By Senator Garcia

	38-00318A-14 20141486
1	A bill to be entitled
2	An act relating to transitional living
3	facilities; creating part XI of ch. 400, F.S.;
4	providing legislative intent; providing definitions;
5	requiring the licensure of transitional living
6	facilities; providing license fees and application
7	requirements; requiring accreditation of licensed
8	facilities; providing requirements for transitional
9	living facility policies and procedures governing
10	client admission, transfer, and discharge; requiring a
11	comprehensive treatment plan to be developed for each
12	client; providing plan and staffing requirements;
13	requiring certain consent for continued treatment in a
14	transitional living facility; providing licensee
15	responsibilities; providing notice requirements;
16	prohibiting a licensee or employee of a facility from
17	serving notice upon a client to leave the premises or
18	take other retaliatory action under certain
19	circumstances; requiring the client and client's
20	representative to be provided with certain
21	information; requiring the licensee to develop and
22	implement certain policies and procedures; providing
23	licensee requirements relating to administration of
24	medication; requiring maintenance of medication
25	administration records; providing requirements for
26	administration of medications by unlicensed staff;
27	specifying who may conduct training of staff;
28	requiring licensees to adopt policies and procedures
29	for administration of medications by trained staff;

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38-00318A-14 20141486 30 requiring the Agency for Health Care Administration to 31 adopt rules; providing requirements for the screening 32 of potential employees and training and monitoring of employees for the protection of clients; requiring 33 34 licensees to implement certain policies and procedures to protect clients; providing conditions for 35 36 investigating and reporting incidents of abuse, 37 neglect, mistreatment, or exploitation of clients; providing requirements and limitations for the use of 38 39 physical restraints, seclusion, and chemical restraint 40 medication on clients; providing a limitation on the 41 duration of an emergency treatment order; requiring notification of certain persons when restraint or 42 seclusion is imposed; authorizing the agency to adopt 43 44 rules; providing background screening requirements; requiring the licensee to maintain certain personnel 45 46 records; providing administrative responsibilities for 47 licensees; providing recordkeeping requirements; providing licensee responsibilities with respect to 48 49 the property and personal affairs of clients; providing requirements for a licensee with respect to 50 51 obtaining surety bonds; providing recordkeeping 52 requirements relating to the safekeeping of personal 53 effects; providing requirements for trust funds or 54 other property received by a licensee and credited to the client; providing a penalty for certain misuse of 55 56 a client's personal funds, property, or personal needs 57 allowance; providing criminal penalties for 58 violations; providing for the disposition of property

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60	agency to adopt rules; providing legislative intent;
61	authorizing the agency to adopt and enforce rules
62	establishing standards for transitional living
63	facilities and personnel thereof; classifying
64	violations and providing penalties therefor; providing
65	administrative fines for specified classes of
66	violations; authorizing the agency to apply certain
67	provisions with regard to receivership proceedings;
68	requiring the agency, the Department of Health, the
69	Agency for Persons with Disabilities, and the
70	Department of Children and Families to develop
71	electronic information systems for certain purposes;
72	repealing s. 400.805, F.S., relating to transitional
73	living facilities; revising the title of part V of ch.
74	400, F.S.; amending s. 381.745, F.S.; revising the
75	definition of the term "transitional living facility,"
76	to conform; amending s. 381.75, F.S.; revising the
77	duties of the Department of Health and the agency
78	relating to transitional living facilities; amending
79	ss. 381.78, 400.93, 408.802, and 408.820, F.S.;
80	conforming provisions to changes made by the act;
81	providing applicability with respect to transitional
82	living facilities licensed before a specified date;
83	providing effective dates.
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85	Be It Enacted by the Legislature of the State of Florida:
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87	Section 1. Part XI of chapter 400, Florida Statutes,
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88	consisting of sections 400.997 through 400.9985, is created to
89	read:
90	PART XI
91	TRANSITIONAL LIVING FACILITIES
92	400.997 Legislative intentIt is the intent of the
93	Legislature to provide for the licensure of transitional living
94	facilities and require the development, establishment, and
95	enforcement of basic standards by the Agency for Health Care
96	Administration to ensure quality of care and services to clients
97	in transitional living facilities. It is the policy of the state
98	that the least restrictive appropriate available treatment be
99	used based on the individual needs and best interest of the
100	client, consistent with optimum improvement of the client's
101	condition. The goal of a transitional living program for persons
102	who have brain or spinal cord injuries is to assist each person
103	who has such an injury to achieve a higher level of independent
104	functioning and to enable the person to reenter the community.
105	It is also the policy of the state that the restraint or
106	seclusion of a client is justified only as an emergency safety
107	measure used in response to danger to the client or others. It
108	is therefore the intent of the Legislature to achieve an ongoing
109	reduction in the use of restraint or seclusion in programs and
110	facilities that serve persons who have brain or spinal cord
111	injuries.
112	400.9971 Definitions.—As used in this part, the term:
113	(1) "Agency" means the Agency for Health Care
114	Administration.
115	(2) "Chemical restraint" means a pharmacologic drug that
116	physically limits, restricts, or deprives a person of movement
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117	or mobility, is used for client protection or safety, and is not
118	required for the treatment of medical conditions or symptoms.
119	(3) "Client's representative" means the parent of a child
120	client or the client's guardian, designated representative,
121	designee, surrogate, or attorney in fact.
122	(4) "Department" means the Department of Health.
123	(5) "Physical restraint" means a manual method to restrict
124	freedom of movement of or normal access to a person's body, or a
125	physical or mechanical device, material, or equipment attached
126	or adjacent to the person's body that the person cannot easily
127	remove and that restricts freedom of movement of or normal
128	access to the person's body, including, but not limited to, a
129	half-bed rail, a full-bed rail, a geriatric chair, or a Posey
130	restraint. The term includes any device that is not specifically
131	manufactured as a restraint but is altered, arranged, or
132	otherwise used for this purpose. The term does not include
133	bandage material used for the purpose of binding a wound or
134	injury.
135	(6) "Seclusion" means the physical segregation of a person
136	in any fashion or the involuntary isolation of a person in a
137	room or area from which the person is prevented from leaving.
138	Such prevention may be accomplished by imposition of a physical
139	barrier or by action of a staff member to prevent the person
140	from leaving the room or area. For purposes of this part, the
141	term does not mean isolation due to a person's medical condition
142	or symptoms.
143	(7) "Transitional living facility" means a site where
144	specialized health care services are provided to persons who
145	have brain or spinal cord injuries, including, but not limited

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146	to, rehabilitative services, behavior modification, community
147	reentry training, aids for independent living, and counseling.
148	400.9972 License required; fee; application
149	(1) The requirements of part II of chapter 408 apply to the
150	provision of services that require licensure pursuant to this
151	part and part II of chapter 408 and to entities licensed by or
152	applying for licensure from the agency pursuant to this part. A
153	license issued by the agency is required for the operation of a
154	transitional living facility in this state. However, this part
155	does not require a provider licensed by the agency to obtain a
156	separate transitional living facility license to serve persons
157	who have brain or spinal cord injuries as long as the services
158	provided are within the scope of the provider's license.
159	(2) In accordance with this part, an applicant or a
160	licensee shall pay a fee for each license application submitted
161	under this part. The license fee shall consist of a \$4,588
162	license fee and a \$90 per-bed fee per biennium and shall conform
163	to the annual adjustment authorized in s. 408.805.
164	(3) An applicant for licensure must provide:
165	(a) The location of the facility for which the license is
166	sought and documentation, signed by the appropriate local
167	government official, which states that the applicant has met
168	local zoning requirements.
169	(b) Proof of liability insurance as defined in s.
170	<u>624.605(1)(b).</u>
171	(c) Proof of compliance with local zoning requirements,
172	including compliance with the requirements of chapter 419 if the
173	proposed facility is a community residential home.
174	(d) Proof that the facility has received a satisfactory
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175	firesafety inspection.
176	(e) Documentation that the facility has received a
177	satisfactory sanitation inspection by the county health
178	department.
179	(4) The applicant's proposed facility must attain and
180	continuously maintain accreditation by an accrediting
181	organization that specializes in evaluating rehabilitation
182	facilities whose standards incorporate licensure regulations
183	comparable to those required by the state. An applicant for
184	licensure as a transitional living facility must acquire
185	accreditation within 12 months after issuance of an initial
186	license. The agency shall accept the accreditation survey report
187	of the accrediting organization in lieu of conducting a
188	licensure inspection if the standards included in the survey
189	report are determined by the agency to document that the
190	facility substantially complies with state licensure
191	requirements. Within 10 days after receiving the accreditation
192	survey report, the applicant shall submit to the agency a copy
193	of the report and evidence of the accreditation decision as a
194	result of the report. The agency may conduct an inspection of a
195	transitional living facility to ensure compliance with the
196	licensure requirements of this part, to validate the inspection
197	process of the accrediting organization, to respond to licensure
198	complaints, or to protect the public health and safety.
199	400.9973 Client admission, transfer, and discharge
200	(1) A transitional living facility shall have written
201	policies and procedures governing the admission, transfer, and
202	discharge of clients.
203	(2) The admission of a client to a transitional living

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204	facility must be in accordance with the licensee's policies and
205	procedures.
206	(3) A client admitted to a transitional living facility
207	must have a brain or spinal cord injury, such as a lesion to the
208	spinal cord or cauda equina syndrome, with evidence of
209	significant involvement of at least two of the following
210	deficits or dysfunctions:
211	(a) A motor deficit.
212	(b) A sensory deficit.
213	(c) Bowel and bladder dysfunction.
214	(d) An acquired internal or external injury to the skull,
215	the brain, or the brain's covering, whether caused by a
216	traumatic or nontraumatic event, which produces an altered state
217	of consciousness or an anatomic motor, sensory, cognitive, or
218	behavioral deficit.
219	(4) A client whose medical condition and diagnosis do not
220	positively identify a cause of the client's condition, whose
221	symptoms are inconsistent with the known cause of injury, or
222	whose recovery is inconsistent with the known medical condition
223	may be admitted to a transitional living facility for evaluation
224	for a period not to exceed 90 days.
225	(5) A client admitted to a transitional living facility
226	must be admitted upon prescription by a licensed physician,
227	physician assistant, or advanced registered nurse practitioner
228	and must remain under the care of a licensed physician,
229	physician assistant, or advanced registered nurse practitioner
230	for the duration of the client's stay in the facility.
231	(6) A transitional living facility may not admit a person
232	whose primary admitting diagnosis is mental illness or an

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233	intellectual or developmental disability.
234	(7) A person may not be admitted to a transitional living
235	facility if the person:
236	(a) Presents significant risk of infection to other clients
237	or personnel. A health care practitioner must provide
238	documentation that the person is free of apparent signs and
239	symptoms of communicable disease;
240	(b) Is a danger to himself or herself or others as
241	determined by a physician, physician assistant, or advanced
242	registered nurse practitioner or a mental health practitioner
243	licensed under chapter 490 or chapter 491, unless the facility
244	provides adequate staffing and support to ensure patient safety;
245	(c) Is bedridden; or
246	(d) Requires 24-hour nursing supervision.
247	(8) If the client meets the admission criteria, the medical
248	or nursing director of the facility must complete an initial
249	evaluation of the client's functional skills, behavioral status,
250	cognitive status, educational or vocational potential, medical
251	status, psychosocial status, sensorimotor capacity, and other
252	related skills and abilities within the first 72 hours after the
253	client's admission to the facility. An initial comprehensive
254	treatment plan that delineates services to be provided and
255	appropriate sources for such services must be implemented within
256	the first 4 days after admission.
257	(9) A transitional living facility shall develop a
258	discharge plan for each client before or upon admission to the
259	facility. The discharge plan must identify the intended
260	discharge site and possible alternative discharge sites. For
261	each discharge site identified, the discharge plan must identify

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262	the skills, behaviors, and other conditions that the client must
263	achieve to be eligible for discharge. A discharge plan must be
264	reviewed and updated as necessary but at least once monthly.
265	(10) A transitional living facility shall discharge a
266	client as soon as practicable when the client no longer requires
267	the specialized services described in s. 400.9971(7), when the
268	client is not making measurable progress in accordance with the
269	client's comprehensive treatment plan, or when the transitional
270	living facility is no longer the most appropriate and least
271	restrictive treatment option.
272	(11) A transitional living facility shall provide at least
273	30 days' notice to a client of transfer or discharge plans,
274	including the location of an acceptable transfer location if the
275	client is unable to live independently. This subsection does not
276	apply if a client voluntarily terminates residency.
277	400.9974 Client comprehensive treatment plans; client
278	services
279	(1) A transitional living facility shall develop a
280	comprehensive treatment plan for each client as soon as
281	practicable but no later than 30 days after the initial
282	comprehensive treatment plan is developed. The comprehensive
283	treatment plan must be developed by an interdisciplinary team
284	consisting of the case manager, the program director, the
285	advanced registered nurse practitioner, and appropriate
286	therapists. The client or, if appropriate, the client's
287	representative must be included in developing the comprehensive
288	treatment plan. The comprehensive treatment plan must be
289	reviewed and updated if the client fails to meet projected
290	improvements outlined in the plan or if a significant change in

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the client's condition occurs. The comprehensive treatment plan
must be reviewed and updated at least once monthly.
(2) The comprehensive treatment plan must include:
(a) Orders obtained from the physician, physician
assistant, or advanced registered nurse practitioner and the
client's diagnosis, medical history, physical examination, and
rehabilitative or restorative needs.
(b) A preliminary nursing evaluation, including orders for
immediate care provided by the physician, physician assistant,
or advanced registered nurse practitioner, which shall be
completed when the client is admitted.
(c) A comprehensive, accurate, reproducible, and
standardized assessment of the client's functional capability;
the treatments designed to achieve skills, behaviors, and other
conditions necessary for the client to return to the community;
and specific measurable goals.
(d) Steps necessary for the client to achieve transition
into the community and estimated length of time to achieve those
goals.
(3) The client or, if appropriate, the client's
representative must consent to the continued treatment at the
transitional living facility. Consent may be for a period of up
to 3 months. If such consent is not given, the transitional
living facility shall discharge the client as soon as
practicable.
(4) A client must receive the professional program services
needed to implement the client's comprehensive treatment plan.
(5) The licensee must employ qualified professional staff
to carry out and monitor the various professional interventions
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320	in accordance with the stated goals and objectives of the
321	client's comprehensive treatment plan.
322	(6) A client must receive a continuous treatment program
323	that includes appropriate, consistent implementation of
324	specialized and general training, treatment, health services,
325	and related services and that is directed toward:
326	(a) The acquisition of the behaviors and skills necessary
327	for the client to function with as much self-determination and
328	independence as possible.
329	(b) The prevention or deceleration of regression or loss of
330	current optimal functional status.
331	(c) The management of behavioral issues that preclude
332	independent functioning in the community.
333	400.9975 Licensee responsibilities
334	(1) The licensee shall ensure that each client:
335	(a) Lives in a safe environment free from abuse, neglect,
336	and exploitation.
337	(b) Is treated with consideration and respect and with due
338	recognition of personal dignity, individuality, and the need for
339	privacy.
340	(c) Retains and uses his or her own clothes and other
341	personal property in his or her immediate living quarters to
342	maintain individuality and personal dignity, except when the
343	licensee demonstrates that such retention and use would be
344	unsafe, impractical, or an infringement upon the rights of other
345	clients.
346	(d) Has unrestricted private communication, including
347	receiving and sending unopened correspondence, access to a
348	telephone, and visits with any person of his or her choice. Upon
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349	request, the licensee shall modify visiting hours for caregivers
350	and guests. The facility shall restrict communication in
351	accordance with any court order or written instruction of a
352	client's representative. Any restriction on a client's
353	communication for therapeutic reasons shall be documented and
354	reviewed at least weekly and shall be removed as soon as no
355	longer clinically indicated. The basis for the restriction shall
356	be explained to the client and, if applicable, the client's
357	representative. The client shall retain the right to call the
358	central abuse hotline, the agency, and Disability Rights Florida
359	at any time.
360	(e) Has the opportunity to participate in and benefit from
361	community services and activities to achieve the highest
362	possible level of independence, autonomy, and interaction within
363	the community.
364	(f) Has the opportunity to manage his or her financial
365	affairs unless the client or, if applicable, the client's
366	representative authorizes the administrator of the facility to
367	provide safekeeping for funds as provided under this part.
368	(g) Has reasonable opportunity for regular exercise more
369	than once per week and to be outdoors at regular and frequent
370	intervals except when prevented by inclement weather.
371	(h) Has the opportunity to exercise civil and religious
372	liberties, including the right to independent personal
373	decisions. However, a religious belief or practice, including
374	attendance at religious services, may not be imposed upon any
375	client.
376	(i) Has access to adequate and appropriate health care
377	consistent with established and recognized community standards.

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378	
379	changes in policies, procedures, and services to the staff of
380	the licensee, governing officials, or any other person without
381	restraint, interference, coercion, discrimination, or reprisal.
382	A licensee shall establish a grievance procedure to facilitate a
383	client's ability to present grievances, including a system for
384	investigating, tracking, managing, and responding to complaints
385	by a client or, if applicable, the client's representative and
386	an appeals process. The appeals process must include access to
387	Disability Rights Florida and other advocates and the right to
388	be a member of, be active in, and associate with advocacy or
389	special interest groups.
390	(2) The licensee shall:
391	(a) Promote participation of the client's representative in
392	the process of providing treatment to the client unless the
393	representative's participation is unobtainable or inappropriate.
394	(b) Answer communications from the client's family,
395	guardians, and friends promptly and appropriately.
396	(c) Promote visits by persons with a relationship to the
397	client at any reasonable hour, without requiring prior notice,
398	in any area of the facility that provides direct care services
399	to the client, consistent with the client's and other clients'
400	privacy, unless the interdisciplinary team determines that such
401	a visit would not be appropriate.
402	(d) Promote opportunities for the client to leave the
403	facility for visits, trips, or vacations.
404	(e) Promptly notify the client's representative of a
405	significant incident or change in the client's condition,
406	including, but not limited to, serious illness, accident, abuse,

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20141486 38-00318A-14 407 unauthorized absence, or death. 408 (3) The administrator of a facility shall ensure that a 409 written notice of licensee responsibilities is posted in a 410 prominent place in each building where clients reside and is 411 read or explained to clients who cannot read. This notice shall 412 be provided to clients in a manner that is clearly legible, 413 shall include the statewide toll-free telephone number for reporting complaints to the agency, and shall include the words: 414 415 "To report a complaint regarding the services you receive, please call toll-free ... [telephone number] ... or Disability 416 417 Rights Florida ... [telephone number] " The statewide toll-418 free telephone number for the central abuse hotline shall be 419 provided to clients in a manner that is clearly legible and 420 shall include the words: "To report abuse, neglect, or exploitation, please call toll-free ... [telephone number] " 421 The licensee shall ensure a client's access to a telephone where 422 423 telephone numbers are posted as required by this subsection. 424 (4) A licensee or employee of a facility may not serve 425 notice upon a client to leave the premises or take any other 426 retaliatory action against another person solely because of the 427 following: 428 (a) The client or other person files an internal or 429 external complaint or grievance regarding the facility. 430 (b) The client or other person appears as a witness in a 431 hearing inside or outside the facility. 4.32 (5) Before or at the time of admission, the client and, if 433 applicable, the client's representative shall receive a copy of 434 the licensee's responsibilities, including grievance procedures and telephone numbers, as provided in this section. 435

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436	(6) The licensee must develop and implement policies and
437	procedures governing the release of client information,
438	including consent necessary from the client or, if applicable,
439	the client's representative.
440	400.9976 Administration of medication
441	(1) An individual medication administration record must be
442	maintained for each client. A dose of medication, including a
443	self-administered dose, shall be properly recorded in the
444	client's record. A client who self-administers medication shall
445	be given a pill organizer. Medication must be placed in the pill
446	organizer by a nurse. A nurse shall document the date and time
447	that medication is placed into each client's pill organizer. All
448	medications must be administered in compliance with orders of a
449	physician, physician assistant, or advanced registered nurse
450	practitioner.
451	(2) If an interdisciplinary team determines that self-
452	administration of medication is an appropriate objective, and if
453	the physician, physician assistant, or advanced registered nurse
454	practitioner does not specify otherwise, the client must be
455	instructed by the physician, physician assistant, or advanced
456	registered nurse practitioner to self-administer his or her
457	medication without the assistance of a staff person. All forms
458	of self-administration of medication, including administration
459	orally, by injection, and by suppository, shall be included in
460	the training. The client's physician, physician assistant, or
461	advanced registered nurse practitioner must be informed of the
462	interdisciplinary team's decision that self-administration of
463	medication is an objective for the client. A client may not
464	self-administer medication until he or she demonstrates the

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465	competency to take the correct medication in the correct dosage
466	at the correct time, to respond to missed doses, and to contact
467	the appropriate person with questions.
468	(3) Medication administration discrepancies and adverse
469	drug reactions must be recorded and reported immediately to a
470	physician, physician assistant, or advanced registered nurse
471	practitioner.
472	400.9977 Assistance with medication
473	(1) Notwithstanding any provision of part I of chapter 464,
474	the Nurse Practice Act, unlicensed direct care services staff
475	who provide services to clients in a facility licensed under
476	this chapter or chapter 429 may administer prescribed,
477	prepackaged, and premeasured medications under the general
478	supervision of a registered nurse as provided under this section
479	and applicable rules.
480	(2) Training required by this section and applicable rules
481	shall be conducted by a registered nurse licensed under chapter
482	464, a physician licensed under chapter 458 or chapter 459, or a
483	pharmacist licensed under chapter 465.
484	(3) A facility that allows unlicensed direct care service
485	staff to administer medications pursuant to this section shall:
486	(a) Develop and implement policies and procedures that
487	include a plan to ensure the safe handling, storage, and
488	administration of prescription medications.
489	(b) Maintain written evidence of the expressed and informed
490	consent for each client.
491	(c) Maintain a copy of the written prescription, including
492	the name of the medication, the dosage, and the administration
493	schedule and termination date.

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494	(d) Maintain documentation of compliance with required
495	training.
496	(4) The agency shall adopt rules to implement this section.
497	400.9978 Protection of clients from abuse, neglect,
498	mistreatment, and exploitationThe licensee shall develop and
499	implement policies and procedures for the screening and training
500	of employees; the protection of clients; and the prevention,
501	identification, investigation, and reporting of abuse, neglect,
502	mistreatment, and exploitation. The licensee shall identify
503	clients whose personal histories render them at risk for abusing
504	other clients, develop intervention strategies to prevent
505	occurrences of abuse, monitor clients for changes that would
506	trigger abusive behavior, and reassess the interventions on a
507	regular basis. A licensee shall:
508	(1) Screen each potential employee for a history of abuse,
509	neglect, mistreatment, or exploitation of clients. The screening
510	shall include an attempt to obtain information from previous and
511	current employers and verification of screening information by
512	the appropriate licensing boards.
513	(2) Train employees through orientation and ongoing
514	sessions regarding issues related to abuse prohibition
515	practices, including identification of abuse, neglect,
516	mistreatment, and exploitation; appropriate interventions to
517	address aggressive or catastrophic reactions of clients; the
518	process for reporting allegations without fear of reprisal; and
519	recognition of signs of frustration and stress that may lead to
520	abuse.
521	(3) Provide clients, families, and staff with information
522	regarding how and to whom they may report concerns, incidents,

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523	and grievances without fear of retribution and provide feedback
524	regarding the concerns that are expressed. A licensee shall
525	identify, correct, and intervene in situations in which abuse,
526	neglect, mistreatment, or exploitation is likely to occur,
527	including:
528	(a) Evaluating the physical environment of the facility to
529	identify characteristics that may make abuse or neglect more
530	likely to occur, such as secluded areas.
531	(b) Providing sufficient staff on each shift to meet the
532	needs of the clients and ensuring that the assigned staff have
533	knowledge of each client's care needs.
534	(c) Identifying inappropriate staff behaviors, such as
535	using derogatory language, rough handling of clients, ignoring
536	clients while giving care, and directing clients who need
537	toileting assistance to urinate or defecate in their beds.
538	(d) Assessing, monitoring, and planning care for clients
539	with needs and behaviors that might lead to conflict or neglect,
540	such as a history of aggressive behaviors including entering
541	other clients' rooms without permission, exhibiting self-
542	injurious behaviors or communication disorders, requiring
543	intensive nursing care, or being totally dependent on staff.
544	(4) Identify events, such as suspicious bruising of
545	clients, occurrences, patterns, and trends that may constitute
546	abuse and determine the direction of the investigation.
547	(5) Investigate alleged violations and different types of
548	incidents, identify the staff member responsible for initial
549	reporting, and report results to the proper authorities. The
550	licensee shall analyze the incidents to determine whether
551	policies and procedures need to be changed to prevent further

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552	incidents and take necessary corrective actions.
553	(6) Protect clients from harm during an investigation.
554	(7) Report alleged violations and substantiated incidents,
555	as required under chapters 39 and 415, to the licensing
556	authorities and all other agencies, as required, and report any
557	knowledge of actions by a court of law that would indicate an
558	employee is unfit for service.
559	400.9979 Restraint and seclusion; client safety
560	(1) A facility shall provide a therapeutic milieu that
561	supports a culture of individual empowerment and responsibility.
562	The health and safety of the client shall be the facility's
563	primary concern at all times.
564	(2) The use of physical restraints must be ordered and
565	documented by a physician, physician assistant, or advanced
566	registered nurse practitioner and must be consistent with the
567	policies and procedures adopted by the facility. The client or,
568	if applicable, the client's representative shall be informed of
569	the facility's physical restraint policies and procedures when
570	the client is admitted.
571	(3) The use of chemical restraints shall be limited to
572	prescribed dosages of medications as ordered by a physician,
573	physician assistant, or advanced registered nurse practitioner
574	and must be consistent with the client's diagnosis and the
575	policies and procedures adopted by the facility. The client and,
576	if applicable, the client's representative shall be informed of
577	the facility's chemical restraint policies and procedures when
578	the client is admitted.
579	(4) Based on the assessment by a physician, physician
580	assistant, or advanced registered nurse practitioner, if a

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581	client exhibits symptoms that present an immediate risk of
582	injury or death to himself or herself or others, a physician,
583	physician assistant, or advanced registered nurse practitioner
584	may issue an emergency treatment order to immediately administer
585	rapid-response psychotropic medications or other chemical
586	restraints. Each emergency treatment order must be documented
587	and maintained in the client's record.
588	(a) An emergency treatment order is not effective for more
589	than 24 hours.
590	(b) Whenever a client is medicated under this subsection,
591	the client's representative or a responsible party and the
592	client's physician, physician assistant, or advanced registered
593	nurse practitioner shall be notified as soon as practicable.
594	(5) A client who is prescribed and receives a medication
595	that can serve as a chemical restraint for a purpose other than
596	an emergency treatment order must be evaluated by his or her
597	physician, physician assistant, or advanced registered nurse
598	practitioner at least monthly to assess:
599	(a) The continued need for the medication.
600	(b) The level of the medication in the client's blood.
601	(c) The need for adjustments to the prescription.
602	(6) The licensee shall ensure that clients are free from
603	unnecessary drugs and physical restraints and are provided
604	treatment to reduce dependency on drugs and physical restraints.
605	(7) The licensee may only employ physical restraints and
606	seclusion as authorized by the facility's written policies,
607	which shall comply with this section and applicable rules.
608	(8) Interventions to manage dangerous client behavior shall
609	be employed with sufficient safeguards and supervision to ensure

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 that the safety, welfare, and civil and human rights of a client are adequately protected. (9) A facility shall notify the parent, guardian, or, if applicable, the client's representative when restraint or seclusion is employed. The facility must provide the notification within 24 hours after the restraint or seclusion is employed. Reasonable efforts must be taken to notify the parent, guardian, or, if applicable, the client's representative by telephone or e-mail, or both, and these efforts must be documented. (10) The agency may adopt rules that establish standards and procedures for the use of restraints, restraint positioning, seclusion, and emergency treatment orders for psychotropic medications, restraint, and seclusion. These rules must include during restraint, and documentation and reporting standards. 400.998 Personnel background screening; administration and management procedures (1) The agency shall require level 2 background screening for licensee personnel as required in s. 408.809(1) (e) and pursuant to chapter 435 and s. 408.809. (2) The licensee shall maintain personnel records for each staff member that contain, at a minimum, documentation of background screening, a job description, documentation of applicable rules, the employment application, references, a copy of each job performance evaluation, and, for each staff member who performs services for which licensure or certification is 		38-00318A-14 20141486
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	636	of each job performance evaluation, and, for each staff member
638 required, a copy of all licenses or certification held by that	637	who performs services for which licensure or certification is
	638	required, a copy of all licenses or certification held by that

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639	staff member.
640	(3) The licensee must:
641	(a) Develop and implement infection control policies and
642	procedures and include the policies and procedures in the
643	licensee's policy manual.
644	(b) Maintain liability insurance as defined in s.
645	<u>624.605(1)(b).</u>
646	(c) Designate one person as an administrator to be
647	responsible and accountable for the overall management of the
648	facility.
649	(d) Designate in writing a person to be responsible for the
650	facility when the administrator is absent from the facility for
651	more than 24 hours.
652	(e) Designate in writing a program director to be
653	responsible for supervising the therapeutic and behavioral
654	staff, determining the levels of supervision, and determining
655	room placement for each client.
656	(f) Designate in writing a person to be responsible when
657	the program director is absent from the facility for more than
658	24 hours.
659	(g) Obtain approval of the comprehensive emergency
660	management plan, pursuant to s. 400.9982(2)(e), from the local
661	emergency management agency. Pending the approval of the plan,
662	the local emergency management agency shall ensure that the
663	following agencies, at a minimum, are given the opportunity to
664	review the plan: the Department of Health, the Agency for Health
665	Care Administration, and the Division of Emergency Management.
666	Appropriate volunteer organizations shall also be given the
667	opportunity to review the plan. The local emergency management

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668 agency shall complete its review within 60 days after re	
	ceipt of
669 the plan and either approve the plan or advise the licen	see of
670 <u>necessary revisions.</u>	
671 (h) Maintain written records in a form and system t	hat
672 comply with medical and business practices and make the	records
673 available by the facility for review or submission to th	le agency
674 upon request. The records shall include:	
675 <u>1. A daily census record that indicates the number</u>	of
676 <u>clients currently receiving services in the facility</u> , in	cluding
677 information regarding any public funding of such clients	•
678 2. A record of each accident or unusual incident in	volving
679 a client or staff member that caused, or had the potenti	al to
680 cause, injury or harm to any person or property within t	he
681 facility. The record shall contain a clear description o	f each
682 accident or incident; the names of the persons involved;	a
683 description of medical or other services provided to the	se
684 persons, including the provider of the services; and the	steps
685 taken to prevent recurrence of such accident or incident	•
686 3. A copy of current agreements with third-party pr	oviders.
687 4. A copy of current agreements with each consultan	it
688 employed by the licensee and documentation of a consulta	.nt's
689 visits and required written and dated reports.	
690 400.9981 Property and personal affairs of clients	-
691 (1) A client shall be given the option of using his	or her
692 own belongings, as space permits; choosing a roommate if	-
693 practical and not clinically contraindicated; and, whene	ever
694 possible, unless the client is adjudicated incompetent o	or
695 incapacitated under state law, managing his or her own a	ffairs.
696 (2) The admission of a client to a facility and his	or her

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697	presence therein does not confer on a licensee or administrator,
698	or an employee or representative thereof, any authority to
699	manage, use, or dispose of the property of the client, and the
700	admission or presence of a client does not confer on such person
701	any authority or responsibility for the personal affairs of the
702	client except that which may be necessary for the safe
703	management of the facility or for the safety of the client.
704	(3) A licensee or administrator, or an employee or
705	representative thereof, may:
706	(a) Not act as the guardian, trustee, or conservator for a
707	client or a client's property.
708	(b) Act as a competent client's payee for social security,
709	veteran's, or railroad benefits if the client provides consent
710	and the licensee files a surety bond with the agency in an
711	amount equal to twice the average monthly aggregate income or
712	personal funds due to the client, or expendable for the client's
713	account, that are received by a licensee.
714	(c) Act as the attorney in fact for a client if the
715	licensee files a surety bond with the agency in an amount equal
716	to twice the average monthly income of the client, plus the
717	value of a client's property under the control of the attorney
718	in fact.
719	
720	The surety bond required under paragraph (b) or paragraph (c)
721	shall be executed by the licensee as principal and a licensed
722	surety company. The bond shall be conditioned upon the faithful
723	compliance of the licensee with the requirements of licensure
724	and is payable to the agency for the benefit of a client who
725	suffers a financial loss as a result of the misuse or

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726	misappropriation of funds held pursuant to this subsection. A
727	surety company that cancels or does not renew the bond of a
728	licensee shall notify the agency in writing at least 30 days
729	before the action, giving the reason for cancellation or
730	nonrenewal. A licensee or administrator, or an employee or
731	representative thereof, who is granted power of attorney for a
732	client of the facility shall, on a monthly basis, notify the
733	client in writing of any transaction made on behalf of the
734	client pursuant to this subsection, and a copy of the
735	notification given to the client shall be retained in the
736	client's file and available for agency inspection.
737	(4) A licensee, with the consent of the client, shall
738	provide for safekeeping in the facility of the client's personal
739	effects of a value not in excess of \$1,000 and the client's
740	funds not in excess of \$500 cash and shall keep complete and
741	accurate records of the funds and personal effects received. If
742	a client is absent from a facility for 24 hours or more, the
743	licensee may provide for safekeeping of the client's personal
744	effects of a value in excess of \$1,000.
745	(5) Funds or other property belonging to or due to a client
746	or expendable for the client's account that are received by a
747	licensee shall be regarded as funds held in trust and shall be
748	kept separate from the funds and property of the licensee and
749	other clients or shall be specifically credited to the client.
750	The funds held in trust shall be used or otherwise expended only
751	for the account of the client. At least once every month, except
752	pursuant to an order of a court of competent jurisdiction, the
753	licensee shall furnish the client and, if applicable, the
754	client's representative with a complete and verified statement
I	

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755	of all funds and other property to which this subsection
756	applies, detailing the amount and items received, together with
757	their sources and disposition. The licensee shall furnish the
758	statement annually and upon discharge or transfer of a client. A
759	governmental agency or private charitable agency contributing
760	funds or other property to the account of a client is also
761	entitled to receive a statement monthly and upon the discharge
762	or transfer of the client.
763	(6)(a) In addition to any damages or civil penalties to
764	which a person is subject, a person who:
765	1. Intentionally withholds a client's personal funds,
766	personal property, or personal needs allowance;
767	2. Demands, beneficially receives, or contracts for payment
768	of all or any part of a client's personal property or personal
769	needs allowance in satisfaction of the facility rate for
770	supplies and services; or
771	3. Borrows from or pledges any personal funds of a client,
772	other than the amount agreed to by written contract under s.
773	429.24,
774	
775	commits a misdemeanor of the first degree, punishable as
776	provided in s. 775.082 or s. 775.083.
777	(b) A licensee or administrator, or an employee, or
778	representative thereof, who is granted power of attorney for a
779	client and who misuses or misappropriates funds obtained through
780	this power commits a felony of the third degree, punishable as
781	provided in s. 775.082, s. 775.083, or s. 775.084.
782	(7) In the event of the death of a client, a licensee shall
783	return all refunds, funds, and property held in trust to the

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784	client's personal representative, if one has been appointed at
785	the time the licensee disburses such funds, or, if not, to the
786	client's spouse or adult next of kin named in a beneficiary
787	designation form provided by the licensee to the client. If the
788	client does not have a spouse or adult next of kin or such
789	person cannot be located, funds due to be returned to the client
790	shall be placed in an interest-bearing account, and all property
791	held in trust by the licensee shall be safeguarded until such
792	time as the funds and property are disbursed pursuant to the
793	Florida Probate Code. The funds shall be kept separate from the
794	funds and property of the licensee and other clients of the
795	facility. If the funds of the deceased client are not disbursed
796	pursuant to the Florida Probate Code within 2 years after the
797	client's death, the funds shall be deposited in the Health Care
798	Trust Fund administered by the agency.
799	(8) The agency, by rule, may clarify terms and specify
800	procedures and documentation necessary to administer the
801	provisions of this section relating to the proper management of
802	clients' funds and personal property and the execution of surety
803	bonds.
804	400.9982 Rules establishing standards
805	(1) It is the intent of the Legislature that rules adopted
806	and enforced pursuant to this part and part II of chapter 408
807	include criteria to ensure reasonable and consistent quality of
808	care and client safety. The rules should make reasonable efforts
809	to accommodate the needs and preferences of the client to
810	enhance the client's quality of life while residing in a
811	transitional living facility.
812	(2) The agency may adopt and enforce rules to implement
I	

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813	this part and part II of chapter 408, which shall include
814	reasonable and fair criteria with respect to:
815	(a) The location of transitional living facilities.
816	(b) The qualifications of personnel, including management,
817	medical, nursing, and other professional personnel and nursing
818	assistants and support staff, who are responsible for client
819	care. The licensee must employ enough qualified professional
820	staff to carry out and monitor interventions in accordance with
821	the stated goals and objectives of each comprehensive treatment
822	plan.
823	(c) Requirements for personnel procedures, reporting
824	procedures, and documentation necessary to implement this part.
825	(d) Services provided to clients of transitional living
826	facilities.
827	(e) The preparation and annual update of a comprehensive
828	emergency management plan in consultation with the Division of
829	Emergency Management. At a minimum, the rules must provide for
830	plan components that address emergency evacuation
831	transportation; adequate sheltering arrangements; postdisaster
832	activities, including provision of emergency power, food, and
833	<pre>water; postdisaster transportation; supplies; staffing;</pre>
834	emergency equipment; individual identification of clients and
835	transfer of records; communication with families; and responses
836	to family inquiries.
837	400.9983 Violations; penalties.—A violation of this part or
838	any rule adopted pursuant thereto shall be classified according
839	to the nature of the violation and the gravity of its probable
840	effect on facility clients. The agency shall indicate the
841	classification on the written notice of the violation as

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842	follows:
843	(1) Class "I" violations are defined in s. 408.813. The
844	agency shall issue a citation regardless of correction and
845	impose an administrative fine of \$5,000 for an isolated
846	violation, \$7,500 for a patterned violation, or \$10,000 for a
847	widespread violation. Violations may be identified, and a fine
848	must be levied, notwithstanding the correction of the deficiency
849	giving rise to the violation.
850	(2) Class "II" violations are defined in s. 408.813. The
851	agency shall impose an administrative fine of \$1,000 for an
852	isolated violation, \$2,500 for a patterned violation, or \$5,000
853	for a widespread violation. A fine must be levied
854	notwithstanding the correction of the deficiency giving rise to
855	the violation.
856	(3) Class "III" violations are defined in s. 408.813. The
857	agency shall impose an administrative fine of \$500 for an
858	isolated violation, \$750 for a patterned violation, or \$1,000
859	for a widespread violation. If a deficiency giving rise to a
860	class III violation is corrected within the time specified by
861	the agency, the fine may not be imposed.
862	(4) Class "IV" violations are defined in s. 408.813. The
863	agency shall impose for a cited class IV violation an
864	administrative fine of at least \$100 but not exceeding \$200 for
865	each violation. If a deficiency giving rise to a class IV
866	violation is corrected within the time specified by the agency,
867	the fine may not be imposed.
868	400.9984 Receivership proceedingsThe agency may apply s.
869	429.22 with regard to receivership proceedings for transitional
870	living facilities.

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CODING: Words stricken are deletions; words underlined are additions.

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871	400.9985 Interagency communicationThe agency, the
872	department, the Agency for Persons with Disabilities, and the
873	Department of Children and Families shall develop electronic
874	systems to ensure that relevant information pertaining to the
875	regulation of transitional living facilities and clients is
876	timely and effectively communicated among agencies in order to
877	facilitate the protection of clients. Electronic sharing of
878	information shall include, at a minimum, a brain and spinal cord
879	injury registry and a client abuse registry.
880	Section 2. Section 400.805, Florida Statutes, is repealed.
881	Section 3. The title of part V of chapter 400, Florida
882	Statutes, consisting of sections 400.701-400.801, is
883	redesignated as "INTERMEDIATE CARE FACILITIES."
884	Section 4. Subsection (9) of section 381.745, Florida
885	Statutes, is amended to read:
886	381.745 Definitions; ss. 381.739-381.79As used in ss.
887	381.739-381.79, the term:
888	(9) "Transitional living facility" means a state-approved
889	facility $_{m{ au}}$ as defined and licensed under chapter 400 $_{m{ ext{or}}}$ chapter
890	429, or a facility approved by the brain and spinal cord injury
891	program in accordance with this chapter.
892	Section 5. Section 381.75, Florida Statutes, is amended to
893	read:
894	381.75 Duties and responsibilities of the department, of
895	transitional living facilities, and of residentsConsistent
896	with the mandate of s. 381.7395, the department shall develop
897	and administer a multilevel treatment program for individuals
898	who sustain brain or spinal cord injuries and who are referred
899	to the brain and spinal cord injury program.
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900
          (1) Within 15 days after any report of an individual who
901
     has sustained a brain or spinal cord injury, the department
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     shall notify the individual or the most immediate available
903
     family members of their right to assistance from the state, the
904
     services available, and the eligibility requirements.
905
           (2) The department shall refer individuals who have brain
906
     or spinal cord injuries to other state agencies to ensure assure
907
     that rehabilitative services, if desired, are obtained by that
908
     individual.
909
          (3) The department, in consultation with emergency medical
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     service, shall develop standards for an emergency medical
911
     evacuation system that will ensure that all individuals who
912
     sustain traumatic brain or spinal cord injuries are transported
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     to a department-approved trauma center that meets the standards
914
     and criteria established by the emergency medical service and
915
     the acute-care standards of the brain and spinal cord injury
916
     program.
917
           (4) The department shall develop standards for designation
918
     of rehabilitation centers to provide rehabilitation services for
919
     individuals who have brain or spinal cord injuries.
920
           (5) The department shall determine the appropriate number
921
     of designated acute-care facilities, inpatient rehabilitation
922
     centers, and outpatient rehabilitation centers, needed based on
923
     incidence, volume of admissions, and other appropriate criteria.
924
           (6) The department shall develop standards for designation
925
     of transitional living facilities to provide transitional living
926
     services for individuals who participate in the brain and spinal
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927 <u>cord injury program</u> the opportunity to adjust to their

928 disabilities and to develop physical and functional skills in a

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929	supported living environment.
930	(a) The Agency for Health Care Administration, in
931	consultation with the department, shall develop rules for the
932	licensure of transitional living facilities for individuals who
933	have brain or spinal cord injuries.
934	(b) The goal of a transitional living program for
935	individuals who have brain or spinal cord injuries is to assist
936	each individual who has such a disability to achieve a higher
937	level of independent functioning and to enable that person to
938	reenter the community. The program shall be focused on preparing
939	participants to return to community living.
940	(c) A transitional living facility for an individual who
941	has a brain or spinal cord injury shall provide to such
942	individual, in a residential setting, a goal-oriented treatment
943	program designed to improve the individual's physical,
944	cognitive, communicative, behavioral, psychological, and social
945	functioning, as well as to provide necessary support and
946	supervision. A transitional living facility shall offer at least
947	the following therapies: physical, occupational, speech,
948	neuropsychology, independent living skills training, behavior
949	analysis for programs serving brain-injured individuals, health
950	education, and recreation.
951	(d) All residents shall use the transitional living
952	facility as a temporary measure and not as a permanent home or
953	domicile. The transitional living facility shall develop an
954	initial treatment plan for each resident within 3 days after the
955	resident's admission. The transitional living facility shall
956	develop a comprehensive plan of treatment and a discharge plan
957	for each resident as soon as practical, but no later than 30

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38-00318A-14 20141486 958 days after the resident's admission. Each comprehensive 959 treatment plan and discharge plan must be reviewed and updated 960 as necessary, but no less often than quarterly. This subsection 961 does not require the discharge of an individual who continues to 962 require any of the specialized services described in paragraph 963 (c) or who is making measurable progress in accordance with that 964 individual's comprehensive treatment plan. The transitional 965 living facility shall discharge any individual who has an appropriate discharge site and who has achieved the goals of his 966 967 or her discharge plan or who is no longer making progress toward 968 the goals established in the comprehensive treatment plan and 969 the discharge plan. The discharge location must be the least 970 restrictive environment in which an individual's health, well-971 being, and safety is preserved. 972 (7) Recipients of services, under this section, from any of 973 the facilities referred to in this section shall pay a fee based 974 on ability to pay. 975 Section 6. Subsection (4) of section 381.78, Florida 976 Statutes, is amended to read: 977 381.78 Advisory council on brain and spinal cord injuries.-978 (4) The council shall + 979 (a) provide advice and expertise to the department in the 980 preparation, implementation, and periodic review of the brain 981 and spinal cord injury program. 982 (b) Annually appoint a five-member committee composed of 983 one individual who has a brain injury or has a family member 984 with a brain injury, one individual who has a spinal cord injury 985 or has a family member with a spinal cord injury, and three 986 members who shall be chosen from among these representative

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987	groups: physicians, other allied health professionals,
988	administrators of brain and spinal cord injury programs, and
989	representatives from support groups with expertise in areas
990	related to the rehabilitation of individuals who have brain or
991	spinal cord injuries, except that one and only one member of the
992	committee shall be an administrator of a transitional living
993	facility. Membership on the council is not a prerequisite for
994	membership on this committee.
995	1. The committee shall perform onsite visits to those
996	transitional living facilities identified by the Agency for
997	Health Care Administration as being in possible violation of the
998	statutes and rules regulating such facilities. The committee
999	members have the same rights of entry and inspection granted
1000	under s. 400.805(4) to designated representatives of the agency.
1001	2. Factual findings of the committee resulting from an
1002	onsite investigation of a facility pursuant to subparagraph 1.
1003	shall be adopted by the agency in developing its administrative
1004	response regarding enforcement of statutes and rules regulating
1005	the operation of the facility.
1006	3. Onsite investigations by the committee shall be funded
1007	by the Health Care Trust Fund.
1008	4. Travel expenses for committee members shall be
1009	reimbursed in accordance with s. 112.061.
1010	5. Members of the committee shall recuse themselves from
1011	participating in any investigation that would create a conflict
1012	of interest under state law, and the council shall replace the
1013	member, either temporarily or permanently.
1014	Section 7. Subsection (5) of section 400.93, Florida
1015	Statutes, is amended to read:
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1016	400.93 Licensure required; exemptions; unlawful acts;
1017	penalties
1018	(5) The following are exempt from home medical equipment
1019	provider licensure, unless they have a separate company,
1020	corporation, or division that is in the business of providing
1021	home medical equipment and services for sale or rent to
1022	consumers at their regular or temporary place of residence
1023	pursuant to the provisions of this part:
1024	(a) Providers operated by the Department of Health or
1025	Federal Government.
1026	(b) Nursing homes licensed under part II.
1027	(c) Assisted living facilities licensed under chapter 429,
1028	when serving their residents.
1029	(d) Home health agencies licensed under part III.
1030	(e) Hospices licensed under part IV.
1031	(f) Intermediate care facilities ${ m and}_{ au}$ homes for special
1032	services, and transitional living facilities licensed under part
1033	V.
1034	(g) Transitional living facilities licensed under part XI.
1035	<u>(h) (g)</u> Hospitals and ambulatory surgical centers licensed
1036	under chapter 395.
1037	(i) (h) Manufacturers and wholesale distributors when not
1038	selling directly to consumers.
1039	<u>(j)(i) Licensed health care practitioners who <u>use</u> utilize</u>
1040	home medical equipment in the course of their practice $_{m au}$ but do
1041	not sell or rent home medical equipment to their patients.
1042	<u>(k)</u> Pharmacies licensed under chapter 465.
1043	Section 8. Subsection (21) of section 408.802, Florida
1044	Statutes, is amended to read:

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1045	408.802 Applicability.—The provisions of this part apply to
1046	the provision of services that require licensure as defined in
1047	this part and to the following entities licensed, registered, or
1048	certified by the agency, as described in chapters 112, 383, 390,
1049	394, 395, 400, 429, 440, 483, and 765:
1050	(21) Transitional living facilities, as provided under part
1051	$\underline{XI} \neq of$ chapter 400.
1052	Section 9. Subsection (20) of section 408.820, Florida
1053	Statutes, is amended to read:
1054	408.820 ExemptionsExcept as prescribed in authorizing
1055	statutes, the following exemptions shall apply to specified
1056	requirements of this part:
1057	(20) Transitional living facilities, as provided under part
1058	$\underline{XI} + of$ chapter 400, are exempt from s. 408.810(10).
1059	Section 10. Effective July 1, 2015, a transitional living
1060	facility licensed before the effective date of this act pursuant
1061	to s. 400.805, Florida Statutes, must be licensed under part XI
1062	of chapter 400, Florida Statutes, as created by this act.
1063	Section 11. Except as otherwise expressly provided in this
1064	act, this act shall take effect July 1, 2014.

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