration Rules of the American Arbitration Association. The
1025	arbitrators' decision may not be enforced in any court. If the
1026	arbitrators find that the State is not in compliance with the
	Dage 27 of 45

Page 37 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

1027 Compact, the State shall have the opportunity to challenge the 1028 decision of the arbitrators by bringing an independent action 1029 against the Tribe in federal district court ("federal court") 1030 regarding the dispute underlying the arbitration in a district 1031 in which the federal court has venue. If the federal court 1032 declines to exercise jurisdiction, or federal precedent exists 1033 that rules that the federal court would not have jurisdiction 1034 over such a dispute, the State may bring the action in the 1035 Courts of the Seventeenth Judicial Circuit in and for Broward 1036 County, Florida. The State is entitled to all rights of appeal 1037 permitted by law in the court system in which the action is 1038 brought. The State shall be entitled to de novo review of the 1039 arbitrators' decision under this Section. For the purpose of 1040 this Section, the Tribe agrees to waive its immunity as provided in Section E. of this Part. 1041 1042 J. If the arbitrators find that the State is not in 1043 compliance with the Compact and the State fails to file suit as 1044 provided above within sixty (60) calendar days of the 1045 arbitrators' decision or fails to maintain the suit through final judgment, including appeals, without the agreement of the 1046 1047 Tribe, the Tribe may suspend Payment under Part XI. until the 1048 State comes into compliance with the arbitrators' decision. 1049 If the State files suit as provided above and a final Κ. judgment is rendered by the court, the failure of the State to 1050 1051 comply with the judgment shall constitute grounds for the Tribe 1052 to suspend Payment under Part XI. until the State comes into 1053 compliance with the court's judgment. 1054

### Page 38 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

2009

1055	PART XIV.
1056	Collection of Sales Tax on Sales to Non-Tribal Members
1057	A. In addition to the Tribe's payments to the State set
1058	forth in Part XI., the Tribe shall collect and remit to the
1059	Florida Department of Revenue the taxes imposed by Chapter 212,
1060	Florida Statutes, on all sales to non-tribal members, except
1061	those non-tribal members who hold valid exemption certificates
1062	issued by the Florida Department of Revenue, exempting the sales
1063	from taxes imposed by Chapter 212, Florida Statutes.
1064	B. The Tribe shall register with the Department of Revenue
1065	and shall remit to the Department of Revenue the taxes collected
1066	pursuant to Section A. of this Part.
1067	C. The Tribe shall retain for at least a period of five
1068	(5) years records of all sales to non-tribal members which are
1069	subject to taxation under Chapter 212, Florida Statutes. The
1070	Department of Revenue may conduct an audit not more often than
1071	annually in order to verify such collections. The Tribe shall
1072	provide reasonable access during normal operating hours to
1073	records of transactions subject to the taxes collected pursuant
1074	to Section A. of this Part.
1075	D. Any disputes about the amounts collected pursuant to
1076	Section A. of this Part shall be resolved as provided for in
1077	Part XIII. of this Compact. For purposes of this Section, the
1078	Tribe agrees to waive its immunity as provided for in Part
1079	XIII., Section E. of this compact, except that the state may
1080	seek monetary damages limited to the amount of taxes owed.
1081	
1082	PART XV.
I	Page 39 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

2009

1083	CONSTRUCTION OF COMPACT; SEVERANCE; FEDERAL APPROVAL
1084	
1085	competent jurisdiction to be invalid, this Compact will become
1086	null and void. If any provision, part, section, or subsection of
1087	this Compact is determined by a federal district court in
1088	Florida or other court of competent jurisdiction to impose a
1089	mandatory duty on the State of Florida that requires
1090	authorization by the Florida Legislature, the duty conferred by
1091	that particular provision, part, section or subsection shall no
1092	longer be mandatory but will be deemed to be a matter within the
1093	discretion of the Governor or other State officers, subject to
1094	such legislative approval as may be required by Florida law.
1095	B. It is understood that Part XII. of this Compact, which
1096	provides for a cessation of the Payments to the State under Part
1097	XI., does not create any duty on the State of Florida but only a
1098	remedy for the Tribe if Class III gambling under state
1099	jurisdiction is expanded by an act of the Legislature.
1100	C. This Compact is intended to meet the requirements of
1101	the Indian Gaming Regulatory Act as it reads on the Effective
1102	Date of this Compact, and where reference is made to the Indian
1103	Gaming Regulatory Act, or to an implementing regulation thereof,
1104	the reference is deemed to have been incorporated into this
1105	document as if set in full. Subsequent changes to the Indian
1106	Gaming Regulatory Act that diminish the rights of the State or
1107	Tribe may not be applied retroactively to alter the terms of
1108	this Compact, except to the extent that federal law validly
1109	mandates that retroactive application without the respective
1110	consent of the State or Tribe.
I	Page $40 \text{ of } 45$

## Page 40 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

	HB 7129 2009
1111	D. Neither the presence in another tribal-state compact of
1112	language that is not included in this Compact, nor the absence
1113	in this Compact of language that is present in another tribal-
1114	state compact shall be a factor in construing the terms of this
1115	Compact.
1116	E. The parties shall cooperate in seeking approval of this
1117	Compact from the Secretary of the Interior and the parties
1118	further agree that, upon execution, the Tribe shall submit the
1119	Compact to the Secretary forthwith.
1120	
1121	PART XVI.
1122	NOTICESAll notices required under this Compact shall be
1123	given by (i) certified mail, return receipt requested, (ii)
1124	commercial overnight courier service, or (iii) personal
1125	delivery, to the following persons:
1126	A. The Governor.
1127	B. The General Counsel to the Governor.
1128	C. The Chair of the Seminole Tribe of Florida.
1129	D. The General Counsel to the Seminole Tribe of Florida.
1130	
1131	PART XVII.
1132	EFFECTIVE DATE & TERM
1133	A. This Compact shall become effective upon its approval
1134	by the Secretary of the Interior as a tribal-state compact
1135	within the meaning of the Indian Gaming Regulatory Act either by
1136	publication of the notice of approval in the Federal Register or
1137	by operation of law under 25 U.S.C. s. 2710(d)(7)(C).
	Dage 41 of 45

Page 41 of 45

CODING: Words  $\ensuremath{\mbox{stricken}}$  are deletions; words  $\ensuremath{\mbox{underlined}}$  are additions.

1138	B. This Compact shall have a term of ten (10) years,
1139	beginning on the first day of the month following the month in
1140	which the Compact becomes effective under Section A. of this
1141	Part. This Compact shall remain in full force and effect until
1142	the sooner of expiration of its terms or until terminated by
1143	mutual agreement of the parties.
1144	
1145	PART XVIII.
1146	AMENDMENT OF COMPACT AND REFERENCESAmendment of this
1147	Compact may only be made by written agreement of the parties,
1148	subject to approval by the Secretary either by publication of
1149	the notice of approval in the Federal Register or by operation
1150	of law under 25 U.S.C. s. 2710(d)(7)(C). Changes in the
1151	provisions of tribal ordinances, regulations, and procedures
1152	referenced in this Compact may be made by the Tribe with thirty
1153	(30) calendar days advance notice to the State. If the State has
1154	an objection to any change to the tribal ordinance, regulation
1155	or procedure which is the subject of the notice on the ground
1156	that its adoption would be a violation of the Tribe's
1157	obligations under this Compact, the State may invoke the dispute
1158	resolution provisions provided in Part XIII. of this Compact.
1159	
1160	PART XIX.
1161	MISCELLANEOUS
1162	A. Except to the extent expressly provided in this
1163	Compact, this Compact is not intended to, and shall not be
1164	construed to, create any right on the part of a third party to
1165	bring an action to enforce any of its terms.
I	 Page 12 of 15

Page 42 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

1166	B. If, after the Effective Date of this Compact, the State
1167	enters into a Compact with any other Tribe that contains more
1168	favorable terms with respect to any of the provisions of this
1169	Compact and the U.S. Secretary of the Interior approves such
1170	compact, either by publication of the notice of approval in the
1171	Federal Register or by operation of law under 25 U.S.C. s.
1172	2710(d)(7)(C), upon tribal notice to the State and the
1173	Secretary, this Compact shall be deemed amended to contain the
1174	more favorable terms, unless the State objects to the change and
1175	can demonstrate, in a proceeding commenced under Part XIII.,
1176	that the terms in question are not more favorable.
1177	C. Upon the occurrence of certain events beyond the
1178	Tribe's control, including acts of God, war, terrorism, fires,
1179	floods, or accidents causing damage to or destruction of one or
1180	more of its Facilities or property necessary to operate the
1181	Facility(ies), (i) the Tribe's obligation to pay the Guaranteed
1182	Minimum Payment described in Part XI. shall be reduced pro rata
1183	to reflect the percentage of the total Net Win lost to the Tribe
1184	from the impacted Facility(ies) and (ii) the Net Win specified
1185	under Part XII., Section B., for purposes of determining whether
1186	the Tribe's Payments described in Part XI. shall cease, shall be
1187	reduced pro rata to reflect the percentage of the total Net Win
1188	lost to the Tribe from the impacted Facility(ies), with the
1189	proviso that if Payments to the State have already stopped under
1190	the provisions of Part XII., Section B., the provisions of this
1191	Section shall not trigger a resumption of payments under that
1192	Part. The foregoing shall not excuse any obligations of the

## Page 43 of 45

CODING: Words stricken are deletions; words <u>underlined</u> are additions.

1193 Tribe to make Payments to the State as and when required 1194 hereunder or in any related document or agreement. 1195 The Tribe and the State recognize that opportunities to D. 1196 engage in gaming in smoke-free or reduced-smoke environments 1197 provides both health and other benefits to Patrons, and the 1198 Tribe has already instituted a non-smoking section at its 1199 Seminole Hard Rock Hotel & Casino - Hollywood Facility. As part 1200 of its continuing commitment to this issue, the Tribe will: 1201 1. Install and utilize a ventilation system at all new construction at its Facilities, which system exhausts tobacco 1202 1203 smoke to the extent reasonably feasible under existing state-of-1204 the-art technology; and 2. Designate a smoke-free area for slot machines at all 1205 1206 new construction at its Facilities. 1207 3. Install non-smoking, vented tables for table games in its Facilities sufficient to respond to demand for such tables. 1208 1209 E. The annual average minimum pay-out of all slot machines 1210 in each Facility shall not be less than eighty-five percent (85 1211 percent). 1212 F. Nothing in this Compact shall alter any of the existing 1213 memoranda of understanding, contracts, or other agreements 1214 entered into between the Tribe and any other federal, state, or 1215 local governmental entity. 1216 G. The Tribe currently has as set forth in its Employee 1217 Fair Treatment and Dispute Resolution Policy, and agrees to 1218 maintain, standards that are comparable to the standards 1219 provided in federal laws and State laws forbidding employers 1220 from discrimination in connection with the employment of persons

### Page 44 of 45

CODING: Words stricken are deletions; words underlined are additions.

FLORIDA HOUSE OF REPRESEN	ΤΑΤΙΥΕS
---------------------------	---------

1221	working at the Facilities on the basis of race, color, religion,
1222	national origin, gender, age, disability/handicap, or marital
1223	status. Nothing herein shall preclude the Tribe from giving
1224	preference in employment, promotion, seniority, lay-offs or
1225	retention to members of the Tribe and other federally recognized
1226	tribes. The Tribe will comply with all federal and state labor
1227	laws, where applicable.
1228	Section 2. This act shall take effect upon becoming a law.