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Proposed Committee Substitute by the Committee on Health Policy 1 2 A bill to be entitled 3 An act relating to assisted living facilities; amending s. 394.4574, F.S.; providing that Medicaid 4 5 managed care plans are responsible for enrolled mental 6 health residents; providing that managing entities 7 under contract with the Department of Children and 8 Families are responsible for mental health residents 9 who are not enrolled with a Medicaid managed care 10 plan; deleting a provision to conform to changes made by the act; requiring that the community living 11 12 support plan be completed and provided to the 13 administrator of a facility upon the mental health resident's admission; requiring the community living 14 15 support plan to be updated when there is a significant change to the mental health resident's behavioral 16 17 health; requiring the case manager assigned to a 18 mental health resident of an assisted living facility that holds a limited mental health license to keep a 19 20 record of the date and time of face-to-face interactions with the resident and to make the record 21 22 available to the responsible entity for inspection; requiring that the record be maintained for a 23 2.4 specified time; requiring the responsible entity to 25 ensure that there is adequate and consistent 26 monitoring and enforcement of community living support 27 plans and cooperative agreements and that concerns are 28 reported to the appropriate regulatory oversight 29 organization under certain circumstances; amending s.

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30 400.0074, F.S.; requiring that an administrative assessment conducted by a local council be 31 32 comprehensive in nature and focus on factors affecting the rights, health, safety, and welfare of nursing 33 34 home residents; requiring a local council to conduct 35 an exit consultation with the facility administrator 36 or administrator designee to discuss issues and 37 concerns in areas affecting the rights, health, 38 safety, and welfare of residents and make 39 recommendations for improvement; amending s. 400.0078, F.S.; requiring that a resident or a representative of 40 a resident of a long-term care facility be informed 41 42 that retaliatory action cannot be taken against a 43 resident for presenting grievances or for exercising 44 any other resident right; amending s. 429.07, F.S.; 45 requiring that an extended congregate care license be issued to certain facilities that have been licensed 46 as assisted living facilities under certain 47 circumstances and authorizing the issuance of such 48 49 license if a specified condition is met; providing the 50 purpose of an extended congregate care license; 51 providing that the initial extended congregate care 52 license of an assisted living facility is provisional 53 under certain circumstances; requiring a licensee to 54 notify the Agency for Health Care Administration if it 55 accepts a resident who qualifies for extended 56 congregate care services; requiring the agency to 57 inspect the facility for compliance with the 58 requirements of an extended congregate care license;

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59 requiring the issuance of an extended congregate care 60 license under certain circumstances; requiring the 61 licensee to immediately suspend extended congregate care services under certain circumstances; requiring a 62 63 registered nurse representing the agency to visit the 64 facility at least twice a year, rather than quarterly, 65 to monitor residents who are receiving extended 66 congregate care services; authorizing the agency to 67 waive one of the required yearly monitoring visits 68 under certain circumstances; authorizing the agency to 69 deny or revoke a facility's extended congregate care 70 license; requiring a registered nurse representing the 71 agency to visit the facility at least annually, rather 72 than twice a year, to monitor residents who are receiving limited nursing services; providing that 73 74 such monitoring visits may be conducted in conjunction 75 with other agency inspections; authorizing the agency to waive the required yearly monitoring visit for a 76 77 facility that is licensed to provide limited nursing 78 services under certain circumstances; amending s. 79 429.075, F.S.; requiring an assisted living facility 80 that serves one or more mental health residents to 81 obtain a limited mental health license; amending s. 82 429.14, F.S.; revising the circumstances under which 83 the agency may deny, revoke, or suspend the license of 84 an assisted living facility and impose an 85 administrative fine; requiring the agency to deny or 86 revoke the license of an assisted living facility 87 under certain circumstances; requiring the agency to



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88 impose an immediate moratorium on the license of an 89 assisted living facility under certain circumstances; 90 deleting a provision requiring the agency to provide a list of facilities with denied, suspended, or revoked 91 92 licenses to the Department of Business and 93 Professional Regulation; exempting a facility from the 94 45-day notice requirement if it is required to 95 relocate some or all of its residents; amending s. 96 429.178, F.S.; conforming cross-references; amending 97 s. 429.19, F.S.; revising the amounts and uses of 98 administrative fines; requiring the agency to levy a 99 fine for violations that are corrected before an 100 inspection if noncompliance occurred within a 101 specified period of time; deleting factors that the 102 agency is required to consider in determining 103 penalties and fines; amending s. 429.256, F.S.; 104 revising the term "assistance with self-administration of medication" as it relates to the Assisted Living 105 106 Facilities Act; amending s. 429.28, F.S.; providing 107 notice requirements to inform facility residents that 108 the identity of the resident and complainant in any 109 complaint made to the State Long-Term Care Ombudsman 110 Program or a local long-term care ombudsman council is 111 confidential and that retaliatory action cannot be 112 taken against a resident for presenting grievances or 113 for exercising any other resident right; requiring 114 that a facility that terminates an individual's residency after the filing of a complaint be fined if 115 116 good cause is not shown for the termination; amending

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s. 429.34, F.S.; requiring certain persons to report 117 118 elder abuse in assisted living facilities; requiring 119 the agency to regularly inspect every licensed 120 assisted living facility; requiring the agency to 121 conduct more frequent inspections under certain 122 circumstances; requiring the licensee to pay a fee for 123 the cost of additional inspections; requiring the 124 agency to annually adjust the fee; amending s. 429.41, 125 F.S.; providing that certain staffing requirements 126 apply only to residents in continuing care facilities 127 who are receiving the relevant service; amending s. 128 429.52, F.S.; requiring each newly hired employee of 129 an assisted living facility to attend a preservice 130 orientation provided by the assisted living facility; requiring the employee and administrator to sign a 131 132 statement that the employee completed the required 133 pre-service orientation and keep the signed statement in the employee's personnel record; requiring two 134 135 additional hours of training for assistance with 136 medication; conforming a cross-reference; creating s. 137 429.55, F.S.; requiring the Office of Program Policy 138 Analysis and Government Accountability to study the reliability of facility surveys and submit to the 139 140 Governor and the Legislature its findings and 141 recommendations; requiring the agency to implement a 142 rating system of assisted living facilities by a 143 specified date, adopt rules, and create content for the agency's website that makes available to consumers 144 145 information regarding assisted living facilities;

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146	providing criteria for the content; providing an
147	effective date.
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149	Be It Enacted by the Legislature of the State of Florida:
150	
151	Section 1. Section 394.4574, Florida Statutes, is amended
152	to read:
153	394.4574 Department Responsibilities for coordination of
154	services for a mental health resident who resides in an assisted
155	living facility that holds a limited mental health license
156	(1) As used in this section, the term <u>"mental health</u>
157	resident" "mental health resident," for purposes of this
158	$rac{\operatorname{section}_{r}}{r}$ means an individual who receives social security
159	disability income due to a mental disorder as determined by the
160	Social Security Administration or receives supplemental security
161	income due to a mental disorder as determined by the Social
162	Security Administration and receives optional state
163	supplementation.
164	(2) Medicaid managed care plans are responsible for
165	Medicaid enrolled mental health residents, and managing entities
166	under contract with the department are responsible for mental
167	health residents who are not enrolled in a Medicaid health plan.
168	A Medicaid managed care plan or a managing entity, as
169	appropriate, shall The department must ensure that:
170	(a) A mental health resident has been assessed by a
171	psychiatrist, clinical psychologist, clinical social worker, or
172	psychiatric nurse, or an individual who is supervised by one of
173	these professionals, and determined to be appropriate to reside

in an assisted living facility. The documentation must be

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175 provided to the administrator of the facility within 30 days 176 after the mental health resident has been admitted to the 177 facility. An evaluation completed upon discharge from a state 178 mental hospital meets the requirements of this subsection 179 related to appropriateness for placement as a mental health 180 resident if it was completed within 90 days <u>before</u> prior to 181 admission to the facility.

182 (b) A cooperative agreement, as required in s. 429.075, is 183 developed by between the mental health care services provider 184 that serves a mental health resident and the administrator of 185 the assisted living facility with a limited mental health 186 license in which the mental health resident is living. Any entity that provides Medicaid prepaid health plan services shall 187 188 ensure the appropriate coordination of health care services with an assisted living facility in cases where a Medicaid recipient 189 is both a member of the entity's prepaid health plan and a 190 191 resident of the assisted living facility. If the entity is at risk for Medicaid targeted case management and behavioral health 192 193 services, the entity shall inform the assisted living facility 194 of the procedures to follow should an emergent condition arise.

195 (c) The community living support plan, as defined in s. 429.02, has been prepared by a mental health resident and his or 196 197 her a mental health case manager of that resident in consultation with the administrator of the facility or the 198 199 administrator's designee. The plan must be completed and 200 provided to the administrator of the assisted living facility 201 with a limited mental health license in which the mental health resident lives upon the resident's admission. The support plan 202 203 and the agreement may be in one document.

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(d) The assisted living facility with a limited mental
health license is provided with documentation that the
individual meets the definition of a mental health resident.

207 (e) The mental health services provider assigns a case 208 manager to each mental health resident for whom the entity is 209 responsible who lives in an assisted living facility with a 210 limited mental health license. The case manager shall coordinate 211 is responsible for coordinating the development of and 212 implementation of the community living support plan defined in 213 s. 429.02. The plan must be updated at least annually, or when 214 there is a significant change in the resident's behavioral 215 health status, such as an inpatient admission or a change in medication, level of service, or residence. Each case manager 216 217 shall keep a record of the date and time of any face-to-face 218 interaction with the resident and make the record available to 219 the responsible entity for inspection. The record must be retained for at least 2 years after the date of the most recent 220 221 interaction.

(f) Adequate and consistent monitoring and enforcement of community living support plans and cooperative agreements are conducted by the resident's case manager.

(g) Concerns are reported to the appropriate regulatory oversight organization if a regulated provider fails to deliver appropriate services or otherwise acts in a manner that has the potential to result in harm to the resident.

(3) The Secretary of Children and <u>Families</u> Family Services,
in consultation with the Agency for Health Care Administration,
shall annually require each district administrator to develop,
with community input, a detailed annual plan that demonstrates

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233 detailed plans that demonstrate how the district will ensure the 234 provision of state-funded mental health and substance abuse treatment services to residents of assisted living facilities 235 236 that hold a limited mental health license. This plan These plans 237 must be consistent with the substance abuse and mental health 238 district plan developed pursuant to s. 394.75 and must address 239 case management services; access to consumer-operated drop-in 240 centers; access to services during evenings, weekends, and 241 holidays; supervision of the clinical needs of the residents; 242 and access to emergency psychiatric care.

243 Section 2. Subsection (1) of section 400.0074, Florida 244 Statutes, is amended, and paragraph (h) is added to subsection 245 (2) of that section, to read:

246 400.0074 Local ombudsman council onsite administrative 247 assessments.-

248 (1) In addition to any specific investigation conducted 249 pursuant to a complaint, the local council shall conduct, at 250 least annually, an onsite administrative assessment of each 251 nursing home, assisted living facility, and adult family-care 252 home within its jurisdiction. This administrative assessment 253 must be comprehensive in nature and must shall focus on factors 254 affecting residents' the rights, health, safety, and welfare of 255 the residents. Each local council is encouraged to conduct a similar onsite administrative assessment of each additional 256 257 long-term care facility within its jurisdiction.

(2) An onsite administrative assessment conducted by alocal council shall be subject to the following conditions:

260 (h) The local council shall conduct an exit consultation 261 with the facility administrator or administrator designee to

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262 discuss issues and concerns in areas affecting residents' rights, health, safety, and welfare and, if needed, make 263 264 recommendations for improvement. 265 Section 3. Subsection (2) of section 400.0078, Florida 266 Statutes, is amended to read: 267 400.0078 Citizen access to State Long-Term Care Ombudsman 268 Program services.-269 (2) Every resident or representative of a resident shall 270 receive, Upon admission to a long-term care facility, each 271 resident or representative of a resident must receive 272 information regarding the purpose of the State Long-Term Care 273 Ombudsman Program, the statewide toll-free telephone number for 274 receiving complaints, information that retaliatory action cannot 275 be taken against a resident for presenting grievances or for 276 exercising any other resident right, and other relevant information regarding how to contact the program. Each resident 277 278 or his or her representative Residents or their representatives 279 must be furnished additional copies of this information upon 280 request. Section 4. Paragraphs (b) and (c) of subsection (3) of 281 282 section 429.07, Florida Statutes, are amended to read: 283 429.07 License required; fee.-284 (3) In addition to the requirements of s. 408.806, each 285 license granted by the agency must state the type of care for 286 which the license is granted. Licenses shall be issued for one

287 or more of the following categories of care: standard, extended 288 congregate care, limited nursing services, or limited mental 289 health.

290

(b) An extended congregate care license shall be issued to

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291 each facility that has been licensed as an assisted living 292 facility for 2 or more years and that provides services 293 facilities providing, directly or through contract, services 294 beyond those authorized in paragraph (a), including services 295 performed by persons licensed under part I of chapter 464 and 296 supportive services, as defined by rule, to persons who would 297 otherwise be disqualified from continued residence in a facility 298 licensed under this part. An extended congregate care license 299 may be issued to a facility that has a provisional extended 300 congregate care license and meets the requirements for licensure 301 under subparagraph 2. The primary purpose of extended congregate 302 care services is to allow residents the option of remaining in a 303 familiar setting from which they would otherwise be disqualified 304 for continued residency as they become more impaired. A facility 305 licensed to provide extended congregate care services may also 306 admit an individual who exceeds the admission criteria for a facility with a standard license, if he or she is determined 307 308 appropriate for admission to the extended congregate care 309 facility.

310 1. In order for extended congregate care services to be 311 provided, the agency must first determine that all requirements established in law and rule are met and must specifically 312 313 designate, on the facility's license, that such services may be 314 provided and whether the designation applies to all or part of 315 the facility. This Such designation may be made at the time of 316 initial licensure or relicensure, or upon request in writing by 317 a licensee under this part and part II of chapter 408. The notification of approval or the denial of the request shall be 318 319 made in accordance with part II of chapter 408. Each existing

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320	facility that qualifies facilities qualifying to provide
321	extended congregate care services must have maintained a
322	standard license and may not have been subject to administrative
323	sanctions during the previous 2 years, or since initial
324	licensure if the facility has been licensed for less than 2
325	years, for any of the following reasons:
326	a. A class I or class II violation;
327	b. Three or more repeat or recurring class III violations
328	of identical or similar resident care standards from which a
329	pattern of noncompliance is found by the agency;
330	c. Three or more class III violations that were not
331	corrected in accordance with the corrective action plan approved
332	by the agency;
333	d. Violation of resident care standards which results in
334	requiring the facility to employ the services of a consultant
335	pharmacist or consultant dietitian;
336	e. Denial, suspension, or revocation of a license for
337	another facility licensed under this part in which the applicant
338	for an extended congregate care license has at least 25 percent
339	ownership interest; or
340	f. Imposition of a moratorium pursuant to this part or part
341	II of chapter 408 or initiation of injunctive proceedings.
342	
343	The agency may deny or revoke a facility's extended congregate
344	care license for not meeting the criteria for an extended
345	congregate care license as provided in this subparagraph.
346	2. If an assisted living facility has been licensed
347	for less than 2 years, the initial extended congregate care
348	license must be provisional and may not exceed 6 months. Within
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349 the first 3 months after the provisional license is issued, the licensee shall notify the agency, in writing, when it has 350 351 admitted at least one extended congregate care resident, after 352 which an unannounced inspection shall be made to determine 353 compliance with requirements of an extended congregate care 354 license. Failure to admit an extended congregate care resident 355 within the first 3 months shall render the extended congregate 356 care license void. A licensee with a provisional extended 357 congregate care license that demonstrates compliance with all of 358 the requirements of an extended congregate care license during 359 the inspection, shall be issued an extended congregate care 360 license. In addition to sanctions authorized under this part, if 361 violations are found during the inspection and the licensee 362 fails to demonstrate compliance with all assisted living 363 requirements during a follow-up inspection, the licensee shall 364 immediately suspend extended congregate care services, and the 365 provisional extended congregate care license expires. The agency 366 may extend the provisional license for not more than one month 367 in order to complete a follow-up visit.

368 3.2. A facility that is licensed to provide extended 369 congregate care services shall maintain a written progress 370 report on each person who receives services which describes the 371 type, amount, duration, scope, and outcome of services that are 372 rendered and the general status of the resident's health. A 373 registered nurse, or appropriate designee, representing the agency shall visit the facility at least twice a year quarterly 374 375 to monitor residents who are receiving extended congregate care 376 services and to determine if the facility is in compliance with 377 this part, part II of chapter 408, and relevant rules. One of

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378	the visits may be in conjunction with the regular survey. The
379	monitoring visits may be provided through contractual
380	arrangements with appropriate community agencies. A registered
381	nurse shall serve as part of the team that inspects the
382	facility. The agency may waive one of the required yearly
383	monitoring visits for a facility that has:
384	a. Held an extended congregate care license for at least 24
385	months; been licensed for at least 24 months to provide extended
386	congregate care services, if, during the inspection, the
387	registered nurse determines that extended congregate care
388	services are being provided appropriately, and if the facility
389	has
390	b. No class I or class II violations and no uncorrected
391	class III violations; and.
392	c. No ombudsman council complaints that resulted in a
393	<u>citation for licensure</u>
394	long-term care ombudsman council for the area in which the
395	facility is located to determine if any complaints have been
396	made and substantiated about the quality of services or care.
397	The agency may not waive one of the required yearly monitoring
398	visits if complaints have been made and substantiated.
399	4.3. A facility that is licensed to provide extended
400	congregate care services must:
401	a. Demonstrate the capability to meet unanticipated
402	resident service needs.
403	b. Offer a physical environment that promotes a homelike
404	setting, provides for resident privacy, promotes resident
405	independence, and allows sufficient congregate space as defined
406	by rule.
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407 c. Have sufficient staff available, taking into account the
408 physical plant and firesafety features of the building, to
409 assist with the evacuation of residents in an emergency.

d. Adopt and follow policies and procedures that maximize
resident independence, dignity, choice, and decisionmaking to
permit residents to age in place, so that moves due to changes
in functional status are minimized or avoided.

e. Allow residents or, if applicable, a resident's
representative, designee, surrogate, guardian, or attorney in
fact to make a variety of personal choices, participate in
developing service plans, and share responsibility in
decisionmaking.

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f. Implement the concept of managed risk.

g. Provide, directly or through contract, the services of aperson licensed under part I of chapter 464.

h. In addition to the training mandated in s. 429.52,
provide specialized training as defined by rule for facility
staff.

425 5.4. A facility that is licensed to provide extended 426 congregate care services is exempt from the criteria for 427 continued residency set forth in rules adopted under s. 429.41. 428 A licensed facility must adopt its own requirements within 429 guidelines for continued residency set forth by rule. However, 430 the facility may not serve residents who require 24-hour nursing 431 supervision. A licensed facility that provides extended 432 congregate care services must also provide each resident with a 433 written copy of facility policies governing admission and 434 retention.

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5. The primary purpose of extended congregate care services

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436 is to allow residents, as they become more impaired, the option 437 of remaining in a familiar setting from which they would 438 otherwise be disqualified for continued residency. A facility 439 licensed to provide extended congregate care services may also admit an individual who exceeds the admission criteria for a 440 facility with a standard license, if the individual is 441 442 determined appropriate for admission to the extended congregate 443 care facility.

6. Before the admission of an individual to a facility
licensed to provide extended congregate care services, the
individual must undergo a medical examination as provided in s.
429.26(4) and the facility must develop a preliminary service
plan for the individual.

7. <u>If When</u> a facility can no longer provide or arrange for services in accordance with the resident's service plan and needs and the facility's policy, the facility <u>must</u> shall make arrangements for relocating the person in accordance with s. 429.28(1)(k).

454 8. Failure to provide extended congregate care services may
 455 result in denial of extended congregate care license renewal.

456 (c) A limited nursing services license shall be issued to a
457 facility that provides services beyond those authorized in
458 paragraph (a) and as specified in this paragraph.

1. In order for limited nursing services to be provided in a facility licensed under this part, the agency must first determine that all requirements established in law and rule are met and must specifically designate, on the facility's license, that such services may be provided. <u>This Such</u> designation may be made at the time of initial licensure or <u>licensure renewal</u>

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465 relicensure, or upon request in writing by a licensee under this 466 part and part II of chapter 408. Notification of approval or 467 denial of such request shall be made in accordance with part II 468 of chapter 408. An existing facility that qualifies facilities 469 qualifying to provide limited nursing services must shall have maintained a standard license and may not have been subject to 470 471 administrative sanctions that affect the health, safety, and 472 welfare of residents for the previous 2 years or since initial 473 licensure if the facility has been licensed for less than 2 474 years.

475 2. A facility Facilities that is are licensed to provide 476 limited nursing services shall maintain a written progress 477 report on each person who receives such nursing services. The, 478 which report must describe describes the type, amount, duration, 479 scope, and outcome of services that are rendered and the general 480 status of the resident's health. A registered nurse representing 481 the agency shall visit the facility such facilities at least 482 annually twice a year to monitor residents who are receiving 483 limited nursing services and to determine if the facility is in 484 compliance with applicable provisions of this part, part II of 485 chapter 408, and related rules. The monitoring visits may be 486 provided through contractual arrangements with appropriate 487 community agencies. A registered nurse shall also serve as part 488 of the team that inspects such facility. Visits may be in 489 conjunction with other agency inspections. The agency may waive 490 the required yearly monitoring visit for a facility that has: 491 a. Had a limited nursing services license for at least 24 492 months;

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b. No class I or class II violations and no uncorrected

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494 class III violations; and

495 c. No ombudsman council complaints that resulted in a 496 citation for licensure.

3. A person who receives limited nursing services under 498 this part must meet the admission criteria established by the 499 agency for assisted living facilities. When a resident no longer 500 meets the admission criteria for a facility licensed under this 501 part, arrangements for relocating the person shall be made in 502 accordance with s. 429.28(1)(k), unless the facility is licensed 503 to provide extended congregate care services.

504 Section 5. Section 429.075, Florida Statutes, is amended to 505 read:

506 429.075 Limited mental health license.-An assisted living 507 facility that serves one three or more mental health residents 508 must obtain a limited mental health license.

509 (1) To obtain a limited mental health license, a facility 510 must hold a standard license as an assisted living facility, must not have any current uncorrected deficiencies or 511 512 violations, and must ensure that, within 6 months after 513 receiving a limited mental health license, the facility 514 administrator and the staff of the facility who are in direct 515 contact with mental health residents must complete training of 516 no less than 6 hours related to their duties. This Such 517 designation may be made at the time of initial licensure or 518 relicensure or upon request in writing by a licensee under this 519 part and part II of chapter 408. Notification of approval or 520 denial of such request shall be made in accordance with this part, part II of chapter 408, and applicable rules. This 521 training must will be provided by or approved by the Department 522

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523 of Children and Families Family Services.

524 (2) <u>A facility that is</u> Facilities licensed to provide
525 services to mental health residents <u>must</u> shall provide
526 appropriate supervision and staffing to provide for the health,
527 safety, and welfare of such residents.

528 (3) A facility that has a limited mental health license 529 must:

(a) Have a copy of each mental health resident's community
living support plan and the cooperative agreement with the
mental health care services provider. The support plan and the
agreement may be combined.

(b) Have documentation that is provided by the Department of Children and <u>Families</u> Family Services that each mental health resident has been assessed and determined to be able to live in the community in an assisted living facility <u>that has</u> with a limited mental health license.

(c) Make the community living support plan available for inspection by the resident, the resident's legal guardian $\underline{or_{\tau}}$ the resident's health care surrogate, and other individuals who have a lawful basis for reviewing this document.

(d) Assist the mental health resident in carrying out the activities identified in the individual's community living support plan.

(4) A facility that has with a limited mental health
547 license may enter into a cooperative agreement with a private
548 mental health provider. For purposes of the limited mental
549 health license, the private mental health provider may act as
550 the case manager.

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Section 6. Section 429.14, Florida Statutes, is amended to

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552 read:

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429.14 Administrative penalties.-

554 (1) In addition to the requirements of part II of chapter 555 408, the agency may deny, revoke, and suspend any license issued 556 under this part and impose an administrative fine in the manner 557 provided in chapter 120 against a licensee for a violation of 558 any provision of this part, part II of chapter 408, or 559 applicable rules, or for any of the following actions by a 560 licensee, for the actions of any person subject to level 2 561 background screening under s. 408.809, or for the actions of any 562 facility staff employee:

(a) An intentional or negligent act seriously affecting thehealth, safety, or welfare of a resident of the facility.

(b) <u>A</u> The determination by the agency that the owner lacks the financial ability to provide continuing adequate care to residents.

(c) Misappropriation or conversion of the property of a resident of the facility.

(d) Failure to follow the criteria and procedures provided under part I of chapter 394 relating to the transportation, voluntary admission, and involuntary examination of a facility resident.

(e) A citation <u>for</u> of any of the following <u>violations</u> deficiencies as specified in s. 429.19:

576 577 1. One or more cited class I violations deficiencies.

2. Three or more cited class II violations deficiencies.

578 3. Five or more cited class III <u>violations</u> deficiencies 579 that have been cited on a single survey and have not been 580 corrected within the times specified.

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(f) Failure to comply with the background screening standards of this part, s. 408.809(1), or chapter 435.

(g) Violation of a moratorium.

(h) Failure of the license applicant, the licensee during relicensure, or a licensee that holds a provisional license to meet the minimum license requirements of this part, or related rules, at the time of license application or renewal.

(i) An intentional or negligent life-threatening act in violation of the uniform firesafety standards for assisted living facilities or other firesafety standards which that threatens the health, safety, or welfare of a resident of a facility, as communicated to the agency by the local authority having jurisdiction or the State Fire Marshal.

(j) Knowingly operating any unlicensed facility or
providing without a license any service that must be licensed
under this chapter or chapter 400.

597 (k) Any act constituting a ground upon which application598 for a license may be denied.

(2) Upon notification by the local authority having jurisdiction or by the State Fire Marshal, the agency may deny or revoke the license of an assisted living facility that fails to correct cited fire code violations that affect or threaten the health, safety, or welfare of a resident of a facility.

(3) The agency may deny <u>or revoke</u> a license <u>of an</u> to any
applicant or controlling interest as defined in part II of
chapter 408 which has or had a <u>25 percent</u> 25-percent or greater
financial or ownership interest in any other facility <u>that is</u>
licensed under this part, or in any entity licensed by this
state or another state to provide health or residential care, <u>if</u>

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610	that which facility or entity during the 5 years prior to the
611	application for a license closed due to financial inability to
612	operate; had a receiver appointed or a license denied,
613	suspended, or revoked; was subject to a moratorium; or had an
614	injunctive proceeding initiated against it.
615	(4) The agency shall deny or revoke the license of an
616	assisted living facility <u>if:</u>
617	(a) There are two moratoria, issued pursuant to this part
618	or part II of chapter 408, within a 2-year period which are
619	imposed by final order;
620	(b) The facility is cited for two or more class I
621	violations arising from unrelated circumstances during the same
622	survey or investigation; or
623	(c) The facility is cited for two or more class I
624	violations arising from separate surveys or investigations
625	within a 2-year period that has two or more class I violations
626	that are similar or identical to violations identified by the
627	agency during a survey, inspection, monitoring visit, or
628	complaint investigation occurring within the previous 2 years.
629	(5) An action taken by the agency to suspend, deny, or
630	revoke a facility's license under this part or part II of
631	chapter 408, in which the agency claims that the facility owner
632	or an employee of the facility has threatened the health,
633	safety, or welfare of a resident of the facility <u>, must</u> be heard
634	by the Division of Administrative Hearings of the Department of
635	Management Services within 120 days after receipt of the
636	facility's request for a hearing, unless that time limitation is
637	waived by both parties. The administrative law judge \underline{shall} \underline{must}
638	render a decision within 30 days after receipt of a proposed
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639 recommended order.

640 (6) As provided under s. 408.814, the agency shall impose 641 an immediate moratorium on an assisted living facility that 642 fails to provide the agency access to the facility or prohibits 643 the agency from conducting a regulatory inspection. The licensee 644 may not restrict agency staff in accessing and copying records 645 or in conducting confidential interviews with facility staff or 646 any individual who receives services from the facility provide 647 to the Division of Hotels and Restaurants of the Department of 648 Business and Professional Regulation, on a monthly basis, a list 649 of those assisted living facilities that have had their licenses 650 denied, suspended, or revoked or that are involved in an 651 appellate proceeding pursuant to s. 120.60 related to the 652 denial, suspension, or revocation of a license.

(7) Agency notification of a license suspension or
revocation, or denial of a license renewal, shall be posted and
visible to the public at the facility.

656 (8) If a facility is required to relocate some or all of
657 its residents due to agency action, that facility is exempt from
658 the 45-days' notice requirement imposed under s. 429.28(1)(k).
659 This subsection does not exempt the facility from any deadlines
660 for corrective action set by the agency.

661 Section 7. Paragraphs (a) and (b) of subsection (2) of 662 section 429.178, Florida Statutes, are amended to read:

663 429.178 Special care for persons with Alzheimer's disease664 or other related disorders.-

(2) (a) An individual who is employed by a facility that
provides special care for residents who have with Alzheimer's
disease or other related disorders, and who has regular contact

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668 with such residents, must complete up to 4 hours of initial 669 dementia-specific training developed or approved by the 670 department. The training <u>must shall</u> be completed within 3 months 671 after beginning employment and <u>satisfy shall satisfy</u> the core 672 training requirements of <u>s. 429.52(3)(g)</u> s. 429.52(2)(g).

673 (b) A direct caregiver who is employed by a facility that 674 provides special care for residents who have with Alzheimer's 675 disease or other related disorders $_{\tau}$ and who provides direct care 676 to such residents τ must complete the required initial training 677 and 4 additional hours of training developed or approved by the 678 department. The training must shall be completed within 9 months 679 after beginning employment and satisfy shall satisfy the core training requirements of s. 429.52(3)(g) s. 429.52(2)(g). 680

681 Section 8. Section 429.19, Florida Statutes, is amended to 682 read:

683 429.19 Violations; imposition of administrative fines; 684 grounds.-

(1) In addition to the requirements of part II of chapter 685 686 408, the agency shall impose an administrative fine in the 687 manner provided in chapter 120 for the violation of any 688 provision of this part, part II of chapter 408, and applicable rules by an assisted living facility, for the actions of any 689 690 person subject to level 2 background screening under s. 408.809, for the actions of any facility employee, or for an intentional 691 692 or negligent act seriously affecting the health, safety, or 693 welfare of a resident of the facility.

694 (2) Each violation of this part and adopted rules <u>must</u>
695 shall be classified according to the nature of the violation and
696 the gravity of its probable effect on facility residents. The

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697 agency shall indicate the classification on the written notice 698 of the violation as follows:

(a) Class "I" violations are defined in s. 408.813. The 699 700 agency shall impose an administrative fine of \$7,500 for each a 701 cited class I violation in a facility that is licensed for fewer 702 than 100 beds at the time of the violation in an amount not less than \$5,000 and not exceeding \$10,000 for each violation. The 703 704 agency shall impose an administrative fine of \$11,250 for each 705 cited class I violation in a facility that is licensed for 100 706 or more beds at the time of the violation. If the agency has 707 knowledge of a class I violation which occurred within 12 months 708 prior to an inspection, a fine must be levied for that violation 709 whether or not the noncompliance was corrected before the 710 inspection.

711 (b) Class "II" violations are defined in s. 408.813. The agency shall impose an administrative fine of \$3,000 for each a 712 cited class II violation in a facility that is licensed for 713 714 fewer than 100 beds at the time of the violation in an amount not less than \$1,000 and not exceeding \$5,000 for each 715 716 violation. The agency shall impose an administrative fine of 717 \$4,500 for each cited class II violation in a facility that is 718 licensed for 100 or more beds at the time of the violation.

(c) Class "III" violations are defined in s. 408.813. The agency shall impose an administrative fine <u>of \$750</u> for <u>each</u> a cited class III violation <u>in a facility that is licensed for</u> fewer than 100 beds at the time of the violation <u>in an amount</u> not less than \$500 and not exceeding \$1,000 for each violation. The agency shall impose an administrative fine of \$1,125 for each cited class III violation in a facility that is licensed

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726 for 100 or more beds at the time of the violation.

727 (d) Class "IV" violations are defined in s. 408.813. The 728 agency shall impose an administrative fine of \$150 for each a cited class IV violation in a facility that is licensed for 729 730 fewer than 100 beds at the time of the violation in an amount 731 not less than \$100 and not exceeding \$200 for each violation. 732 The agency shall impose an administrative fine of \$225 for each 733 cited class IV violation in a facility that is licensed for 100 734 or more beds at the time of the violation.

(e) Any fine imposed for a class I violation or a class II violation must be doubled if a facility was previously cited for one or more class I or class II violations during the agency's last licensure inspection or any inspection or complaint investigation since the last licensure inspection.

740 (f) Notwithstanding s. 408.813(2)(c) and (d) and s. 741 408.832, a fine must be imposed for each class III or class IV 742 violation, regardless of correction, if a facility was 743 previously cited for one or more class III or class IV 744 violations during the agency's last licensure inspection or any 745 inspection or complaint investigation since the last licensure 746 inspection for the same regulatory violation. A fine imposed for 747 class III or class IV violations must be doubled if a facility 748 was previously cited for one or more class III or class IV 749 violations during the agency's last two licensure inspections 750 for the same regulatory violation.

751 _____(3) For purposes of this section, in determining if a 752 penalty is to be imposed and in fixing the amount of the fine, 753 the agency shall consider the following factors:

(a) The gravity of the violation, including the probability

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755	that death or serious physical or emotional harm to a resident
756	will result or has resulted, the severity of the action or
757	potential harm, and the extent to which the provisions of the
758	applicable laws or rules were violated.
759	(b) Actions taken by the owner or administrator to correct
760	violations.
761	(c) Any previous violations.
762	(d) The financial benefit to the facility of committing or
763	continuing the violation.
764	(e) The licensed capacity of the facility.
765	(3)-(4) Each day of continuing violation after the date
766	established by the agency fixed for <u>correction</u> termination of
767	the violation , as ordered by the agency, constitutes an
768	additional, separate, and distinct violation.
769	<u>(4) (5)</u> <u>An</u> Any action taken to correct a violation shall be
770	documented in writing by the owner or administrator of the
771	facility and verified through followup visits by agency
772	personnel. The agency may impose a fine and, in the case of an
773	owner-operated facility, revoke or deny a facility's license
774	when a facility administrator fraudulently misrepresents action
775	taken to correct a violation.
776	<u>(5)</u> (6) A Any facility whose owner fails to apply for a
777	change-of-ownership license in accordance with part II of
778	chapter 408 and operates the facility under the new ownership is
779	subject to a fine of \$5,000.
780	(6)-(7) In addition to any administrative fines imposed, the
781	agency may assess a survey fee, equal to the lesser of one half

781 agency may assess a survey fee, equal to the lesser of one half 782 of the facility's biennial license and bed fee or \$500, to cover 783 the cost of conducting initial complaint investigations that



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784 result in the finding of a violation that was the subject of the 785 complaint or monitoring visits conducted under s. 429.28(3)(c) 786 to verify the correction of the violations.

787 <u>(7)(8)</u> During an inspection, the agency shall make a 788 reasonable attempt to discuss each violation with the owner or 789 administrator of the facility, prior to written notification.

790 (8) (9) The agency shall develop and disseminate an annual 791 list of all facilities sanctioned or fined for violations of 792 state standards, the number and class of violations involved, 793 the penalties imposed, and the current status of cases. The list 794 shall be disseminated, at no charge, to the Department of 795 Elderly Affairs, the Department of Health, the Department of 796 Children and Families Family Services, the Agency for Persons 797 with Disabilities, the area agencies on aging, the Florida 798 Statewide Advocacy Council, and the state and local ombudsman 799 councils. The Department of Children and Families Family 800 Services shall disseminate the list to service providers under contract to the department who are responsible for referring 801 802 persons to a facility for residency. The agency may charge a fee 803 commensurate with the cost of printing and postage to other 804 interested parties requesting a copy of this list. This information may be provided electronically or through the 805 806 agency's website Internet site.

807Section 9. Subsection (3) and paragraph (c) of subsection808(4) of section 429.256, Florida Statutes, are amended to read:809429.256 Assistance with self-administration of medication.-

810 (3) Assistance with self-administration of medication
811 includes:

812

(a) Taking the medication, in its previously dispensed,

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813	properly labeled container, including an insulin syringe that is
814	prefilled with the proper dosage by a pharmacist and an insulin
815	pen that is prefilled by the manufacturer, from where it is
816	stored, and bringing it to the resident.
817	(b) In the presence of the resident, reading the label,
818	opening the container, removing a prescribed amount of
819	medication from the container, and closing the container.
820	(c) Placing an oral dosage in the resident's hand or
821	placing the dosage in another container and helping the resident
822	by lifting the container to his or her mouth.
823	(d) Applying topical medications.
824	(e) Returning the medication container to proper storage.
825	(f) Keeping a record of when a resident receives assistance
826	with self-administration under this section.
827	(g) Assisting with the use of a nebulizer, including
828	removing the cap of a nebulizer, opening the unit dose of
829	nebulizer solution, and pouring the prescribed premeasured dose
830	of medication into the dispensing cup of the nebulizer.
831	(h) Using a glucometer to perform blood-glucose level
832	checks.
833	(i) Assisting with putting on and taking off antiembolism
834	stockings.
835	(j) Assisting with applying and removing an oxygen cannula,
836	but not with titrating the prescribed oxygen settings.
837	(k) Assisting with the use of a continuous positive airway
838	pressure (CPAP) device, but not with titrating the prescribed
839	setting of the device.
840	(1) Assisting with measuring vital signs.
841	(m) Assisting with colostomy bags.
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842 (4) Assistance with self-administration does not include:
 843 (c) Administration of medications through intermittent
 844 positive pressure breathing machines or a nebulizer.

845Section 10. Subsections (2), (5), and (6) of section846429.28, Florida Statutes, are amended to read:

847

429.28 Resident bill of rights.-

(2) The administrator of a facility shall ensure that a 848 849 written notice of the rights, obligations, and prohibitions set 850 forth in this part is posted in a prominent place in each 851 facility and read or explained to residents who cannot read. The 852 This notice must shall include the name, address, and telephone 853 numbers of the local ombudsman council, the and central abuse 854 hotline, and, if when applicable, Disability Rights Florida the 855 Advocacy Center for Persons with Disabilities, Inc., and the 856 Florida local advocacy council, where complaints may be lodged. 857 The notice must state that a complaint made to the Office of 858 State Long-Term Care Ombudsman or a local long-term care 859 ombudsman council, the names and identities of the residents 860 involved in the complaint, and the identity of complainants are kept confidential pursuant to s. 400.0077 and that retaliatory 861 862 action cannot be taken against a resident for presenting 863 grievances or for exercising any other resident right. The facility must ensure a resident's access to a telephone to call 864 865 the local ombudsman council, central abuse hotline, and 866 Disability Rights Florida Advocacy Center for Persons with 867 Disabilities, Inc., and the Florida local advocacy council.

868 (5) <u>A</u> No facility or employee of a facility may <u>not</u> serve 869 notice upon a resident to leave the premises or take any other 870 retaliatory action against any person who:

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(a) Exercises any right set forth in this section.

(b) Appears as a witness in any hearing, inside or outsidethe facility.

(c) Files a civil action alleging a violation of the
provisions of this part or notifies a state attorney or the
Attorney General of a possible violation of such provisions.

(6) <u>A</u> Any facility <u>that</u> which terminates the residency of
an individual who participated in activities specified in
subsection (5) <u>must</u> shall show good cause in a court of
competent jurisdiction. <u>If good cause is not shown, the agency</u>
<u>shall impose a fine of \$2,500 in addition to any other penalty</u>
assessed against the facility.

883 Section 11. Section 429.34, Florida Statutes, is amended to 884 read:

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871

429.34 Right of entry and inspection.-

886 (1) In addition to the requirements of s. 408.811, any duly 887 designated officer or employee of the department, the Department 888 of Children and Families Family Services, the Medicaid Fraud 889 Control Unit of the Office of the Attorney General, the state or 890 local fire marshal, or a member of the state or local long-term 891 care ombudsman council has shall have the right to enter 892 unannounced upon and into the premises of any facility licensed 893 pursuant to this part in order to determine the state of 894 compliance with the provisions of this part, part II of chapter 895 408, and applicable rules. Data collected by the state or local 896 long-term care ombudsman councils or the state or local advocacy 897 councils may be used by the agency in investigations involving 898 violations of regulatory standards. A person specified in this 899 section who knows or has reasonable cause to suspect that a

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900 vulnerable adult has been or is being abused, neglected, or 901 exploited shall immediately report such knowledge or suspicion 902 to the central abuse hotline pursuant to chapter 415. 903 (2) The agency shall inspect each licensed assisted living 904 facility at least once every 24 months to determine compliance 905 with this chapter and related rules. If an assisted living 906 facility is cited for one or more class I violations or two or 907 more class II violations arising from separate surveys within a 908 60-day period or due to unrelated circumstances during the same 909 survey, the agency must conduct an additional licensure 910 inspection within 6 months. In addition to any fines imposed on 911 the facility under s. 429.19, the licensee shall pay a fee for 912 the cost of the additional inspection equivalent to the standard 913 assisted living facility license and per-bed fees, without 914 exception for beds designated for recipients of optional state 915 supplementation. The agency shall adjust the fee in accordance with s. 408.805. 916 917 Section 12. Subsection (2) of section 429.41, Florida

917 Section 12. Subsection (2) of section 429.41, Florid 918 Statutes, is amended to read:

919

429.41 Rules establishing standards.-

920 (2) In adopting any rules pursuant to this part, the 921 department, in conjunction with the agency, shall make distinct 922 standards for facilities based upon facility size; the types of 923 care provided; the physical and mental capabilities and needs of 924 residents; the type, frequency, and amount of services and care offered; and the staffing characteristics of the facility. Rules 925 926 developed pursuant to this section may shall not restrict the 927 use of shared staffing and shared programming in facilities that 928 are part of retirement communities that provide multiple levels

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929 of care and otherwise meet the requirements of law and rule. If 930 a continuing care facility licensed under chapter 651 or a 931 retirement community offering multiple levels of care licenses a 932 building or part of a building designated for independent living 933 for assisted living, staffing requirements established in rule 934 apply only to residents who receive personal, limited nursing, 935 or extended congregate care services under this part. Such 936 facilities shall retain a log listing the names and unit number 937 for residents receiving these services. The log must be 938 available to surveyors upon request. Except for uniform 939 firesafety standards, the department shall adopt by rule 940 separate and distinct standards for facilities with 16 or fewer 941 beds and for facilities with 17 or more beds. The standards for 942 facilities with 16 or fewer beds must shall be appropriate for a 943 noninstitutional residential environment; r however, provided 944 that the structure may not be is no more than two stories in 945 height and all persons who cannot exit the facility unassisted in an emergency must reside on the first floor. The department, 946 947 in conjunction with the agency, may make other distinctions 948 among types of facilities as necessary to enforce the provisions 949 of this part. Where appropriate, the agency shall offer 950 alternate solutions for complying with established standards, 951 based on distinctions made by the department and the agency 952 relative to the physical characteristics of facilities and the 953 types of care offered therein.

954 Section 13. Present subsections (1) through (11) of section 955 429.52, Florida Statutes, are renumbered as subsections (2) 956 through (12), respectively, a new subsection (1) is added to 957 that section, and present subsections (5) and (9) of that

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958 section are amended, to read:

959 429.52 Staff training and educational programs; core 960 educational requirement.-

961 (1) Effective October 1, 2014, each new assisted living 962 facility employee who has not previously completed core training 963 must attend a preservice orientation provided by the facility before interacting with residents. The preservice orientation 964 965 must be at least 2 hours in duration and cover topics that help 966 the employee provide responsible care and respond to the needs 967 of facility residents. Upon completion, the employee and the 968 administrator of the facility must sign a statement that the 969 employee completed the required pre-service orientation. The 970 facility must keep the signed statement in the employee's 971 personnel record.

972 <u>(6) (5)</u> Staff involved with the management of medications 973 and assisting with the self-administration of medications under 974 s. 429.256 must complete a minimum of <u>6</u> 4 additional hours of 975 training provided by a registered nurse, licensed pharmacist, or 976 department staff. The department shall establish by rule the 977 minimum requirements of this additional training.

978 (10) (9) The training required by this section other than 979 the preservice orientation must shall be conducted by persons 980 registered with the department as having the requisite experience and credentials to conduct the training. A person 981 982 seeking to register as a trainer must provide the department 983 with proof of completion of the minimum core training education 984 requirements, successful passage of the competency test 985 established under this section, and proof of compliance with the continuing education requirement in subsection (5) (4). 986

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987	Section 14. The Legislature finds that consistent
988	regulation of assisted living facilities benefits residents and
989	operators of such facilities. To determine whether surveys are
990	consistent between surveys and surveyors, the Office of Program
991	Policy Analysis and Government Accountability (OPPAGA) shall
992	conduct a study of intersurveyor reliability for assisted living
993	facilities. By November 1, 2014, OPPAGA shall report its
994	findings to the Governor, the President of the Senate, and the
995	Speaker of the House of Representatives and make any
996	recommendations for improving intersurveyor reliability.
997	Section 15. The Legislature finds that consumers need
998	additional information on the quality of care and service in
999	assisted living facilities in order to select the best facility
1000	for themselves or their loved ones. Therefore, the Agency for
1001	Health Care Administration shall:
1002	(1) Implement a rating system for assisted living
1003	facilities by March 1, 2015 . The agency shall adopt rules to
1004	administer this subsection.
1005	(2) By November 1, 2014 create content that is easily
1006	accessible through the front page of the agency's website. At a
1007	minimum, the content must include:
1008	(a) Information on each licensed assisted living facility,
1009	including, but not limited to:
1010	1. The name and address of the facility.
1011	2. The number and type of licensed beds in the facility.
1012	3. The types of licenses held by the facility.
1013	4. The facility's license expiration date and status.
1014	5. Other relevant information that the agency currently
1015	collects.
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1016 (b) A list of the facility's violations, including, for 1017 each violation: 1. A summary of the violation which is presented in a 1018 1019 manner understandable by the general public; 1020 2. Any sanctions imposed by final order; and 1021 3. The date the corrective action was confirmed by the 1022 agency. 1023 (c) Links to inspection reports that the agency has on file. 1024 1025 (d) A monitored comment page, maintained by the agency, 1026 which allows members of the public to anonymously comment on 1027 assisted living facilities that are licensed to operate in this 1028 state. This comment page must, at a minimum, allow members of 1029 the public to post comments on their experiences with, or 1030 observations of, an assisted living facility and to review other 1031 people's comments. Comments posted to the agency's comment page 1032 may not contain profanity and are intended to provide meaningful 1033 feedback about the assisted living facility. The agency shall 1034 review comments for profane content before the comments are 1035 posted to the page. A controlling interest, as defined in s. 1036 408.803, Florida Statutes, in an assisted living facility, or an 1037 employee or owner of an assisted living facility, is prohibited 1038 from posting comments on the page, except that a controlling interest, employee, or owner may respond to comments on the 1039 1040 page, and the agency shall ensure that the responses are 1041 identified as being from a representative of the facility. 1042 Section 16. This act shall take effect July 1, 2014.