

LEGISLATIVE ACTION

Senate

House

Senator Soto moved the following:

Senate Amendment (with title amendment)

Delete lines 1114 - 1150

and insert:

1 2 3

4

5

6

7

8

Section 24. Section 400.0060, Florida Statutes, is amended to read:

400.0060 Definitions.-When used in this part, unless the context clearly dictates otherwise, the term:

9 (1) "Administrative assessment" means a review of 10 conditions in a long-term care facility which impact the rights, 11 health, safety, and welfare of residents with the purpose of 12 noting needed improvement and making recommendations to enhance 13 the quality of life for residents.

Page 1 of 35

349184

1	
14	(2) "Agency" means the Agency for Health Care
15	Administration.
16	(3) "Department" means the Department of Elderly Affairs.
17	(4) "District" means a geographical area designated by the
18	state ombudsman in which individuals certified as ombudsmen
19	carry out the duties of the state ombudsman program $ ilde{``Local}$
20	council" means a local long-term care ombudsman council
21	designated by the ombudsman pursuant to s. 400.0069. Local
22	councils are also known as district long-term care ombudsman
23	councils or district councils.
24	(5) "Long-term care facility" means a nursing home
25	facility, assisted living facility, adult family-care home,
26	board and care facility, facility where continuing long-term
27	care is provided, or any other similar residential adult care
28	facility.
29	(6) "Office" means the Office of State Long-Term Care
30	Ombudsman created by s. 400.0063.
31	(7) "Ombudsman" means an individual who has been certified
32	by the state ombudsman as meeting the requirements of ss.
33	400.0069, 400.0070, and 400.0091 the individual appointed by the
34	Secretary of Elderly Affairs to head the Office of State Long-
35	Term Care Ombudsman.
36	(8) "Representative of the office" means the state
37	ombudsman, an employee of the office, or an individual certified
38	as an ombudsman.
39	<u>(9)</u> "Resident" means an individual <u>18</u> 60 years of age or
40	older who resides in a long-term care facility.
41	(10) (9) "Secretary" means the Secretary of Elderly Affairs.
42	(11)(10) "State council" means the State Long-Term Care
ļ	

Page 2 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

i	
43	Ombudsman Council created by s. 400.0067.
44	(12) "State ombudsman" means the individual appointed by
45	the Secretary of Elderly Affairs to head the Office of State
46	Long-Term Care Ombudsman.
47	(13) "State ombudsman program" means the program operating
48	under the direction of the office.
49	Section 25. Section 400.0061, Florida Statutes, is amended
50	to read:
51	400.0061 Legislative findings and intent; long-term care
52	facilities
53	(1) The Legislature finds that conditions in long-term care
54	facilities in this state are such that the rights, health,
55	safety, and welfare of residents are not fully ensured by rules
56	of the Department of Elderly Affairs or the Agency for Health
57	Care Administration or by the good faith of owners or operators
58	of long-term care facilities. Furthermore, there is a need for a
59	formal mechanism whereby a long-term care facility resident, a
60	representative of a long-term care facility resident, or any
61	other concerned citizen may make a complaint against the
62	facility or its employees $_{m{ au}}$ or against other persons who are in a
63	position to restrict, interfere with, or threaten the rights,
64	health, safety, or welfare of a long-term care facility
65	resident. The Legislature finds that concerned citizens are
66	often more effective advocates for the rights of others than
67	governmental agencies. The Legislature further finds that in
68	order to be eligible to receive an allotment of funds authorized
69	and appropriated under the federal Older Americans Act, the
70	state must establish and operate an Office of State Long-Term
71	Care Ombudsman, to be headed by the state Long-Term Care

Page 3 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

72 ombudsman, and carry out a state long-term care ombudsman 73 program.

74 (2) It is the intent of the Legislature, therefore, to 75 utilize voluntary citizen ombudsmen ombudsman councils under the 76 leadership of the state ombudsman τ and, through them, to operate 77 a state an ombudsman program, which shall, without interference 78 by any executive agency, undertake to discover, investigate, and 79 determine the presence of conditions or individuals who which 80 constitute a threat to the rights, health, safety, or welfare of 81 the residents of long-term care facilities. To ensure that the 82 effectiveness and efficiency of such investigations are not 83 impeded by advance notice or delay, the Legislature intends that 84 representatives of the office ombudsman and ombudsman councils 85 and their designated representatives not be required to obtain 86 warrants in order to enter into or conduct investigations or 87 onsite administrative assessments of long-term care facilities. 88 It is the further intent of the Legislature that the environment in long-term care facilities be conducive to the dignity and 89 90 independence of residents and that investigations by 91 representatives of the office ombudsman councils shall further 92 the enforcement of laws, rules, and regulations that safeguard the health, safety, and welfare of residents. 93

94 Section 26. Section 400.0063, Florida Statutes, is amended 95 to read:

96 400.0063 Establishment of Office of State Long-Term Care 97 Ombudsman; designation of ombudsman and legal advocate.-

98 (1) There is created an Office of State Long-Term Care99 Ombudsman in the Department of Elderly Affairs.

100

(2) (a) The Office of State Long-Term Care Ombudsman shall

Florida Senate - 2013 Bill No. CS for CS for SB 966



101 be headed by the state Long-Term Care ombudsman, who shall serve 102 on a full-time basis and shall personally, or through 103 representatives of the office, carry out the purposes and 104 functions of the <u>state ombudsman program</u> office in accordance 105 with state and federal law.

(b) The <u>state</u> ombudsman shall be appointed by and shall serve at the pleasure of the Secretary of Elderly Affairs. The secretary shall appoint a person who has expertise and experience in the fields of long-term care and advocacy to serve as state ombudsman.

(3) (a) There is created in the office the position of legal advocate, who shall be selected by and serve at the pleasure of the <u>state</u> ombudsman and shall be a member in good standing of The Florida Bar.

(b) The duties of the legal advocate shall include, but not be limited to:

Assisting the <u>state</u> ombudsman in carrying out the duties
 of the office with respect to the abuse, neglect, <u>exploitation</u>,
 or violation of rights of residents of long-term care
 facilities.

121 2. Assisting the state <u>council</u> and <u>representatives of the</u>
 122 <u>office</u> local councils in carrying out their responsibilities
 123 under this part.

124 3. Pursuing administrative, legal, and other appropriate125 remedies on behalf of residents.

4. Serving as legal counsel to the state <u>council</u> and
<u>representatives of the office</u> local councils, or individual
members thereof, against whom any suit or other legal action is
initiated in connection with the performance of the official

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

130 duties of the <u>state ombudsman program</u> councils or an individual 131 member.

132 Section 27. Section 400.0065, Florida Statutes, is amended 133 to read:

134 400.0065 Office of State Long-Term Care Ombudsman; duties 135 and responsibilities.-

136 (1) The purpose of the Office of State Long-Term Care 137 Ombudsman is shall be to:

(a) Identify, investigate, and resolve complaints made by
or on behalf of residents of long-term care facilities relating
to actions or omissions by providers or representatives of
providers of long-term care services, other public or private
agencies, guardians, or representative payees that may adversely
affect the health, safety, welfare, or rights of the residents.

(b) Provide services that assist in protecting the health,safety, welfare, and rights of residents.

(c) Inform residents, their representatives, and other
citizens about obtaining the services of the state Long-Term
Care ombudsman program and its representatives.

(d) Ensure that residents have regular and timely access to
the services provided through the office and that residents and
complainants receive timely responses from representatives of
the office to their complaints.

(e) Represent the interests of residents before governmental agencies and seek administrative, legal, and other remedies to protect the health, safety, welfare, and rights of the residents.

157 158 (f) Administer the state council and local councils.

(g) Analyze, comment on, and monitor the development and

Florida Senate - 2013 Bill No. CS for CS for SB 966



159 implementation of federal, state, and local laws, rules, and 160 regulations, and other governmental policies and actions, that 161 pertain to the health, safety, welfare, and rights of the 162 residents, with respect to the adequacy of long-term care 163 facilities and services in the state, and recommend any changes 164 in such laws, rules, regulations, policies, and actions as the 165 office determines to be appropriate and necessary. 166 (h) Provide technical support for the development of 167 resident and family councils to protect the well-being and 168 rights of residents. 169 (2) The state Long-Term Care ombudsman has shall have the 170 duty and authority to: (a) Establish and coordinate districts local councils 171 172 throughout the state. 173 (b) Perform the duties specified in state and federal law, 174 rules, and regulations. 175 (c) Within the limits of appropriated federal and state funding, employ such personnel as are necessary to perform 176 177 adequately the functions of the office and provide or contract for legal services to assist the state council and 178 179 representatives of the office local councils in the performance of their duties. Staff positions established for the purpose of 180 181 coordinating the activities of each local council and assisting 182 its members may be filled by the ombudsman after approval by the 183 secretary. Notwithstanding any other provision of this part, 184 upon certification by the ombudsman that the staff member hired 185 to fill any such position has completed the initial training required under s. 400.0091, such person shall be considered a 186 187 representative of the State Long-Term Care Ombudsman Program for

Page 7 of 35



188 purposes of this part.

189 (d) Contract for services necessary to carry out the 190 activities of the office.

(e) Apply for, receive, and accept grants, gifts, or other payments, including, but not limited to, real property, personal property, and services from a governmental entity or other public or private entity or person, and make arrangements for the use of such grants, gifts, or payments.

(f) Coordinate, to the greatest extent possible, state and local ombudsman services with the protection and advocacy systems for individuals with developmental disabilities and mental illnesses and with legal assistance programs for the poor through adoption of memoranda of understanding and other means.

201 (g) Enter into a cooperative agreement with the Statewide 202 Advocacy Council for the purpose of coordinating and avoiding 203 duplication of advocacy services provided to residents.

204 <u>(g) (h)</u> Enter into a cooperative agreement with the Medicaid 205 Fraud Division as prescribed under s. 731(e)(2)(B) of the Older 206 Americans Act.

207 (h) (i) Prepare an annual report describing the activities carried out by the office, the state council, and the districts 208 209 local councils in the year for which the report is prepared. The 210 state ombudsman shall submit the report to the secretary, the United States Assistant Secretary for Aging, the Governor, the 211 212 President of the Senate, the Speaker of the House of 213 Representatives, the Secretary of Children and Families, and the 214 Secretary of Health Care Administration at least 30 days before the convening of the regular session of the Legislature. The 215 216 secretary shall in turn submit the report to the United States

Florida Senate - 2013 Bill No. CS for CS for SB 966



217 Assistant Secretary for Aging, the Governor, the President of 218 the Senate, the Speaker of the House of Representatives, the 219 Secretary of Children and Family Services, and the Secretary of 220 Health Care Administration. The report shall, at a minimum:

1. Contain and analyze data collected concerning complaints
about and conditions in long-term care facilities and the
disposition of such complaints.

224

245

2. Evaluate the problems experienced by residents.

3. Analyze the successes of the <u>state</u> ombudsman program
during the preceding year, including an assessment of how
successfully the <u>office</u> program has carried out its
responsibilities under the Older Americans Act.

4. Provide recommendations for policy, regulatory, and statutory changes designed to solve identified problems; resolve residents' complaints; improve residents' lives and quality of care; protect residents' rights, health, safety, and welfare; and remove any barriers to the optimal operation of the state Long-Term Care ombudsman program.

5. Contain recommendations from the state Long-Term Care Ombudsman council regarding program functions and activities and recommendations for policy, regulatory, and statutory changes designed to protect residents' rights, health, safety, and welfare.

Contain any relevant recommendations from
 representatives of the <u>office</u> local councils regarding program
 functions and activities.

243 Section 28. Section 400.0067, Florida Statutes, is amended 244 to read:

400.0067 State Long-Term Care Ombudsman Council; duties;

Florida Senate - 2013 Bill No. CS for CS for SB 966



246 membership.-

249

(1) There is created, within the Office of State Long-Term
 Care Ombudsman, the State Long-Term Care Ombudsman Council.

(2) The state Long-Term Care Ombudsman council shall:

(a) Serve as an advisory body to assist the <u>state</u> ombudsman
 in reaching a consensus among <u>districts</u> local councils on issues
 affecting residents and impacting the optimal operation of the
 program.

(b) Serve as an appellate body in receiving from the <u>districts</u> local councils complaints not resolved at the <u>district</u> local level. Any individual member or members of the state council may enter any long-term care facility involved in an appeal, pursuant to the conditions specified in s. 400.0074(2).

(c) Assist the <u>state</u> ombudsman to discover, investigate,
and determine the existence of abuse or neglect in any long-term
care facility, and work with the adult protective services
program as required in ss. 415.101-415.113.

(d) Assist the <u>state</u> ombudsman in eliciting, receiving, responding to, and resolving complaints made by or on behalf of residents.

(e) Elicit and coordinate state, <u>district</u> local, and
voluntary organizational assistance for the purpose of improving
the care received by residents.

(f) Assist the <u>state</u> ombudsman in preparing the annual report described in s. 400.0065.

(3) The state Long-Term Care Ombudsman council shall be
 composed of one active certified ombudsman from each district
 local council member elected by each local council plus three
 at-large members appointed by the secretary Covernor.

Page 10 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

(a) Each <u>district manager, in consultation with the</u>
<u>district ombudsmen, shall select a district ombudsman</u> local
<u>council shall elect by majority vote a representative from among</u>
<u>the council members</u> to represent the interests of the <u>district</u>
<u>local council</u> on the state council. <u>A local council chair may</u>
<u>not serve as the representative of the local council on the</u>
<u>state council.</u>

(b)1. The <u>state ombudsman</u> secretary, after consulting with the ombudsman, shall submit to the <u>secretary</u> Governor a list of <u>individuals</u> persons recommended for appointment to the at-large positions on the state council. The list <u>may</u> shall not include the name of any <u>individual</u> person who is currently serving <u>in a</u> district on a local council.

288 2. The <u>secretary</u> Governor shall appoint three at-large
289 members chosen from the list.

3. If the <u>secretary</u> Governor does not appoint an at-large member to fill a vacant position within 60 days after the list is submitted, the <u>state</u> secretary, after consulting with the ombudsman₇ shall appoint an at-large member to fill that vacant position.

295 (4) (a) (c)1. All State council members shall serve 3-year 296 terms.

297 2. A member of the state council may not serve more than
298 two consecutive terms.

299 (b) 3. A district manager, in consultation with the district 300 ombudsmen, local council may recommend replacement removal of 301 its selected ombudsman on elected representative from the state 302 council by a majority vote. If the district manager, in 303 consultation with the district ombudsmen, selects a replacement

Page 11 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



304 <u>ombudsman</u>, council votes to remove its representative, the local 305 <u>council chair shall immediately notify</u> the <u>state</u> ombudsman <u>must</u> 306 <u>be notified</u>. The secretary shall advise the Governor of the 307 <u>local council's vote upon receiving notice from the ombudsman</u>.

308 <u>(c)</u>4. The position of any member missing three state 309 council meetings within a 1-year period without cause may be 310 declared vacant by the <u>state</u> ombudsman. The findings of the 311 <u>state</u> ombudsman regarding cause shall be final and binding.

312 <u>(d)</u>5. Any vacancy on the state council shall be filled in 313 the same manner as the original appointment.

314 <u>(e) (d)</u>1. The state council shall elect a chair to serve for 315 a term of 1 year. A chair may not serve more than two 316 consecutive terms.

317 2. The chair shall select a vice chair from among the 318 members. The vice chair shall preside over the state council in 319 the absence of the chair.

320 3. The chair may create additional executive positions as 321 necessary to carry out the duties of the state council. Any 322 person appointed to an executive position shall serve at the 323 pleasure of the chair, and his or her term shall expire on the 324 same day as the term of the chair.

325 4. A chair may be immediately removed from office before 326 prior to the expiration of his or her term by a vote of two-327 thirds of all state council members present at any meeting at 328 which a quorum is present. If a chair is removed from office 329 before prior to the expiration of his or her term, a replacement 330 chair shall be chosen during the same meeting in the same manner 331 as described in this paragraph, and the term of the replacement chair shall begin immediately. The replacement chair shall serve 332

Page 12 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



333 for the remainder of the term and is eligible to serve two 334 subsequent consecutive terms.

335 <u>(f) (e)</u>1. The state council shall meet upon the call of the 336 chair or upon the call of the <u>state</u> ombudsman. The <u>state</u> council 337 shall meet at least quarterly but may meet more frequently as 338 needed.

339 2. A quorum shall be considered present if more than 50
340 percent of all active state council members are in attendance at
341 the same meeting.

342 3. The state council may not vote on or otherwise make any 343 decisions resulting in a recommendation that will directly 344 impact the state council or any <u>district</u> local council, outside 345 of a publicly noticed meeting at which a quorum is present.

346 <u>(g) (f)</u> Members shall receive no compensation but shall, 347 with approval from the <u>state</u> ombudsman, be reimbursed for per 348 diem and travel expenses as provided in s. 112.061.

349 Section 29. Section 400.0069, Florida Statutes, is amended 350 to read:

351 400.0069 Local Long-term care ombudsman districts councils; 352 duties; <u>appointment membership</u>.-

(1) (a) The <u>state</u> ombudsman shall designate <u>districts</u> local long-term care ombudsman councils to carry out the duties of the state Long-Term Care ombudsman program within local communities. Each <u>district</u> local council shall function under the direction of the state ombudsman.

(b) The <u>state</u> ombudsman shall ensure that there <u>are</u>
representatives of the office is at least one local council
operating in each <u>district</u> of the department's planning and
service areas. The ombudsman may create additional local

Page 13 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



362 councils as necessary to ensure that residents throughout the 363 state have adequate access to state Long-Term Care ombudsman 364 program services. The ombudsman, after approval from the 365 secretary, shall designate the jurisdictional boundaries of each 366 local council.

367 (2) The duties of the <u>representatives of the office in the</u>
 368 <u>districts</u> local councils are to:

(a) <u>Provide services to assist in</u> Serve as a third-party
 mechanism for protecting the health, safety, welfare, and civil
 and human rights of residents.

(b) Discover, investigate, and determine the existence of abuse, or neglect, or exploitation using in any long-term care facility and to use the procedures provided for in ss. 415.101-415.113 when applicable.

(c) <u>Identify</u> <u>Elicit</u>, <u>receive</u>, investigate, <u>respond to</u>, and
resolve complaints made by or on behalf of residents <u>relating to</u>
<u>actions or omissions by providers or representatives of</u>
<u>providers of long-term care services</u>, <u>other public or private</u>
<u>agencies</u>, <u>guardians</u>, <u>or representative payees that may adversely</u>
<u>affect the health</u>, <u>safety</u>, <u>welfare</u>, <u>or rights of residents</u>.

(d) Review and, if necessary, comment on all existing or proposed rules, regulations, and other governmental policies and actions relating to long-term care facilities that may potentially have an effect on the rights, health, safety, and welfare of residents.

(e) Review personal property and money accounts of
residents who are receiving assistance under the Medicaid
program pursuant to an investigation to obtain information
regarding a specific complaint or problem.

Page 14 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

391	(f) Recommend that the <u>state</u> ombudsman and the legal
392	advocate seek administrative, legal, and other remedies to
393	protect the health, safety, welfare, and rights of the
394	residents.
395	(g) Provide technical assistance for the development of
396	resident and family councils within long-term care facilities.
397	<u>(h)</u> Carry out other activities that the <u>state</u> ombudsman
398	determines to be appropriate.
399	(3) In order to carry out the duties specified in
400	subsection (2), a <u>representative of the office may</u> member of a
401	local council is authorized to enter any long-term care facility
402	without notice or <u>without</u> first obtaining a warrant <u>; however</u> ,
403	subject to the provisions of s. 400.0074(2) may apply regarding
404	notice of a followup administrative assessment.
405	(4) Each <u>district</u> local council shall be composed of
406	<u>ombudsmen</u> members whose primary <u>residences are</u> residence is
407	located within the boundaries of the <u>district</u> local council's
408	jurisdiction.
409	(a) Upon good cause shown, the state ombudsman, in his or
410	her sole discretion, may appoint an ombudsman to another
411	district The ombudsman shall strive to ensure that each local
412	council include the following persons as members:
413	1. At least one medical or osteopathic physician whose
414	practice includes or has included a substantial number of
415	geriatric patients and who may practice in a long-term care
416	facility;
417	2. At least one registered nurse who has geriatric
418	experience;
419	3. At least one licensed pharmacist;

Page 15 of 35



1	
420	4. At least one registered dietitian;
421	5. At least six nursing home residents or representative
422	consumer advocates for nursing home residents;
423	6. At least three residents of assisted living facilities
424	or adult family-care homes or three representative consumer
425	advocates for alternative long-term care facility residents;
426	7. At least one attorney; and
427	8. At least one professional social worker.
428	(b) The following individuals may not be appointed as
429	ombudsmen:
430	1. The owner or representative of a long-term care
431	facility.
432	2. A provider or representative of a provider of long-term
433	care services.
434	3. An employee of the agency.
435	4. An employee of the department, except for a
436	representative of the office.
437	5. An employee of the Department of Children and Families.
438	6. An employee of the Agency for Persons with Disabilities
439	In no case shall the medical director of a long-term care
440	facility or an employee of the agency, the department, the
441	Department of Children and Family Services, or the Agency for
442	Persons with Disabilities serve as a member or as an ex officio
443	member of a council.
444	(5)(a) To be appointed as an ombudsman, an individual must:
445	1. Individuals wishing to join a local council shall Submit
446	an application to the <u>state</u> ombudsman <u>or his or her designee</u> .
447	2. Successfully complete level 2 background screening
448	pursuant to s. 430.0402 and chapter 435 The ombudsman shall

Page 16 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

1	
449	review the individual's application and advise the secretary of
450	his or her recommendation for approval or disapproval of the
451	candidate's membership on the local council. If the secretary
452	approves of the individual's membership, the individual shall be
453	appointed as a member of the local council.
454	(b) The state ombudsman shall approve or deny the
455	appointment of the individual as an ombudsman The secretary may
456	rescind the ombudsman's approval of a member on a local council
457	at any time. If the secretary rescinds the approval of a member
458	on a local council, the ombudsman shall ensure that the
459	individual is immediately removed from the local council on
460	which he or she serves and the individual may no longer
461	represent the State Long-Term Care Ombudsman Program until the
462	secretary provides his or her approval.
463	(c) Upon appointment as an ombudsman, the individual may
464	participate in district activities but may not represent the
465	office or conduct any authorized program duties until the
466	individual has completed the initial training specified in s.
467	400.0091(1) and has been certified by the state ombudsman.
468	(d) The state ombudsman, for good cause shown, such as
469	development of a conflict of interest, failure to adhere to the
470	policies and procedures established by the office, or
471	demonstrated inability to carry out the responsibilities of the
472	office, may rescind the appointment of an individual as an
473	ombudsman. After the appointment is rescinded, the individual
474	may not conduct any duties as an ombudsman and may not represent
475	the office or the state ombudsman program A local council may
476	recommend the removal of one or more of its members by
477	submitting to the ombudsman a resolution adopted by a two-thirds

Page 17 of 35



1	
478	vote of the members of the council stating the name of the
479	member or members recommended for removal and the reasons for
480	the recommendation. If such a recommendation is adopted by a
481	local council, the local council chair or district coordinator
482	shall immediately report the council's recommendation to the
483	ombudsman. The ombudsman shall review the recommendation of the
484	local council and advise the secretary of his or her
485	recommendation regarding removal of the council member or
486	members.
487	(6)(a) Each local council shall elect a chair for a term of
488	1 year. There shall be no limitation on the number of terms that
489	an approved member of a local council may serve as chair.
490	(b) The chair shall select a vice chair from among the
491	members of the council. The vice chair shall preside over the
492	council in the absence of the chair.
493	(c) The chair may create additional executive positions as
494	necessary to carry out the duties of the local council. Any
495	person appointed to an executive position shall serve at the
496	pleasure of the chair, and his or her term shall expire on the
497	same day as the term of the chair.
498	(d) A chair may be immediately removed from office prior to
499	the expiration of his or her term by a vote of two-thirds of the
500	members of the local council. If any chair is removed from
501	office prior to the expiration of his or her term, a replacement
502	chair shall be elected during the same meeting, and the term of
503	the replacement chair shall begin immediately. The replacement
504	chair shall serve for the remainder of the term of the person he
505	or she replaced.
506	(7) Each local council shall meet upon the call of its
1	

Page 18 of 35

349184

507 chair or upon the call of the ombudsman. Each local council 508 shall meet at least once a month but may meet more frequently if 509 necessary.

510 <u>(6) (8)</u> An ombudsman may not A member of a local council 511 shall receive no compensation but shall, with approval from the 512 state ombudsman, be reimbursed for travel expenses both within 513 and outside the jurisdiction of the local council in accordance 514 with the provisions of s. 112.061.

515 <u>(7)(9)</u> The <u>representatives of the office</u> local councils are 516 authorized to call upon appropriate <u>state</u> agencies of state 517 government for such professional assistance as may be needed in 518 the discharge of their duties<u>, and such</u>. All state agencies 519 shall cooperate with the local councils in providing requested 520 information and agency representation at council meetings.

521 Section 30. Section 400.0070, Florida Statutes, is amended 522 to read:

523

400.0070 Conflicts of interest.-

524

(1) A representative of the office The ombudsman shall not:

(a) Have a direct involvement in the licensing or certification of, or an ownership or investment interest in, a long-term care facility or a provider of a long-term care service.

(b) Be employed by, or participate in the management of, along-term care facility.

(c) Receive, or have a right to receive, directly or indirectly, remuneration, in cash or in kind, under a compensation agreement with the owner or operator of a long-term care facility.

535

(2) Each <u>representative</u> employee of the office, each state

Florida Senate - 2013 Bill No. CS for CS for SB 966



536 council member, and each local council member shall certify that 537 he or she has no conflict of interest. 538 (3) The department, in consultation with the state 539 ombudsman, shall define by rule: 540 (a) Situations that constitute an individual's a person 541 having a conflict of interest that could materially affect the 542 objectivity or capacity of the individual a person to serve as a 543 representative on an ombudsman council, or as an employee of the 544 office, while carrying out the purposes of the State Long-Term 545 Care Ombudsman Program as specified in this part. 546 (b) The procedure by which an individual a person listed in 547 subsection (2) shall certify that he or she has no conflict of 548 interest. 549 Section 31. Section 400.0071, Florida Statutes, is amended 550 to read: 551 400.0071 State Long-Term Care ombudsman program complaint procedures.-The department, in consultation with the state 552 553 ombudsman, shall adopt rules implementing state and local 554 complaint procedures. The rules must include procedures for receiving, investigating, identifying, and resolving complaints 555 556 concerning the health, safety, welfare, and rights of residents: 557 (1) Receiving complaints against a long-term care facility 558 or an employee of a long-term care facility. 559 (2) Conducting investigations of a long-term care facility 560 or an employee of a long-term care facility subsequent to 561 receiving a complaint. 562 (3) Conducting onsite administrative assessments of long-563 term care facilities. 564 Section 32. Section 400.0073, Florida Statutes, is amended

Page 20 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

565	to read:
566	400.0073 Complaint State and local ombudsman council
567	investigations
568	(1) A <u>representative of the office</u> local council shall
569	identify and investigate, within a reasonable time after a
570	complaint is made, any complaint <u>made by or on behalf</u> of a
571	resident that, a representative of a resident, or any other
572	credible source based on an action or omission by an
573	administrator, an employee, or a representative of a long-term
574	care facility which might be:
575	(a) Contrary to law;
576	(b) Unreasonable, unfair, oppressive, or unnecessarily
577	discriminatory, even though in accordance with law;
578	(c) Based on a mistake of fact;
579	(d) Based on improper or irrelevant grounds;
580	(e) Unaccompanied by an adequate statement of reasons;
581	(f) Performed in an inefficient manner; or
582	(g) Otherwise adversely affecting the health, safety,
583	welfare, or rights of a resident.
584	(2) In an investigation, both the state and local councils
585	have the authority to hold public hearings.
586	(3) Subsequent to an appeal from a local council, the state
587	council may investigate any complaint received by the local
588	council involving a long-term care facility or a resident.
589	(2)(4) If a representative of the office the ombudsman or
590	any state or local council member is not allowed to enter a
591	long-term care facility, the administrator of the facility shall
592	be considered to have interfered with a representative of the
593	office, the state council, or the local council in the

Page 21 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



594	performance of official duties as described in s. 400.0083(1)
595	and to have committed a violation of this part. The
596	representative of the office ombudsman shall report a facility's
597	refusal to allow entry to the facility to the state ombudsman or
598	his or her designee, who shall then report the incident to the
599	agency, and the agency shall record the report and take it into
600	consideration when determining actions allowable under s.
601	400.102, s. 400.121, s. 429.14, s. 429.19, s. 429.69, or s.
602	429.71.
603	Section 33. Section 400.0074, Florida Statutes, is amended
604	to read:
605	400.0074 Local ombudsman council Onsite administrative
606	assessments
607	(1) <u>A representative of the office must</u> In addition to any
608	specific investigation conducted pursuant to a complaint, the
609	local council shall conduct, at least annually, an onsite
610	administrative assessment of each nursing home, assisted living
611	facility, and adult family-care home within its jurisdiction.
612	This administrative assessment must be resident-centered and
613	shall focus on factors affecting the rights, health, safety, and
614	welfare of the residents. Each local council is encouraged to
615	conduct a similar onsite administrative assessment of each
616	additional long-term care facility within its jurisdiction.
617	(2) An onsite administrative assessment <u>is</u> conducted by a
618	local council shall be subject to the following conditions:
619	(a) To the extent possible and reasonable, the
620	administrative <u>assessment</u> assessments shall not duplicate the
621	efforts of the agency surveys and inspections conducted by state
622	agencies of long-term care facilities under part II of this

Page 22 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



623 chapter and parts I and II of chapter 429. 624 (b) An administrative assessment shall be conducted at a 625 time and for a duration necessary to produce the information 626 required to complete the assessment carry out the duties of the 627 local council. 628 (c) Advance notice of an administrative assessment may not 629 be provided to a long-term care facility, except that notice of 630 followup assessments on specific problems may be provided. 631 (d) A representative of the office local council member 632 physically present for the administrative assessment must shall identify himself or herself to the administrator and cite the 633 634 specific statutory authority for his or her assessment of the 635 facility or his or her designee. 636 (e) An administrative assessment may not unreasonably 637 interfere with the programs and activities of residents. 638 (f) A representative of the office local council member may 639 not enter a single-family residential unit within a long-term 640 care facility during an administrative assessment without the 641 permission of the resident or the representative of the 642 resident. 643 (q) An administrative assessment must be conducted in a 644 manner that will impose no unreasonable burden on a long-term 645 care facility. 646 (3) Regardless of jurisdiction, the ombudsman may authorize 647 a state or local council member to assist another local council 648 to perform the administrative assessments described in this 649 section. 650 (3) (4) An onsite administrative assessment may not be 651 accomplished by forcible entry. However, if a representative of

Florida Senate - 2013 Bill No. CS for CS for SB 966



1	
652	the <u>office</u> ombudsman or a state or local council member is not
653	allowed to enter a long-term care facility, the administrator of
654	the facility shall be considered to have interfered with a
655	representative of the office, the state council, or the local
656	council in the performance of official duties as described in s.
657	400.0083(1) and to have committed a violation of this part. The
658	representative of the office ombudsman shall report the refusal
659	by a facility to allow entry to the state ombudsman or his or
660	her designee, who shall then report the incident to the agency,
661	and the agency shall record the report and take it into
662	consideration when determining actions allowable under s.
663	400.102, s. 400.121, s. 429.14, s. 429.19, s. 429.69, or s.
664	429.71.
665	(4) The department, in consultation with the state
666	ombudsman, may adopt rules implementing procedures for
667	conducting onsite administrative assessments of long-term care
668	facilities.
669	Section 34. Section 400.0075, Florida Statutes, is amended
670	to read:
671	400.0075 Complaint notification and resolution procedures
672	(1)(a) Any complaint or problem verified by <u>a</u>
673	representative of the office an ombudsman council as a result of
674	an investigation <u>may</u> or onsite administrative assessment, which
675	complaint or problem is determined to require remedial action by
676	the local council, shall be identified and brought to the
677	attention of the long-term care facility administrator subject
678	to the confidentiality provisions of s. 400.0077 in writing.
679	Upon receipt of the information such document, the
680	administrator, with the concurrence of the <u>representative of the</u>
I	

Page 24 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



681	office local council chair, shall establish target dates for
682	taking appropriate remedial action. If, by the target date, the
683	remedial action is not completed or forthcoming, the
684	representative may extend the target date if there is reason to
685	believe such action would facilitate the resolution of the
686	complaint, or the representative may refer the complaint to the
687	district manager local council chair may, after obtaining
688	approval from the ombudsman and a majority of the members of the
689	local council:
690	1. Extend the target date if the chair has reason to
691	believe such action would facilitate the resolution of the
692	complaint.
693	2. In accordance with s. 400.0077, publicize the complaint,
694	the recommendations of the council, and the response of the
695	long-term care facility.
696	3. Refer the complaint to the state council.
697	(b) If <u>an ombudsman determines</u> the local council chair
698	believes that the health, safety, welfare, or rights of <u>a</u> the
699	resident are in imminent danger, the ombudsman must immediately
700	notify the district manager. The district manager chair shall
701	notify the ombudsman or legal advocate, who, after verifying
702	that such imminent danger exists, must notify the appropriate
703	state agencies, including law enforcement, the state ombudsman,
704	and the legal advocate to ensure the protection of shall seek
705	immediate legal or administrative remedies to protect the
706	resident.
707	(c) If the <u>state</u> ombudsman <u>or legal advocate</u> has reason to

707 (c) If the <u>state</u> ombudsman <u>or legal advocate</u> has reason to 708 believe that the long-term care facility or an employee of the 709 facility has committed a criminal act, the <u>state</u> ombudsman <u>or</u>

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

710 <u>legal advocate</u> shall provide the local law enforcement agency 711 with the relevant information to initiate an investigation of 712 the case.

(2) (a) Upon referral from a <u>district</u> local council, the state <u>ombudsman or his or her designee</u> council shall assume the responsibility for the disposition of the complaint. If a longterm care facility fails to take action <u>to resolve or remedy the</u> on a complaint by the state council, the state <u>ombudsman</u> council may, after obtaining approval from the ombudsman and a majority of the state council members:

720 <u>(a)</u>^{1.} In accordance with s. 400.0077, publicize the 721 complaint, the recommendations of the <u>representatives of the</u> 722 <u>office local or state council</u>, and the response of the long-term 723 care facility.

724 (b)2. Recommend to the department and the agency a series 725 of facility reviews pursuant to s. 400.19, s. 429.34, or s. 726 429.67 to ensure correction and nonrecurrence of <u>the</u> conditions 727 that <u>gave</u> give rise to <u>the complaint</u> complaints against <u>the</u> a 728 long-term care facility.

729 <u>(c)</u> 3. Recommend to the department and the agency that the 730 long-term care facility no longer receive payments under any 731 state assistance program, including Medicaid.

732 (d)4. Recommend to the department and the agency that 733 procedures be initiated for <u>action against</u> revocation of the 734 long-term care facility's license in accordance with chapter 735 120.

736 (b) If the state council chair believes that the health,
737 safety, welfare, or rights of the resident are in imminent
738 danger, the chair shall notify the ombudsman or legal advocate,

Page 26 of 35

349184

i	
739	who, after verifying that such imminent danger exists, shall
740	seek immediate legal or administrative remedies to protect the
741	resident.
742	(3) (c) If the <u>state</u> ombudsman, after consultation with the
743	legal advocate, has reason to believe that the long-term care
744	facility or an employee of the facility has committed a criminal
745	act, the <u>office</u> ombudsman shall provide local law enforcement
746	with the relevant information to initiate an investigation of
747	the case.
748	Section 35. Section 400.0078, Florida Statutes, is amended
749	to read:
750	400.0078 Citizen access to state Long-Term Care ombudsman
751	program services
752	(1) The office shall establish a statewide toll-free
753	telephone number and e-mail address for receiving complaints
754	concerning matters adversely affecting the health, safety,
755	welfare, or rights of residents.
756	(2) Every resident or representative of a resident shall
757	receive, Upon admission to a long-term care facility, <u>each</u>
758	resident or representative of a resident must receive
759	information regarding:
760	<u>(a)</u> The purpose of the state Long-Term Care ombudsman
761	program <u>.</u>
762	(b) The statewide toll-free telephone number and e-mail
763	address for receiving complaints., and
764	(c) Information that retaliatory action cannot be taken
765	against a resident for presenting grievances or for exercising
766	any other resident rights.
767	(d) Other relevant information regarding how to contact

Page 27 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

768 representatives of the office program. 769 Residents or their representatives must be furnished additional 770 771 copies of this information upon request. 772 Section 36. Section 400.0079, Florida Statutes, is amended 773 to read: 774 400.0079 Immunity.-775 (1) Any person making a complaint pursuant to this part who 776 does so in good faith shall be immune from any liability, civil 777 or criminal, that otherwise might be incurred or imposed as a 778 direct or indirect result of making the complaint. 779 (2) Representatives of the office and The ombudsman or any 780 person authorized by the ombudsman to act on behalf of the 781 office, as well as all members of the state council and local 782 councils, shall be immune from any liability, civil or criminal, that otherwise might be incurred or imposed during the good 783 784 faith performance of official duties. 785 Section 37. Section 400.0081, Florida Statutes, is amended 786 to read: 787 400.0081 Access to facilities, residents, and records.-788 (1) A long-term care facility shall provide representatives 789 of the office with, the state council and its members, and the local councils and their members access to: 790 791 (a) Access to Any portion of the long-term care facility 792 and residents any resident as necessary to investigate or 793 resolve a complaint. 794 (b) Appropriate access to medical and social records of a 795 resident for review as necessary to investigate or resolve a 796 complaint, if:

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

797	1. The representative of the office has the permission of
798	
	the resident or the legal representative of the resident; or
799	2. The resident is unable to consent to the review and has
800	no legal representative.
801	(c) <u>Access to</u> medical and social records of <u>a</u> the resident
802	as necessary to investigate or resolve a complaint, if:
803	1. A legal representative or guardian of the resident
804	refuses to give permission;
805	2. <u>A representative of</u> the office has reasonable cause to
806	believe that the <u>legal</u> representative or guardian is not acting
807	in the best interests of the resident; and
808	3. The <u>representative of the office</u> state or local council
809	member obtains the approval of the state ombudsman.
810	(d) <u>Access to</u> the administrative records, policies, and
811	documents to which residents or the general public have access.
812	(e) Upon request, copies of all licensing and certification
813	records maintained by the state with respect to a long-term care
814	facility.
815	(2) The department, in consultation with the state
816	ombudsman and the state council , may adopt rules to establish
817	procedures to ensure access to facilities, residents, and
818	records as described in this section.
819	Section 38. Section 400.0083, Florida Statutes, is amended
820	to read:
821	400.0083 Interference; retaliation; penalties
822	(1) It shall be unlawful for any person, long-term care
823	facility, or other entity to willfully interfere with a
824	representative of the office $\overline{\mathrm{or}}_{ au}$ the state council, or a local
825	council in the performance of official duties.

Page 29 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



826	(2) It shall be unlawful for any person, long-term care
827	facility, or other entity to knowingly or willfully take action
828	or retaliate against any resident, employee, or other person for
829	filing a complaint with, providing information to, or otherwise
830	cooperating with any representative of the office ${ m or}_{m au}$ the state
831	council, or a local council.
832	(3) Any person, long-term care facility, or other entity
833	that violates this section:
834	(a) Shall be liable for damages and equitable relief as
835	determined by law.
836	(b) Commits a misdemeanor of the second degree, punishable
837	as provided in s. 775.083.
838	Section 39. Section 400.0087, Florida Statutes, is amended
839	to read:
840	400.0087 Department oversight; funding
841	(1) The department shall meet the costs associated with the
842	state Long-Term Care ombudsman program from funds appropriated
843	to it.
844	(a) The department shall include the costs associated with
845	support of the state Long-Term Care ombudsman program when
846	developing its budget requests for consideration by the Governor
847	and submittal to the Legislature.
848	(b) The department may divert from the federal ombudsman
849	appropriation an amount equal to the department's administrative
850	cost ratio to cover the costs associated with administering the
851	state ombudsman program. The remaining allotment from the Older
852	Americans Act program shall be expended on direct ombudsman
853	activities.
854	(2) The department shall monitor the office <u>and</u> $_{ au}$ the state

Page 30 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966

349184

855 council, and the local councils to ensure that each is carrying 856 out the duties delegated to it by state and federal law. 857 (3) The department is responsible for ensuring that the office: 858 859 (a) Has the objectivity and independence required to 860 qualify it for funding under the federal Older Americans Act. 861 (b) Provides information to public and private agencies, 862 legislators, and others. 863 (c) Provides appropriate training to representatives of the 864 office or of the state or local councils. (d) Coordinates ombudsman services with **Disability Rights** 865 866 Florida the Advocacy Center for Persons with Disabilities and 867 with providers of legal services to residents of long-term care 868 facilities in compliance with state and federal laws. 869 (4) The department shall also: 870 (a) Receive and disburse state and federal funds for purposes that the state ombudsman has formulated in accordance 871 872 with the Older Americans Act. 873 (b) Whenever necessary, act as liaison between agencies and 874 branches of the federal and state governments and the office 875 State Long-Term Care Ombudsman Program. 876 Section 40. Section 400.0089, Florida Statutes, is amended 877 to read: 400.0089 Complaint data reports.-The office shall maintain 878 879 a statewide uniform reporting system to collect and analyze data 880 relating to complaints and conditions in long-term care 881 facilities and to residents for the purpose of identifying and 882 resolving significant complaints problems. The office shall publish quarterly and make readily available information 883 Page 31 of 35

4/30/2013 11:57:20 AM

Florida Senate - 2013 Bill No. CS for CS for SB 966



884 pertaining to the number and types of complaints received by the 885 state Long-Term Care ombudsman program and shall include such 886 information in the annual report required under s. 400.0065.

887 Section 41. Section 400.0091, Florida Statutes, is amended 888 to read:

400.0091 Training.—The <u>state</u> ombudsman shall ensure that appropriate training is provided to all <u>representatives</u> employees of the office and to the members of the state and <u>local councils</u>.

(1) All <u>representatives</u> state and local council members and
employees of the office shall be given a minimum of 20 hours of
training upon employment with the office or <u>appointment as an</u>
<u>ombudsman. Ten</u> approval as a state or local council member and
hours of continuing education <u>are required</u> annually
thereafter.

899 (2) The <u>state</u> ombudsman shall approve the curriculum for
900 the initial and continuing education training, which must, at a
901 minimum, address:

- 902 (a) Resident confidentiality.
 - (b) Guardianships and powers of attorney.
 - (c) Medication administration.

905 (d) Care and medication of residents with dementia and 906 Alzheimer's disease.

- 907 (e) Accounting for residents' funds.
- 908 (f) Discharge rights and responsibilities.
- 909 (g) Cultural sensitivity.

910 (h) Any other topic related to residency within a long-term care facility recommended by the secretary. 911

912 (3) <u>An individual</u> No employee, officer, or representative

903

904

Page 32 of 35



913 of the office or of the state or local councils, other than the state ombudsman, may not hold himself or herself out as a 914 915 representative of the office State Long-Term Care Ombudsman 916 Program or conduct any authorized program duty described in this 917 part unless the individual person has received the training 918 required by this section and has been certified by the state 919 ombudsman as qualified to carry out ombudsman activities on 920 behalf of the office or the state or local councils. 921 922 923 And the title is amended as follows: 924 Delete lines 90 - 106 925 and insert: 926 amending s. 397.403, F.S.; revising references to 927 certain accrediting agencies to changes made by the 928 act; amending s. 400.0060, F.S.; revising and 929 providing definitions; amending s. 400.0061, F.S.; 930 revising legislative intent with respect to citizen 931 ombudsmen; deleting references to ombudsman councils 932 and transferring their responsibilities to 933 representatives of the Office of State Long-Term Care 934 Ombudsman; amending s. 400.0063, F.S.; revising duties 935 of the office; amending s. 400.0065, F.S.; revising 936 the purpose of the Office of State Long-Term Care 937 Ombudsman; establishing districts; requiring the state 938 ombudsman to submit an annual report to the Governor, 939 the Legislature, and specified agencies and entities; 940 amending s. 400.0067, F.S.; revising duties and 941 membership of the State Long-Term Care Ombudsman

Page 33 of 35

Florida Senate - 2013 Bill No. CS for CS for SB 966



942 Council; amending s. 400.0069, F.S.; requiring the 943 state ombudsman to designate and direct program 944 districts; providing duties of representatives of the 945 office in the districts; providing for appointment and 946 qualifications of district ombudsmen; prohibiting 947 certain individuals from serving as ombudsmen; 948 providing for appointment of ombudsmen; amending s. 949 400.0070, F.S.; providing conditions under which a 950 representative of the office could be found to have a 951 conflict of interest; amending s. 400.0071, F.S.; 952 requiring the Department of Elderly Affairs to consult 953 with the state ombudsman before adopting rules 954 pertaining to complaint resolution; amending s. 955 400.0073, F.S.; providing procedures for investigation 956 of complaints; amending s. 400.0074, F.S.; revising 957 procedures for conducting onsite administrative 958 assessments; authorizing the department to adopt 959 rules; amending s. 400.0075, F.S.; revising complaint 960 notification and resolution procedures; amending s. 961 400.0078, F.S.; providing for a resident or 962 representative of a resident to receive additional 963 information regarding resident rights; amending s. 964 400.0079, F.S.; providing immunity from liability for 965 a representative of the office under certain 966 circumstances; amending s. 400.0081, F.S.; requiring 967 long-term care facilities to provide representatives 968 of the office with access to facilities, residents, 969 and records for certain purposes; amending s. 970 400.0083, F.S.; conforming provisions to changes made

Florida Senate - 2013 Bill No. CS for CS for SB 966



971 by the act; amending s. 400.0087, F.S.; providing for 972 the office to coordinate ombudsman services with 973 Disability Rights Florida; amending s. 400.0089, F.S.; 974 conforming provisions to changes made by the act; 975 amending s. 400.0091, F.S.; revising training 976 requirements for representatives of the office and 977 ombudsmen; amending s. 400.462, F.S.; defining