House

Florida Senate - 2021 Bill No. CS for SB 228

LEGISLATIVE ACTION

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Senate	•
Comm: RCS	•
02/16/2021	•
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	The Committee on Judiciary (Bradley) recommended the following:
1	Senate Amendment (with title amendment)
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3	Delete everything after the enacting clause
4	and insert:
5	Section 1. Subsection (4) of section 117.021, Florida
6	Statutes, is amended to read:
7	117.021 Electronic notarization
8	(4) A notary public performing a notarial act with respect
9	to an electronic record shall select the technology to be used
10	for such notarial act. A person may not require the a-notary
11	public to <u>use a particular</u> perform a notarial act with respect

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12	to an electronic record with a form of technology; however, if
13	the notary public is required by his or her contract or employer
14	to perform notarial acts with respect to electronic records, the
15	contract or employer may require the use of a particular
16	technology for those notarial acts that the notary public has
17	not selected to use.
18	Section 2. Paragraph (a) of subsection (2) of section
19	117.05, Florida Statutes, is amended to read:
20	117.05 Use of notary commission; unlawful use; notary fee;
21	seal; duties; employer liability; name change; advertising;
22	photocopies; penalties
23	(2)(a) The fee of a notary public may not exceed \$10 for
24	any one notarial act under this part, except as provided in s.
25	117.045 or s. 117.275.
26	Section 3. Subsections (6) and (14) of section 117.201,
27	Florida Statutes, are amended to read:
28	117.201 Definitions.—As used in this part, the term:
29	(6) "Government-issued identification credential" means any
30	approved credential for verifying identity under s.
31	117.05(5)(b)2. However, for an online notarization of a
32	principal not located within the United States, a passport
33	issued by a foreign government not including the stamp of the
34	United States Bureau of Citizenship and Immigration Services may
35	be used as a government-issued identification credential to
36	verify the principal's identity.
37	(14) "Remote Online Notarization service provider" or "RON
38	service provider" means a person that provides audio-video
39	communication technology and related processes, services,
40	software, data storage, or other services to online notaries

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41 public for the purpose of directly facilitating their 42 performance of online notarizations, in compliance with the 43 requirements of this chapter and any rules adopted by the 44 Department of State pursuant to s. 117.295.

Section 4. Subsections (1) and (5) of section 117.225, Florida Statutes, are amended to read:

117.225 Registration; qualifications.—A notary public, a civil-law notary appointed under chapter 118, or a commissioner of deeds appointed under part IV of chapter 721 may complete registration as an online notary public with the Department of State by:

(1) Holding a current commission as a notary public under part I of this chapter, an appointment as a civil-law notary under chapter 118, or an appointment as a commissioner of deeds under part IV of chapter 721, and submitting <u>his or her</u> a copy of such commission or proof of such <u>commission or appointment</u> number with his or her registration.

(5) Identifying the RON service provider <u>or providers</u> whose audio-video communication technology and processes for credential analysis and identity-proofing technologies the registrant intends to use for online notarizations, and confirming that such technology and processes satisfy the requirements of this chapter and any rules adopted by the Department of State pursuant to s. 117.295.

65 Section 5. Section 117.231, Florida Statutes, is created to 66 read:

117.231 Remote administration of certain oaths.-

68 (1) When taking the oath of an individual who is testifying 69 at any court proceeding, deposition, arbitration, or public

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70	hearing and who is outside of the physical presence of the
71	notary public, the notary public may fulfill the requirements of
72	s. 117.05 using audio-video communication technology.
73	(2) When taking an oath of admission to The Florida Bar
74	from an individual who is outside of the physical presence of
75	the notary public, the notary public may fulfill the
76	requirements of s. 117.05 using audio-video communication
77	technology.
78	(3) If an individual is located outside of this state at
79	the time the notary public is to take the individual's oath
80	under this section, consent from the individual must be obtained
81	to take his or her oath using audio-video communication
82	technology pursuant to this section.
83	(4) When taking an oath under this section, the notary
84	public is not required to be an online notary public or to use a
85	RON service provider.
86	Section 6. Subsections (1), (2), (4), and (5) of section
87	117.245, Florida Statutes, are amended to read:
88	117.245 Electronic journal of online notarizations
89	(1) An online notary public shall keep one or more secure
90	electronic journals of online notarizations performed by the
91	online notary public. For each online notarization, the
92	electronic journal entry must contain all of the following:
93	(a) The date and time of the notarization.
94	(b) The type of notarial act performed, whether an oath or
95	acknowledgment.
96	(c) The type, the title, or a description of the electronic
97	record or proceeding.
98	(d) The name and address of each principal involved in the
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99	transaction or proceeding.
100	(e) Evidence of identity of each principal involved in the
101	transaction or proceeding in <u>either</u> any of the following forms:
102	1. A statement that the person is personally known to the
103	online notary public <u>; or</u> -
104	2. <u>a.</u> A notation of the type of government-issued
105	identification credential provided to the online notary public;
106	b. An indication that the government-issued identification
107	credential satisfied the credential analysis; and-
108	c.(f) An indication that the principal satisfactorily
109	passed the identity proofing.
110	(g) An indication that the government-issued identification
111	credential satisfied the credential analysis.
112	(f) (h) The fee, if any, charged for the notarization.
113	(2) The <u>RON service provider</u> online notary public shall
114	retain an uninterrupted and unedited copy of the recording of
115	the audio-video communication in which an online notarization is
116	performed. The online notary public shall ensure that the
117	recording <u>includes</u> must include all of the following:
118	(a) Appearance by the principal and any witness before the
119	online notary public.
120	(b) Confirmation of the identity of the principal and any
121	witness.
122	(c) A general description or identification of the records
123	to be signed.
124	(d) At the commencement of the recording, recitation by the
125	online notary public of information sufficient to identify the
126	notarial act.
127	(e) A declaration by the principal that his or her

online notary public.



signature on the record is knowingly and voluntarily made.
(f) All of the actions and spoken words of the principal,
notary public, and any required witness during the entire online
notarization, including the signing of any records before the

(4) The electronic journal required under subsection (1) and the recordings of audio-video communications required under 135 subsection (2) shall be maintained for at least 10 years after 136 the date of the notarial act. However, a full copy of the 137 recording of the audio-video communication required under 138 subsection (2) relating to an online notarization session that 139 involves the signing of an electronic will must be maintained by 140 a qualified custodian in accordance with chapters 731 and 732. 141 The Department of State maintains jurisdiction over the 142 electronic journal and audio-video communication recordings to 143 investigate notarial misconduct for a period of 10 years after 144 the date of the notarial act. The online notary public, a 145 guardian of an incapacitated online notary public, or the 146 personal representative of a deceased online notary public may, 147 by contract with a secure repository in accordance with any 148 rules established under this chapter, delegate to the repository the online notary public's duty to retain the electronic journal 149 150 and the required recordings of audio-video communications, 151 provided that the Department of State is notified of such 152 delegation of retention duties to the repository within 30 days 153 thereafter, including the effective date of the delegation and 154 the address and contact information for the repository. If an 155 online notary public delegates to a secure repository under this section, the online notary public shall make an entry in his or 156

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157 her electronic journal identifying such repository, and provide 158 notice to the Department of State as required in this subsection. A RON service provider may, by contract with a 159 160 secure repository in accordance with any rules established under 161 this chapter, delegate to the repository the RON service 162 provider's duty to retain the required recordings of audio-video communications, provided that the Department of State is 163 164 notified of such delegation of retention duties to the 165 repository within 30 days thereafter, including the effective 166 date of the delegation and the address and contact information 167 for the repository. During any delegation under this subsection, the secure repository shall fulfill the responsibilities of the 168 169 online notary public or RON service provider to provide copies 170 or access under s. 117.255(2) and (3).

171 (5) An omitted or incomplete entry in the electronic journal does not impair the validity of the notarial act or of 172 173 the electronic record which was notarized, but may be introduced 174 as evidence to establish violations of this chapter; as evidence 175 of possible fraud, forgery, impersonation, duress, incapacity, 176 undue influence, minority, illegality, or unconscionability; or 177 for other evidentiary purposes. However, if the recording of the 178 audio-video communication required under subsection (2) relating 179 to the online notarization of the execution of an electronic will cannot be produced by the RON service provider, the online 180 181 notary public, or the qualified custodian, the electronic will 182 shall be treated as a lost or destroyed will subject to s. 183 733.207.

184 Section 7. Section 117.255, Florida Statutes, is amended to 185 read:



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117.255 Use of electronic journal, signature, and seal.-(1) An online notary public shall:

(a) (1) Take reasonable steps to ensure that any registered device used to create an electronic seal is current and has not been revoked or terminated by the issuing or registering authority of the device.

192 (b) (2) Keep the electronic journal and electronic seal 193 secure and under his or her sole control, which includes access 194 protection using passwords or codes under control of the online 195 notary public. The online notary public may not allow another 196 person to use the online notary public's electronic journal, 197 electronic signature, or electronic seal, other than a RON 198 service provider or other authorized person providing services 199 to an online notary public to facilitate performance of online 200 notarizations.

<u>(c)</u>(3) Attach or logically associate the electronic signature and seal to the electronic notarial certificate of an electronic record in a manner that is capable of independent verification using tamper-evident technology that renders any subsequent change or modification to the electronic record evident.

207 <u>(d) (4)</u> Notify an appropriate law enforcement agency and the 208 Department of State of any unauthorized use of or compromise to 209 the security of the electronic journal, official electronic 210 signature, or electronic seal within 7 days after discovery of 211 such unauthorized use or compromise to security.

212 (2) (5) An online notary public shall provide Make 213 electronic copies, upon request, of the pertinent entries in the 214 electronic journal, and <u>a RON service provider shall</u> provide



215	access to the related audio-video communication recordings, or a
216	copy thereof, to the following persons upon request:
217	(a) The parties to an electronic record notarized by the
218	online notary public;
219	(b) The qualified custodian of an electronic will notarized
220	by the online notary public;
221	(c) The title agent, settlement agent, or title insurer who
222	insured the electronic record or engaged the online notary
223	public with regard to a real estate transaction;
224	(d) The online notary public's RON service provider whose
225	services were used by the online notary public to notarize the
226	electronic record;
227	(e) Any person who is asked to accept a power of attorney
228	that was notarized by the online notary public;
229	<u>(e)</u> The Department of State pursuant to a notary
230	misconduct investigation; and
231	(f) (g) Any other persons pursuant to a subpoena, court
232	order, law enforcement investigation, or other lawful inspection
233	demand <u>;</u>
234	(g) With respect to audio-video communication recordings of
235	an online notarization, the online notary public performing that
236	notarization; and
237	(h) With respect to electronic copies of pertinent entries
238	in the electronic journal, the RON service provider used for the
239	online notarizations associated with those entries.
240	(3) (6) The online notary public may charge a fee not to
241	exceed \$20 per transaction record for making and delivering
242	electronic copies of a given series of related electronic
243	records, and a RON service provider may charge a fee not to

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244	exceed \$20 for providing access to, or a copy of, the related
245	audio-video communication records, except such copies or access
246	must be provided without charge if requested by any of the
247	following within the 10-year period specified in s. 117.245(4):
248	(a) A party to the electronic record;
249	(b) In a real estate transaction, the title agent,
250	settlement agent, or title insurer who insured the electronic
251	record or engaged the online notary public with regard to such
252	transaction; or
253	(c) The Department of State pursuant to an investigation
254	relating to the official misconduct of an online notary public <u>;</u>
255	(d) The qualified custodian of an electronic will notarized
256	by the online notary public;
257	(e) With respect to audio-video communication recordings of
258	an online notarization, the online notary public performing that
259	notarization; or
260	(f) With respect to electronic copies of a given series of
261	related electronic records, the RON service provider used for
262	the online notarization of those records.
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264	If the online notary public or RON service provider charges does
265	charge a fee, the online notary public <u>or RON service provider</u>
266	<u>must</u> shall disclose the amount of such fee to the requester
267	before making the electronic copies or providing access to, or
268	making a copy of, the requested audio-video communication
269	recordings.
270	Section 8. Subsection (5) of section 117.265, Florida
271	Statutes, is amended to read:
272	117.265 Online notarization procedures

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273 (5) (a) An online notary public shall select the RON service 274 provider to be used to perform an online notarization, and a 275 person may not require the online notary public to use a 276 particular RON service provider; however, if the online notary 277 public is required by his or her contract or employer to perform 278 online notarizations, the contract or employer may require the use of a particular RON service provider for those online 279 280 notarizations.

(b) An online notary public may change his or her RON service provider or providers from time to time, but shall notify the Department of State of such change, and its effective date, within 30 days thereafter.

Section 9. Section 117.275, Florida Statutes, is amended to read:

287 117.275 Fees for online notarization.-An online notary public or the employer of such online notary public may charge a 288 289 fee, not to exceed \$25, for performing an online notarial act notarization under this part. Fees for services other than 290 291 notarial acts, including the services of a RON service provider, 292 are not governed by this section. A RON service provider's 293 services are also not considered closing services, as defined in 294 s. 627.7711, and a fee for those services may be separately 295 charged.

296 Section 10. Subsections (2) and (4) of section 117.295, 297 Florida Statutes, are amended, and subsection (8) is added to 298 that section, to read:

299 117.295 Standards for electronic and online notarization; 300 rulemaking authority.-

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(2) By January 1, 2020, The Department of State shall:



302	(a) Adopt forms, processes, and interim or emergency rules
303	necessary to accept applications from and register online
304	notaries public pursuant to s. 117.225.
305	(b) Publish on its website a list containing each online
306	notary public, the online notary public's RON service providers
307	from January 1, 2022, and thereafter, the effective dates during
308	which the online notary public used each RON service provider,
309	as identified pursuant to ss. 117.225(5) and 117.265(5)(b), any
310	secure repositories to which the online notary public may have
311	delegated his or her duties pursuant to s. 117.225(4) from
312	January 1, 2022, and thereafter, and the effective dates of that
313	delegation.
314	(4) (a) A RON service provider must file a self-
315	certification with the Department of State, on a form adopted by
316	department rule, confirming that its audio-video communication
317	technology and related processes, services, software, data
318	storage, or other services provided to online notaries public
319	for the purpose of directly facilitating their performance of
320	online notarizations satisfy the requirements of this chapter
321	and any rules adopted by the Department of State pursuant to
322	this section. Each certification shall remain active for a
323	period of 1 year from the date of filing. The Department of
324	State must publish on its website a list of each RON service
325	provider that has filed a self-certification, the date of filing
326	of the self-certification, any secure repositories to which the
327	RON service provider may have delegated its duties pursuant to
328	s. 117.225(4) from January 1, 2022, and thereafter, and the
329	effective dates of that delegation.
330	(b) A RON service provider is deemed to have satisfied

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331	tamper-evident technology requirements by use of technology that
332	renders any subsequent change or modification to the electronic
333	record evident.
334	(8) A RON service provider may not use, sell, or offer to
335	sell or transfer to another person for use or sale any personal
336	information obtained under this part which identifies a
337	principal, a witness, or a person named in a record presented
338	for online notarization, except:
339	(a) As necessary to facilitate performance of a notarial
340	act;
341	(b) To administer or process a record provided by or on
342	behalf of a principal or the transaction of which the record is
343	a part;
344	(c) To detect fraud, identity theft, or other criminal
345	activities;
346	(d) In accordance with this part and the rules adopted
347	pursuant to this part or any other applicable federal, state, or
348	local law, or to comply with a lawful subpoena or court order or
349	a lawful request from a law enforcement or regulatory agency;
350	(e) To monitor and improve the audio-video communication
351	technology and related processes, services, software, data
352	storage, or other services offered by the RON service provider
353	to online notaries public for the purpose of directly
354	facilitating their performance of online notarizations.
355	(f) In connection with a proposed or actual sale, merger,
356	transfer, or exchange of all or a portion of a business or
357	operating unit of a RON service provider, or the dissolution,
358	insolvency, or cessation of operations of a business or
359	operating unit, if limited to such personal information held by

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360	that business or unit and any transferee agrees to comply with
361	the restrictions set forth in this subsection.
362	Section 11. This act shall take effect January 1, 2022.
363	=========== T I T L E A M E N D M E N T =================================
364	And the title is amended as follows:
365	Delete everything before the enacting clause
366	and insert:
367	A bill to be entitled
368	An act relating to notaries public; amending s.
369	117.021, F.S.; clarifying that a notary public is
370	entitled to select particular technology in performing
371	a notarial act with respect to an electronic record;
372	authorizing a notary public's contract or employer to
373	require the use of a particular technology in
374	performing a notarial act with respect to an
375	electronic record; amending s. 117.05, F.S.; revising
376	limitations on notary public fees; amending s.
377	117.201, F.S.; revising definitions; amending s.
378	117.225, F.S.; revising certain registration
379	requirements for online notaries public; creating s.
380	117.231, F.S.; authorizing notaries public to remotely
381	swear in witnesses using audio-video communication
382	technology; authorizing notaries public to remotely
383	swear in new attorneys admitted to The Florida Bar
384	using audio-video communication technology; amending
385	s. 117.245, F.S.; modifying requirements for entries
386	in the electronic journal maintained by an online
387	notary public; requiring a remote online notarization
388	service provider, rather than an online notary public,
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389 to retain audio-video communication recordings of 390 online notarizations; authorizing a RON service 391 provider to delegate this duty to a secure repository 392 under certain conditions; conforming provisions to 393 changes made by the act; amending s. 117.255, F.S.; 394 revising provisions governing access to audio-video 395 communication recordings to conform to changes made by 396 the act; authorizing a remote online notarization 397 service provider to charge a fee for access to such 398 recordings, subject to specified limitations; amending 399 s. 117.265, F.S.; clarifying that an online notary 400 public is entitled to select his or her remote online 401 notarization service provider; authorizing a notary 402 public's contract or employer to require the use of a 403 particular remote online notarization service provider 404 in performing online notarizations; requiring an 405 online notary public to notify the Department of State 406 of the effective date of a change in the remote online 407 notarization service provider used; amending s. 408 117.275, F.S.; clarifying limitations on fees charged 409 for online notarizations; amending s. 117.295, F.S.; 410 requiring the department to publish on its website a 411 list containing certain information on online notaries 412 public; requiring a remote online notarization service 413 provider to file a self-certification with the 414 department; specifying the duration of a self-415 certification; requiring the department to publish on 416 its website a list containing certain information on 417 self-certified remote online notarization service

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418 providers; prohibiting a remote online notarization 419 service provider from using, selling, or offering to 420 sell or transfer personal information obtained in the 421 course of performing online notarizations; providing 422 exceptions; providing an effective date.