A bill to be entitled

An act relating to the Long-Term Care Ombudsman Program; amending ss. 400.0060 and 400.0067, F.S.; removing references to onsite administrative assessments and conforming cross-references to changes made by the act; amending s. 400.0061, F.S.; revising legislative intent; amending s. 400.0069, F.S.; providing additional duties of the local long-term care ombudsman councils; amending s. 400.0071, F.S.; revising rules relating to State Long-Term Care Ombudsman Program complaint procedures; amending s. 400.0074, F.S.; revising the current administrative assessment process from a facility-focused inspection to a resident-focused inspection; repealing s. 400.0089, F.S., relating to data reports regarding complaints about and conditions in long-term care facilities; amending s. 400.19, F.S.; revising conditions under which the Agency for Health Care Administration is required to conduct unannounced onsite facility reviews; amending s. 400.235, F.S.; eliminating the role of the State Long-Term Care Ombudsman Council in evaluating a nursing facility for the Gold Seal Program; providing an effective date.

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Be It Enacted by the Legislature of the State of Florida:

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Section 1. Subsections (2) through (10) of section 400.0060, Florida Statutes, are renumbered as subsections (1) through (9), respectively, and present subsection (1) of that section is amended to read:

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400.0060 Definitions.—When used in this part, unless the context clearly dictates otherwise, the term:

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- (1) "Administrative assessment" means a review of conditions in a long-term care facility which impact the rights, health, safety, and welfare of residents with the purpose of noting needed improvement and making recommendations to enhance the quality of life for residents.
- Section 2. Subsection (2) of section 400.0061, Florida Statutes, is amended to read:
- 400.0061 Legislative findings and intent; long-term care facilities.—
- It is the intent of the Legislature, therefore, to utilize voluntary citizen ombudsman councils under the leadership of the ombudsman, and through them to operate an ombudsman program which shall, without interference by any executive agency, undertake to discover, investigate, and determine the presence of conditions or individuals which constitute a threat to the rights, health, safety, or welfare of the residents of long-term care facilities. To ensure that the effectiveness and efficiency of such investigations are not impeded by advance notice or delay, the Legislature intends that the ombudsman and ombudsman councils and their designated representatives not be required to obtain warrants in order to enter into a long-term care facility to conduct the duties of the Office of State Long-Term Care Ombudsman, the State Long-Term Care Ombudsman Council, or a local long-term care ombudsman council or conduct investigations or onsite administrative assessments of long-term care facilities. It is the further

intent of the Legislature that the environment in long-term care facilities be conducive to the dignity and independence of residents and that investigations by ombudsman councils shall further the enforcement of laws, rules, and regulations that safeguard the health, safety, and welfare of residents.

Section 3. Paragraph (b) of subsection (2) of section 400.0067, Florida Statutes, is amended to read:

400.0067 State Long-Term Care Ombudsman Council; duties; membership.—

- (2) The State Long-Term Care Ombudsman Council shall:
- (b) Serve as an appellate body in receiving from the local councils complaints not resolved at the local level. Any individual member or members of the state council may enter any long-term care facility involved in an appeal, pursuant to the conditions specified in s. 400.0074(2).
- Section 4. Subsection (3) of section 400.0069, Florida Statutes, is amended, and paragraphs (h) and (i) are added to subsection (2) of that section, to read:
- 400.0069 Local long-term care ombudsman councils; duties; membership.—
 - (2) The duties of the local councils are to:
- (h) Ensure that residents have regular, timely access to the ombudsman through visitations and that residents and complainants receive timely responses to their complaints.
- (i) Provide technical support for the development of resident and family councils to protect the well-being and rights of residents.
 - (3) In order to carry out the duties specified in

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subsection (2), a member of a local council is authorized to enter any long-term care facility without notice or first obtaining a warrant, subject to the provisions of s. 400.0074(2).

Section 5. Section 400.0071, Florida Statutes, is amended to read:

400.0071 State Long-Term Care Ombudsman Program complaint procedures.—The department shall adopt rules implementing state and local complaint procedures. The rules must include procedures for:

- (1) Receiving complaints <u>made</u> by or on behalf of long-term <u>care facility residents</u> against a long-term care facility or an <u>employee of a long-term care facility</u>.
- (2) Conducting <u>complaint</u> investigations <u>on behalf of long-term care facility residents</u> of a long-term care facility or an <u>employee of a long-term care facility subsequent to receiving a complaint</u>.
- (3) Conducting onsite administrative assessments of longterm care facilities.
- Section 6. Section 400.0074, Florida Statutes, is amended to read:
- 400.0074 Local ombudsman council <u>resident-focused</u> onsite administrative assessments.—
- (1) In addition to any specific investigation conducted pursuant to a complaint, the local council shall conduct resident-focused assessments of a, at least annually, an onsite administrative assessment of each nursing home, assisted living facility, and adult family-care home within its jurisdiction.

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This administrative assessment shall focus on factors affecting the rights, health, safety, and welfare of the residents. Each local council is encouraged to conduct a similar onsite administrative assessment of each additional long-term care facility within its jurisdiction.

- (2) The development of a resident-focused assessment process shall be completed by a workgroup composed of one representative from each of the following entities: the State Long-Term Care Ombudsman, the State Long-Term Care Ombudsman Council, the local council, the Florida Health Care Association, the Florida Association of Homes and Services for the Aging, the Florida Assisted Living Association, and the Executive Office of the Governor by October 1, 2011.
- $\underline{\text{(3)}}$ A resident-focused An onsite administrative assessment conducted by a local council shall be subject to the following conditions:
- (a) To the extent possible and reasonable, the administrative assessments shall not duplicate the efforts of the agency surveys and inspections conducted under part II of this chapter and parts I and II of chapter 429.
- (b) An administrative assessment shall be conducted at a time and for a duration necessary to produce the information required to carry out the duties of the local council.
- (c) Advance notice of an administrative assessment may not be provided to a long-term care facility, except that notice of followup assessments on specific problems may be provided.
- $\underline{\text{(a)}}$ A local council member physically present for the administrative assessment shall identify himself or herself $\underline{\text{to}}$

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the facility administrator and cite the specific statutory authority for his or her assessment of the facility.

- (b) (e) An administrative assessment may not unreasonably interfere with the programs and activities of residents.
- (c)(f) A local council member may not enter a single-family residential unit within a long-term care facility during an administrative assessment without the permission of the resident or the representative of the resident.
- $\underline{\text{(d)}}$ An administrative assessment must be conducted in a manner that will impose no unreasonable burden on a long-term care facility.
- $\underline{(4)}$ Regardless of jurisdiction, the ombudsman may authorize a state or local council member to assist another local council to perform the administrative assessments described in this section.
- (5)(4) An onsite administrative assessment may not be accomplished by forcible entry. However, if the ombudsman or a state or local council member is not allowed to enter a long-term care facility, the administrator of the facility shall be considered to have interfered with a representative of the office, the state council, or the local council in the performance of official duties as described in s. 400.0083(1) and to have committed a violation of this part. The ombudsman shall report the refusal by a facility to allow entry to the agency, and the agency shall record the report and take it into consideration when determining actions allowable under s. 400.102, s. 400.121, s. 429.14, s. 429.19, s. 429.69, or s. 429.71.

Section 7. Section 400.0089, Florida Statutes, is

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170 repealed. Section 8. Subsection (4) of section 400.19, Florida 171 172 Statutes, is amended to read: 173 400.19 Right of entry and inspection.-174 The agency shall conduct unannounced onsite facility 175 reviews following written verification of licensee noncompliance 176 in instances in which a long-term care ombudsman council, 177 pursuant to ss. 400.0071 and 400.0075, has received a complaint and has documented deficiencies in resident care or in the 178 179 physical plant of the facility that threaten the health, safety, 180 or security of residents, or when the agency documents through inspection that conditions in a facility present a direct or 181 182 indirect threat to the health, safety, or security of residents. 183 However, the agency shall conduct unannounced onsite reviews

every 3 months of each facility while the facility has a

reasonably expected.

Section 9. Paragraphs (f) and (g) of subsection (5) of section 400.235, Florida Statutes, are amended to read:

400.235 Nursing home quality and licensure status; Gold Seal Program.—

conditional license. Deficiencies related to physical plant do

that correction of the deficiency has been accomplished and that

the correction is of the nature that continued compliance can be

not require followup reviews after the agency has determined

- (5) Facilities must meet the following additional criteria for recognition as a Gold Seal Program facility:
 - (f) Evidence an outstanding record regarding the number

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and types of substantiated complaints reported to the State

Long-Term Care Ombudsman Council within the 30 months preceding

application for the program.

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 $\underline{\text{(f)}}$ Provide targeted inservice training provided to meet training needs identified by internal or external quality assurance efforts.

A facility assigned a conditional licensure status may not qualify for consideration for the Gold Seal Program until after it has operated for 30 months with no class I or class II deficiencies and has completed a regularly scheduled relicensure survey.

Section 10. This act shall take effect July 1, 2011.