



760772

LEGISLATIVE ACTION

Senate	.	House
Comm: RCS	.	
12/09/2013	.	
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The Committee on Commerce and Tourism (Detert) recommended the following:

Senate Amendment

Delete lines 83 - 84
and insert:

(d) An entity that is registered as an investment advisor, broker, or dealer with the United States Securities and Exchange Commission, the Office of Financial Regulation, or the Financial Industry Regulatory Authority, or an